
CPRIT Grantee Annual Compliance Training

October 2023



CANCER PREVENTION & RESEARCH
INSTITUTE OF TEXAS

Prevention

Contact Information

Cameron Eckel

Staff Attorney

512-305-8495

ceckel@cprit.texas.gov

Melanie Jamison

Compliance Specialist

512-305-8509

mjamison@cprit.texas.gov

Ed Dorotik

Grant Accountant

512-305-6756

edorotik@cprit.texas.gov

Stephen Nance

Compliance Program Manager

512-305-8405

snance@cprit.texas.gov

Jennifer Dear

Compliance Specialist

512-475-2507

jdear@cprit.texas.gov

Vince Burgess

Chief Compliance Officer

512-305-8453

vburgess@cprit.texas.gov



Programmatic Contact – Prevention

Carlton Allen

Program Manager for Research and Prevention

512-626-2358

cmallen@cprit.texas.gov

Ramona Magid

Chief Prevention Officer

512-305-8417

rmagid@cprit.texas.gov



Training Overview

- Introductions
- FWA Reporting
- Recent Administrative Rule Changes
- Policies and Procedures Guide Overview
- Required Reporting
- Programmatic Topics





Sources of CPRIT Grantee Requirements

- Article III, Section 67 Texas Constitution
- Texas Health & Safety Code Chapter 102
- Texas Administrative Code Chapters 701 - 703
- Grant Contract
- Texas Grant Management Standards (formerly Uniform Grant Management Standards)

CPRIT Policies and Procedures Guide

Chapters Covered Today

Chapter	Topic
5	Grant Contracts
6	Required Grant Reports
9	Allowable Costs
10	Grantee Budget/Expense Categories and Required Documentation
13	Termination, Extension, and Closeout of Grant Contracts



Fraud, Waste, & Abuse



Fraud, Waste, & Abuse

How do I Make a Report?

Use Client Code #5124633190

(Users can remain anonymous)

Call

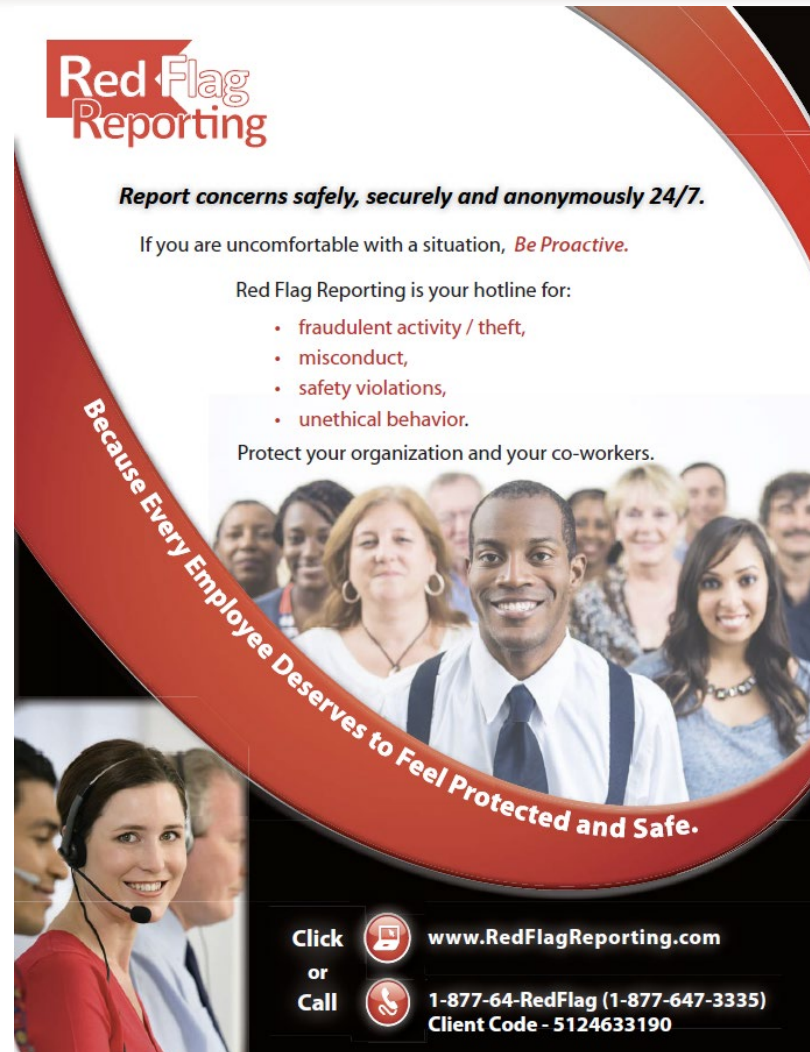
1-877-64-RedFlag
(1-877-647-3335)

Online

www.RedFlagReporting.com



Fraud, Waste, & Abuse

A poster for Red Flag Reporting. It features a large red curved banner across the middle. The background shows a diverse group of smiling employees. In the bottom left, a woman is wearing a headset, suggesting a call center. The text is in white and red, providing information on how to report concerns.

Red Flag Reporting

Report concerns safely, securely and anonymously 24/7.



If you are uncomfortable with a situation, *Be Proactive.*

Red Flag Reporting is your hotline for:

- fraudulent activity / theft,
- misconduct,
- safety violations,
- unethical behavior.

Protect your organization and your co-workers.

Because Every Employee Deserves to Feel Protected and Safe.

Click  www.RedFlagReporting.com
or
Call  **1-877-64-RedFlag (1-877-647-3335)**
Client Code - 5124633190



Administrative Rules and Policies and Procedures Guide





How to Stay in the Know

- ☑ Check CPRIT's website
- ☑ Receive CPRIT listserv emails
- ☑ Watch Oversight Committee meetings
- ☑ Attend training webinars

Recently Proposed & Adopted Administrative Rule Changes

Applicability of CPRIT's
Electronic Signature
Policy

Definition and Use of
"Scope of Work"

Corrections to
Financial Status Report
(FSR) Supporting
Documentation

Eligible Grantee
Matching Funds

Applicability of CPRIT's Electronic Signature Policy

§ 701.25

*Public comment period is
open.*

- Extends CPRIT's electronic signature policy to include grant applicants.
- Currently, the policy explicitly applies to grant recipients.
- The policy allows CPRIT to rely on information submitted by a Grant Recipient's Authorized Signing Official (ASO) as legally binding.



Public comment is open through October 2nd.



The Oversight Committee will consider final adoption of this rule change at their November 15th meeting.

Definition of “Scope of Work”

§ 701.3(63)

*Adopted & final in
September 2023*

- CPRIT amended the definition of scope of work to include “specific aims and sub aims, if appropriate.”
- Scope of Work: the goals and objectives or specific aims and sub aims, if appropriate, of the Cancer Research or Cancer Prevention project, including the timeline and milestones to be achieved.
- A request for Applications (RFA) will specify applicable terminology for a grant mechanism.

Use of “Scope of Work”

§§ 701.3(29), 703.6,
703.7, 703.10, 703.21,
703.25

*Adopted & final in September
2023*

- These are non substantive changes that ensure consistent use of “Scope of Work” in CPRIT’s rules.
- CPRIT replaced variations referring to scope of work (e.g., project goals, project scope) with the defined term “Scope of Work.”
- Scope of Work includes CPRIT grant project
 - goals and objectives,
 - specific aims and sub aims, if appropriate,
 - Timeline, and
 - milestones to be achieved.

Corrections to Financial Status Report (FSR) Supporting Documentation

§703.24(a)(5)

Adopted & final in 2022

Requires a grantee to submit corrections to FSR supporting documentation within five (5) business days after CPRIT makes the request.



If a grantee does not meet the deadline, then CPRIT may disapprove the FSR.

Eligible Grantee Matching Funds

§ 703.11(c)(7)

Adopted & final in 2022

- Adds relocation costs to Texas as a source of encumbered funds that a grantee may use to fulfill their matching funds requirement.



Statute, Rules and Grant Policies Guide

Statutes

[Texas Health and Safety Code Chapter 102](#)

[Article III of Texas Constitution establishing CPRIT](#)

Rules

[Texas Administrative Code, Title 25, Chapters 701 – 703](#)

Current Rulemaking Project

At its February 16, 2022, meeting, the Oversight Committee approved the release of proposed changes to existing agency rules.

If CPRIT takes equity in a grantee, the proposed amendment to § 703.17 would require the agency to specify in the grant contract any changes from standard grantee reporting requirements and associated consequences for a grantee failing to timely report. When CPRIT takes equity in a grantee, issues related to equity ownership may affect certain standard grantee reporting requirements, such as the schedule for the grantee to certify and verify its matching funds obligation.

Written comments may be submitted through April 4, 2022, to CPRIT's General Counsel, Kristen Doyle, by fax (512/475-2563); by email (kdoyle@cprit.texas.gov); or by mail to the Cancer Prevention and Research Institute of Texas, P.O. Box 12097, ATTN: Rulemaking Project, Austin, Texas, 78711.

The Oversight Committee expects to consider a final order that reflects the comments received on the proposed rule and any recommended changes at their meeting on May 18, 2022.

[Proposed change to Texas Administrative Code Title 25, Chapter 703](#)

Recently Adopted Rule Changes

At its February meeting, the Oversight Committee also approved the final adoption of recent changes to § 703.26 that were initially presented at the November 18, 2021, meeting. The amendments to § 703.26 add parking as a reimbursable clinical trial participation cost, correct the statutory reference of the Cancer Clinical Trial Participation Program to Texas Health and Safety Code Chapter 51, and correct a grammatical error.

Beginning March 10, 2022, Texas Administrative Code Chapter 703 will reflect the recent rule amendments, which are also available [here](#).

Grant Policy Guides

- [CPRIT Policies and Procedures Guide](#)

The current version of the CPRIT Policies & Procedures Guide is dated December 2021.

- [Uniform Grant Management Standards](#)

About Us

Ethics and Compliance

Oversight Committee

Advisory Committees

Executive Team & Staff

Employment Opportunities

Procurement

CPRIT's website is the best place to find the current rules and P&P Guide

<https://cprit.texas.gov/about-us/statute-rules-and-grant-policies-guide/>

Administrative Rules and Policies and Procedures Guide Q & A



Required Grant Reports



Polling Question

All CPRIT required reports should be submitted on an annual basis?

Answer: False

Seven of the required CPRIT reports are submitted annually.

Reporting Requirements



Annual

- Inventory Report
- Revenue Sharing Report
- HUB/Texas Suppliers Report
- Annual Progress Report
- Single Audit Determination Form
- Audit Report



Quarterly

- Financial Status Report
- Quarterly Progress Report

Progress Reports



Progress Reports

Progress Reports should contain accurate and complete impact data for annual and final reports.

This includes, but is not limited to:

- Publications
- Follow-on funds
- Patents and Patent Applications
- Client Stories
- Abstracts and Presentations



Progress Reports

- Economic Impact

- How many NEW jobs were created = the number of positions filled during the last year (including the final report; final report should NOT be the cumulative number over the entire project). This number should be ZERO if positions haven't been added during the grant year
- How many jobs were maintained = the number of positions that continue from the year



Progress Reports

– Common Errors

Incomplete report

Overlap with previous reports

Duplicate entries (publications, jobs, etc.)

Inconsistencies between narrative and data entered

CPRIT Acknowledgment

Printed and visual materials related to CPRIT funding should include CPRIT acknowledgment and grant ID.

Publications not funded by CPRIT, should not be included in the progress report

CPRIT Acknowledgment

Funding

This work was conducted with support from [REDACTED] Cancer Prevention Research Institute of Texas (CPRIT) RP150587. [REDACTED] was supported by a Career Development Award (CDA 11-217) from the U.S. Department of Veterans Affairs. The content is solely the responsibility of the authors and does not necessarily represent the official views of the National Institutes of Health.

P&P Guide Reference:
5.10 Acknowledgement of CPRIT Funding

Financial Status Report (FSR)



Polling Question

A justification memo or letter should be included with the FSR supporting documentation for expenses submitted “outside the period”.

Answer: True

Polling Question

How many days does a grantee have to respond to a request for additional FSR documentation?

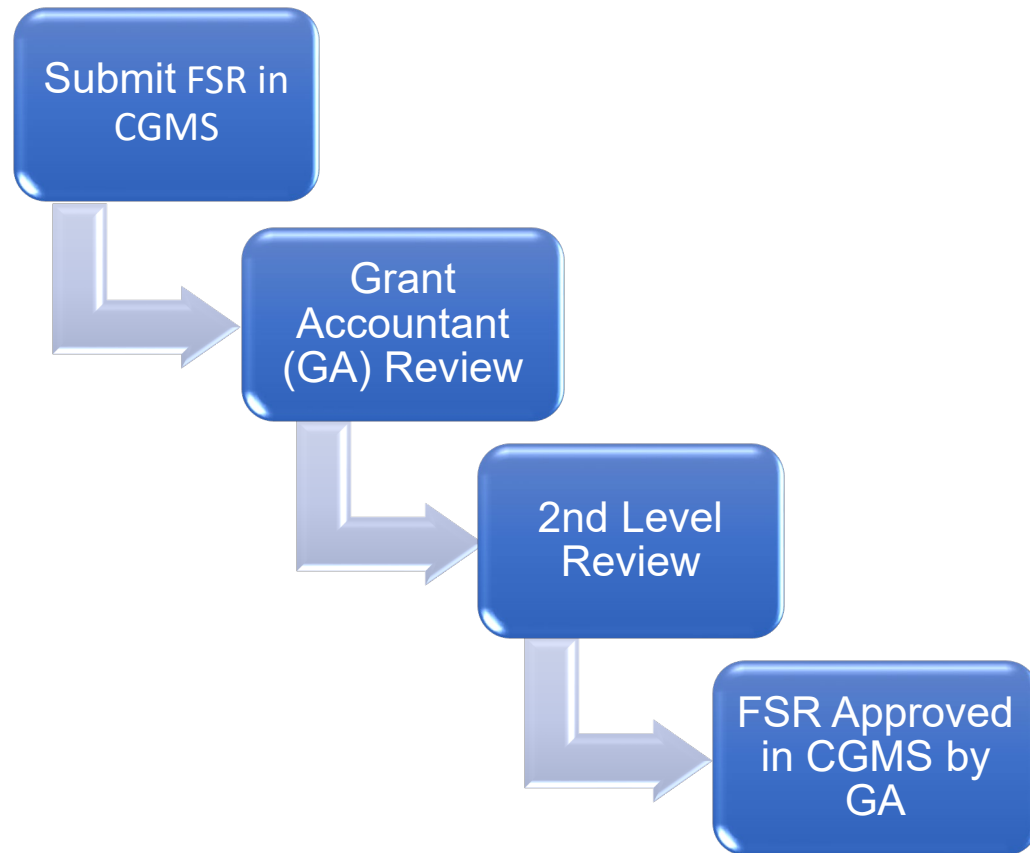
- A. 21 days
- B. 5 days
- C. 30 days

Answer: B

FSR – Due Dates

Fiscal Quarter/ Reporting Period	FSR Due Date	Last Day of Grace Period
Sept 1 – Nov 30	Feb 28	Mar 30
Dec 1 – Feb 28	May 29	June 28
Mar 1 – May 31	Aug 29	Sept 28
June 1 – Aug 31	Nov 29	Dec 29

FSR Review and Approval Process



FSR Required Supporting Documentation

Every Financial Status Report (FSR) must include a summary report of expenses generated directly from an accounting system or itemized on an **Excel spreadsheet**, as well as documentation to support grant costs paid during the current reporting period.

The report must contain the following information:

- **expense categories**
- **itemized expenses**
- **description of items**
- **date paid**
- **amount paid with expense totals broken down by category**

FSR Required Supporting Documentation

If the date paid is not found in the summary report, it should be noted on invoices.

A payment claimed for a period prior to the current period should be accompanied by an **Out of Period (OTP)** letter or memo explaining why the payment was not claimed in the appropriate period.

Acceptable OTP Explanation

Example A, the grantee has provided a clear explanation for why the expenses were not reported during the correct period

August 2, 2023

Financial Manager
CPRIT

RE:

Dear ,

We request that charges for personnel services provided from outside the current reporting period be allowed to be reported during the reporting period 03/01/23-05/31/23.

Some employees had corrections for prior reporting periods. We have confirmed that these corrections are allowable and that the employee was authorized for the period paid.

Thank you very much for your consideration of this request.

With best regards,

VP Finance and Chief Accounting Officer

Unacceptable OTP Explanation

Example B, the grantee has requested that the OTP expenses be approved, with no explanation for why the expenses were not reported during the correct period

This is to request approval for expenses with invoice dates outside the current reporting period, but were paid within the period to comply with CPRIT policy on reimbursement

Contract	Project	Activity	Customer	Account	Descr	Acct Category	Sum Amt	Code	Posted Date	Supplier	Name
SPA0003297	10029435	000004	100649	510497	Oth Lab And Med Sup	SUPPLIES	1,070.00	RFP	1/18/2023	0000137252	CITIBANK N.A
SPA0003297	10029435	000003	100649	542210	Travel Out of State	TRAVEL	327.96	RFP	8/17/2022	0000137252	CITIBANK N.A
SPA0003297	10029435	000003	100649	542210	Travel Out of State	TRAVEL	25.00	RFP	6/1/2022	0	
SPA0003297	10029435	000003	100649	542210	Travel Out of State	TRAVEL	287.95	RFP	8/17/2022	0000137252	CITIBANK N.A
SPA0003297	10029435	000003	100649	542210	Travel Out of State	TRAVEL	25.00	RFP	6/1/2022	0	

FSR Support Documentation Resources



FSR Required Supporting Documentation by Expense Category

Details the documentation a grantee must provide to support expenses reported for each budget category



CPRIT FSR Checklist

A tool to assist grantees submit accurate information and documentation for all costs requested for reimbursement.

FSR Submissions Checklist



Expenses are paid within FSR period



Payment date is included for all transactions



FSR amounts match ledger totals by expense category



Supporting documents are scanned in the same order as the transaction listing



FSR Submissions Checklist



Supporting documents are legible



Invoice amounts match amounts shown on the ledger and partial charges are explained on the invoice



Expenses are allowable and properly categorized



A memo justification for cost out of period is provided



FSR – Examples of Unallowable Costs



INDIVIDUAL
PROFESSIONAL
MEMBERSHIP FEES



ALCOHOL



TIPS & GRATUITIES



BAD DEBT



LOBBYING COSTS



ENTERTAINMENT

Common FSR Review Issues

Mis-categorization
of expenses

Reimbursement
amount is not clear

Documents are not
clearly labeled

Invoices lack
detailed purchase
description

Lack of response
from grantee for
additional
information

Mis-categorization of Expenses

- Expense mis-categorization is most common in these three budget categories;
 - Supplies
 - Other
 - Equipment
- Ensure that your budget justification allocates expenses to the appropriate budget category.

Mis-categorization of Expenses

- Expense mis-categorization is most common in these three budget categories;
 - Supplies
 - Other
 - Equipment
- Ensure that your budget justification allocates expenses to the appropriate budget category.

Supply Category Expenses

- Desktop and laptop computers (including notebooks, tablets, and similar devices)
- Non-portable printers and copiers
- Communication devices (mobile telephones)
- Lab materials
- Office Supplies
- Equipment with unit cost of less than \$5000



P&P Guide Reference:
10.6 Supplies Expense Category

Other Category Expenses

- Printing and reproduction expenses
- Gift or gas cards
- Publication fees
- Equipment warranties and service contracts
- Conference/registration fees
 - *Conference and seminar registration fees should be reported in the "other" category when incurred by the institution/organization or when paid by an employee, but employee is not requesting travel reimbursement.*
- Postage and shipping services (FedEx, UPS), etc.

P&P Guide Reference:
10.8 Other Expense Category



Documents Not Clearly Labeled

- Individual items referenced in GL with the same letter:

TRAVEL	19.25	I	8/24/2022
TRAVEL	23.10	I	8/24/2022
TRAVEL	30.00	I	8/24/2022
TRAVEL	24.48	I	8/24/2022
TRAVEL	✓ 989.00	I	8/24/2022
TRAVEL	725.00	I	8/24/2022
TRAVEL	18.70	I	8/24/2022
TRAVEL	17.60	I	8/24/2022
TRAVEL	20.16	I	8/24/2022
TRAVEL	33.52	I	8/24/2022
TRAVEL	29.92	I	8/24/2022
TRAVEL	46.20	I	8/24/2022
TRAVEL	6.38	J	8/24/2022
TRAVEL	4.93	J	8/24/2022
TRAVEL	790.30	J	8/24/2022
TRAVEL	36.91	J	8/24/2022
TRAVEL	9.25	J	8/24/2022
TRAVEL	5.89	J	8/24/2022
TRAVEL	14.84	J	8/24/2022
TRAVEL	33.53	J	8/24/2022
TRAVEL	9.25	J	8/24/2022



Documents Not Clearly Labeled

- Items individually referenced

Travel Out of State	TRAVEL	251.61	D1	6/1/2022	CITIBANK N.A.	4/26/2022	5/27/2022
Travel Out of State	TRAVEL	25.00	D1	6/1/2022		1/0/1900	5/16/2022
Travel Out of State	TRAVEL	600.63	D2	6/8/2022		6/2/2022	6/6/2022
	Disallowed, GL error	(0.01)	D2				
Travel Out of State	TRAVEL	27.73	D3	6/8/2022		6/2/2022	6/6/2022
	Disallowed, unknown fees	(12.63)	D3				
Travel Out of State	TRAVEL	33.26	D4	6/8/2022		6/2/2022	6/6/2022
	Disallowed, unknown fees	(8.02)	D4				
Travel Out of State	TRAVEL	11.88	D5	6/8/2022		6/2/2022	6/6/2022
	Disallowed tip	(1.00)	D5				
Travel Out of State	TRAVEL	11.88	D6	6/8/2022		6/2/2022	6/6/2022
	Disallowed tip	(1.00)	D6				
	Total Travel	939.32					
	Total GL	38,636.55					

✓ \$11.88

D5. \$11.88

Pain au Chocolat × 1	\$5.50	✓
A&J King Artisan Bakers		
Four Over × 1	\$5.00	✓
Three Africas		
None	\$0.00	
Purchase Subtotal	\$10.50	
MA Meals Tax (7%)	\$0.38	
Tip	\$1.00	×
Total	\$11.88	✓



Invoices Lack Detailed Description

- Unclear description:

Line #	PO Line #	Description	UOM	Qty	Unit Price	Extended Amount
1	1	COLUMN C4 214TP SRS VYDAC 5UM	EA	1	\$ 1,127.6100	\$ 1,127.61

- Clear description:

10022-00	Scalpel Blades #22	2	C	54.50	0.000	109.00
----------	--------------------	---	---	-------	-------	--------



Lack of response from grantee for additional information

Texas Administrative Code

TITLE 25

PART 11

CHAPTER 703

RULE §703.24

HEALTH SERVICES

CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS

GRANTS FOR CANCER PREVENTION AND RESEARCH

Financial Status Reports

(5) The Institute may request in writing that a Grant Recipient provide more information or correct a deficiency in the supporting documentation for a Financial Status Report. If a Grant Recipient does not submit the requested information within five (5) business days after the request is submitted, the Financial Status Report may be disapproved by the Institute.

TAC §703.24 (a)(15)

Financial Status Reports

Q & A



Personnel / Fringe Expense Category



FSR Personnel & Fringe

- Payroll ledger included and it ties to GL
- All employees are listed on PLOE with Level of Effort for current award year
- Fringe expenses are listed by category for each employee
 - **Exception:** A copy of the agreement that describes the categories of fringe included in the pool must be provided if a grantee uses pooled fringe in accordance with a federally approved rate agreement.

Best Practice

①

②

③



Personnel Level of Effort (PLOE)



Polling Question

The personnel level of effort (PLOE) form should be updated once a year.

Answer: False

The PLOE should be updated quarterly before submitting the FSR.

Polling Question

Do employees whose salaries and fringe are charged to the grant need to be listed on the PLOE?

Answer: Yes

PLOE Checklist

- PLOE **must be updated prior** to submitting FSR
- Each employee with salary/fringe has Level of Effort for current award year
- All employees with travel, tuition, stipends and conference fees are listed on the PLOE

Best Practice

①

②

③



P&P Guide Reference:
5.5.8 Personnel Level of Effort Form



PLOE Checklist

PLOE change requests contain all the following elements:

- type of appointment (number of months)
- % effort
- justification for the change

Enter information for all 3 categories

Personnel Level of Effort						
Senior/Key Person and Other Personnel			Level of Effort (LOE)	Year 1	Year 2	Total Person Months Per Person
First Name	Last Name	Role on Project				
		Co-investigator	Type Appt. (months)	12	12	
			Effort on Project	0.00%	2.00%	
			Person Months	0.00	0.24	
		PI/PI/CR	Type Appt. (months)	12	12	
			Effort on Project	10.00%	10.00%	
			Person Months	1.20	1.20	
		Senior Research Assistant	Type Appt. (months)	12	12	
			Effort on Project	50.00%	50.00%	
			Person Months	6.00	6.00	
Total Person Months Per Year				7.20	7.44	14.64

P&P Guide Reference:
5.5.8 Personnel Level of Effort Form





- Update PLOE prior to submitting FSR
- When grant moves to closeout status
 - PLOE cannot be revised in CGMS
 - Expenses related to personnel not updated on the PLOE **will not be reimbursed**



Personnel Level of Effort Q & A



Travel Expense Category



Polling Question

International travel requires prior approval if it is not included in the original budget justification.

Answer: True

Polling Question

Should meal receipts be submitted if claiming per diem?

Answer: No

Meal receipts are not required when claiming per diem

Travel Expense Report Requirements

For each trip taken, a separate travel expense report with all supporting documentation should be submitted, which should include:

- Grantee Name
- Traveler's Name
- Date(s) of travel
- Purpose of the travel
- Itemization of expenses
- Proof of payment

Travel Expense Checklist



All travelers must be listed on the PLOE



Receipts should be legible and in same order as GL



Unless using per diem, meal expenses should be supported by itemized receipts.



Clearly identify meals for reimbursement on split receipts



Group and day trip meals are not allowed

Travel Expense Checklist



Grantee **must** include documentation of CPRIT approval for all international travel expenses



GSA rate printout for the travel location(s) zip code should be attached



Mileage calculations and transportation receipts included



Gratuities and alcohol should be excluded




Meals and lodging expenses are within GSA limits



Include a calculation to show that hotel room rates and taxes are prorated when they exceed the per diem


Textravel – Hotel Occupancy taxes Maximum Reimbursement

**Fiscal Management**
Glenn Hegar
Texas Comptroller of Public Accounts

Search FMX

About FMXTopics ▼Systems ▼Calendars/SchedulesPolicies/Procedures (FPP)FormsPublicationsTraining

Home / Travel



HomeRatesTransportation**Meals and Lodging**ExceptionsMiscellaneous ProvisionsExamplesDocumentation Requirement

Meals and Lodging
Meals
Lodging
Hotel occupancy taxes
Exception for employees of educational organizations
Exception for certain state officers or employees
Exception for travel outside Texas

Hotel occupancy taxes

Maximum reimbursement

When a **state employee** is entitled to be reimbursed for payment of a hotel occupancy or similar tax and the lodging amount incurred exceeds the maximum allowable lodging rate, the following calculation methods for the tax reimbursement must be used:

If the tax is calculated as a percentage of the lodging rate, then the amount of the reimbursement is equal to the percentage multiplied by the maximum that may be reimbursed to the employee for lodging expenses.



Prorated hotel room rate and taxes when they exceed the per diem

08/19/22	ROOM CHARGE	RP1214	239.94	187 on FSR (GSA)	Prorated taxes based on \$187 max GSA lodging rate:
08/19/22	Room Tax	RT1214	28.55	22.25	$(28.55/239.94) \times 187 = 22.25$
08/19/22	City Tax	CT1214	10.80	8.42	$(10.8/239.94) \times 187 = 8.42$
08/19/22	County Tax	T41214	2.40	1.87	$(2.40/239.94) \times 187 = 1.87$



Ineligible Travel Costs

Alcohol or gratuities

Airfare costs that
exceed coach class

Foreign travel without
prior CPRIT approval

Travel outside of the
grant contract effective
dates

Employee traveling not
listed on Personnel
Level of Effort (PLOE)

Dates of travel occur
outside of the
employee's PLOE period
(Travel Only Exception)

Contractual Travel

Contractual Travel Costs	Required Support Documentation
Airfare	Itemized Amount
Mileage	Itemized Amount
Hotel	Itemized Amount
Meals	Receipts
Taxi/Uber	Receipts

Contractual Travel

Subrecipient Expenditure Invoice Template

Invoice Number: <u>2005019</u>		Subaward Number: [REDACTED]	
Invoice Date: <u>6/30/2020</u> ✓		Award Number: [REDACTED]	
Invoice Period: <u>5/1/2020 - 5/31/2020</u> ✓		Subaward PI Name: [REDACTED]	
Invoice Amount: [REDACTED]		Subrecipient Name: [REDACTED] ✓	
Subrecipient Address: [REDACTED]		Subaward PI Name: [REDACTED]	
<input type="checkbox"/> Check if final invoice			

Expense Categories	Expenditures for Invoice Period	Cumulative Expenditures	Cost Share Expenditures for Invoice Period	Cost Share Cumulative Expenditures
Project Costs				
Personnel salaries	4,947.94	224,211.54		
Fringe Benefits	1,301.28	62,352.63		
Domestic Travel p33	✓ 282.68	23,208.75		
International Travel		\$0.00		
Equipment*		\$0.00		
Supplies & Materials		\$9,214.60		
Consultants		\$0.00		
Other Direct Costs	1,298.61	18,407.62		
Total Direct Costs	✓ \$7,830.51	\$323,234.15	\$0.00	\$0.00
F&A Costs	412.12	17,757.67		
Total Costs	\$8,242.63	\$328,597.22	\$0.00	\$0.00

Effective Date	Document Number	Description	GL Exp.	A/P Exp.	Account Receivable	Expenditures	Category	Total	Unallowable/Accrual
5/8/2020		/27/20 SUNRISE CITGO GAS [REDACTED]	0.00	12.21		0.00	Travel	12.21	
5/8/2020		/27/20 HERTZ AUTO RENTAL [REDACTED]	0.00	128.50		0.00	Travel	128.50	
5/8/2020		/27/20 BUCEES GAS [REDACTED]	0.00	20.00		0.00	Travel	20.00	
5/8/2020		/27/20 HOLIDAY INN [REDACTED]	0.00	128.81		0.00	Travel	121.97	-6.84
Travel Total								282.68	✓

RECAP



- Submit a complete travel expense report for each traveler and include all supporting documents
- Travel receipts should be in same order as GL
- Submit copy of GSA meals and lodging rates with supporting documents



Travel Expenses

Q & A



No Cost Extensions (NCE)



No Cost Extension (NCE)

- Request must be submitted at least 30 days prior to termination date but not more than 180 days prior
- **Grantee must complete the date field on the request**
- More than 6 months can be requested
 - **CPRIT will complete the date field for request greater than 6 months**
 - The increased number of months should be mentioned in the first sentence of the justification

No Cost Extension (NCE)

- There is no automatic approval. NCEs are based on several factors including;
 - documentation of progress
 - documentation that the work proposed for the NCE is consistent with the initial award
 - grantee must be in good fiscal and programmatic standing
- Approved NCEs
 - **The PI must exhibit measurable effort during an approved NCE**
 - The final report dates will change, but an additional annual report as well as quarterly reports are required until the contract ends

No Cost Extension PLOE Update

The PLOE for NCEs needs to be updated because the extension time period defaults to zero.

Other Personnel	Level of Effort (LOE)	Year 1	Year 2	Year 3	Year 4	Total Person Months Per Person
Role on Project						
Program Coordinator	Type Appt. (months)	0	0	12	12	
	Effort on Project	0.00%	0.00%	100.00%	0.00%	
	Person Months	0.00	0.00	12.00	0.00	12.00
Co-Program Director	Type Appt. (months)	12	12	12	12	
	Effort on Project	8.00%	8.00%	8.00%	0.00%	
	Person Months	0.96	0.96	0.96	0.00	2.88
Project Assistant	Type Appt. (months)	0	0		12	
	Effort on Project	0.00%	0.00%	60.00%	0.00%	
	Person Months	0.00	0.00	2.40	0.00	2.40
Program Coordinator	Type Appt. (months)	0	12	12	12	
	Effort on Project	0.00%	10.00%	10.00%	0.00%	
	Person Months	0.00	1.20	1.20	0.00	2.40
Co-Program Director	Type Appt. (months)	12	12	12	12	
	Effort on Project	8.00%	8.00%	8.00%	0.00%	
	Person Months	0.96	0.96	0.96	0.00	2.88
Program Coordinator	Type Appt. (months)	0	0	4	12	
	Effort on Project	0.00%	0.00%	100.00%	0.00%	
	Person Months	0.00	0.00	4.00	0.00	4.00
Project Assistant	Type Appt. (months)	0	0	4	12	
	Effort on Project	0.00%	0.00%	100.00%	0.00%	
	Person Months	0.00	0.00	4.00	0.00	4.00
PI/PD/CR	Type Appt. (months)	12	12	12	12	
	Effort on Project	50.00%	50.00%	50.00%	0.00%	
	Person Months	6.00	6.00	6.00	0.00	18.00
Project Assistant	Type Appt. (months)	0	0	1	0	
	Effort on Project	0.00%	0.00%	100.00%	0.00%	
	Person Months	0.00	0.00	1.00	0.00	1.00
Total Person Months Per Year		7.92	9.12	32.52	N/A	49.56

Example of a NCE which resulted in a Year 4 – automatically populates with zeros



NCE – Frequently Asked Questions

What research can be conducted during an NCE?

Answer: Only scope of work items and associated aims and sub-aims that have been approved but have not yet been completed can be conducted during an NCE.



Single Audit Determination (SAD) Form & Annual Audit



Single Audit Determination (SAD)Form

Audit Certification

For fiscal year 2022, we certify to the best of our knowledge that: (Check one)

- ☐ We spent less than \$750,000 in total STATE awards (including awards, grants, cooperative agreements, contracts) during our fiscal year ending 6/30/2022
No State Audit is Required
- ☒ We spent \$750,000 or more in total STATE awards (including awards, grants, cooperative agreements, contracts) during our fiscal year ending 6/30/2022
An Audit or Agreed Upon Procedures Engagement may be required, in accordance with State of Texas Single Audit Circular.

Note: If an Audit or Agreed Upon Procedures Engagement is required, the Audit must be submitted within the earlier of 30 days after receipt of the auditor's report, or nine months from the Grantee fiscal year end date.

P&P Guide Reference:
6.5.1 Single Audit Determination Form

Annual Audit

Audit Requirement

(Single Audit, Program Specific Audit, or Agreed Upon Procedures Audit (AUP))

- A delinquent required audit and/or corrective action plan
 - A hold on reimbursements/advances
 - And grantee is **ineligible** to be awarded a new or a continuation grant award
 - Until document is submitted and approved by CPRIT

Annual Audit

Unallowable Audit Submissions


- The Statewide Single Audit may **not** be used to fulfill a grantee's audit requirement because this audit does not include a review of state-funded grants
- State of Texas Annual Comprehensive Financial Report (ACFR)

Programmatic Topics




Producing Materials

Any materials produced (flyers, electronic materials, presentations, etc.) using CPRIT funds must be pre-approved by Carlton or Ramona BEFORE USE.



Please include Grant ID number in the document submission email. We will turn these around very quickly. Preferred file name: Grant ID number_Title of document.



Specific information about the Brand Guidelines and Logo can be found here
(<https://www.cprit.state.tx.us/news-events/media-resources#branding>)

Change in Key Personnel Level of Effort (PLOE)

- Make sure name is entered same as payroll
 - First then last
 - No nicknames
- If replacing someone
 - Add a new line with new personnel
 - Add correct effort for new personnel
 - Ensure old personnel has 0 effort going forward
- Add detailed justification

PLOE Continued

Senior/Key Person and Other Personnel			Level of Effort (LOE)	Year 1	Year 2	Year 3	Total Person Months Per Person
First Name	Last Name	Role on Project					
		Co-Program Director	Type Appt. (months)	12	12	12	
			Effort on Project	45.00%	0.00%	0.00%	
			Person Months	5.40	0.00	0.00	5.40
		Health Education Coordinator	Type Appt. (months)	12	12	12	
			Effort on Project	100.00%	100.00%	50.00%	
			Person Months	12.00	12.00	6.00	30.00

Change – Not Otherwise Specified



Presenting at a conference that was not specifically specified in your application



Change in a subcontract agreement



Paying for an open access manuscript



Something was missing from your application

Conference and Seminar Registration Fees

- Conference and seminar registration fees should be reported in the "other" category when incurred by the institution/organization or when paid by an employee, but employee is not requesting travel reimbursement.
- Employees who pay the registration fees and incur travel expenses to attend the conference/seminar can report the fee on their travel reimbursement voucher.



New Quarterly Report



COMING SOON (FY 24)



NEW AND IMPROVED!



MORE
COMMUNICATION
COMING SOON

Grant Support



Points of Contact

CARS/CGMS Technical Issues?

Contact CPRIT Helpdesk

Email: Help@CPRITGrants.org

Phone: 866-941-7146

Programmatic/ Content questions?

Contact the CPRIT Program Manager/Officer

Financial Questions/Issues?

Contact CPRIT Finance Manager/ Grant Accountant



Contact Information

Cameron Eckel

Staff Attorney

512-305-8495

ceckel@cprit.texas.gov

Melanie Jamison

Compliance Specialist

512-305-8509

mjamison@cprit.texas.gov

Ed Dorotik

Grant Accountant

512-305-6756

edorotik@cprit.texas.gov

Stephen Nance

Compliance Program Manager

512-305-8405

snance@cprit.texas.gov

Jennifer Dear

Compliance Specialist

512-475-2507

jdear@cprit.texas.gov

Vince Burgess

Chief Compliance Officer

512-305-8453

vburgess@cprit.texas.gov



CPRIT Grantee Annual Compliance Training

October 2023

