

### **FY2020 Conflict of Interest Documentation**

Sign Out Sheets
Post-Review Statements
Conflict of Interest Disclosure Tables
Conflict of Interest Waivers



### FY2020 Conflict of Interest Sign Out Sheets

Scientific Research and Prevention Programs Committee

# Peer Review Certification of Non-Participant in Evaluation of Individual Applications Because of Real or Apparent Conflict of Interest Panel: Basic Cancer Research-1 Meeting: Onsite Meeting

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/Initials*
plista	RP200436	YANG, FENG-CHUN	The University of Texas Health Science Center at San Antonio	Swanson, Kristin	13	EMD
					-	-

GDIT Approval:	Eder Deleon	Comments:
Name (PRINT):	45	No additional Cots
Signature:	-	No additional Cols
Date:	10-18-2019	

<sup>\*</sup>A GDIT representative will add their name and initials to the form to acknowledge that the reviewer identified as a Conflict of Interest has signed the form and left the panish room during the discussions of the application

## Peer Review Certification of Non-Participant in Evaluation of Individual Applications Because of Real or Apparent Conflict of Interest Panel: Basic Cancer Research-2

Meeting: Onsite Meeting

	Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/Initials*
÷ [	10-17-19	RP200092	Huse, Jason	The University of Texas M. D. Anderson Cancer Center	Petrini, John	9	EMO
	10-17-19	RP200166	Millward, Steven	The University of Texas M. D. Anderson Cancer Center	Tomkinson, Alan	M Tunk	FMD
-	10-11-19	RP200254	Paull, Tanya	The University of Texas at Austin	Petrini, John	See note	EMO
	10-11-19 10/17/19	RP200279	Lee, Sang Eun	The University of Texas Health Science Center at San Antonio	Tomkinson, Alan	NO+ Discussed	EMD
	10-17-14	RP200391	Wang, Y. Alan	The University of Texas M. D. Anderson Cancer Center	Bardeesy, Nabeel	22	EMO
							-
*	John Wos RPZo	Petrini NOT ds 0092 W	Signed on the US icussed. ics pot discussed	ong line was suppose	to Sign RP	12002541 but Sign on A12	l∞stZ Vhicn
(	GDIT A <sub>I</sub>	oproval:	Eder Delea		Cor	mments:	
	Name (P. Signatur	RINT): 			/	No additional COl	5
	Date:		10-17-19				

<sup>\*</sup>A GDIT representative will add their name and initials to the form to acknowledge that the reviewer identified as a Conflict of Interest has signed the form and left the panel room during the discussion of the application

## Peer Review Certification of Non-Participant in Evaluation of Individual Applications Because of Real or Apparent Conflict of Interest

Panel: Cancer Biology Meeting: Onsite Meeting

This is to certify that I was not present and did not participate in the review of the following applications:

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/Initials*
bjulla	RP200056	Conacci Sorrell, Maralice	The University of Texas Southwestern Medical Center	Christofk, Heather		EMO
<u>િદા[1</u> 9	RP200077	Zuo, Xiangsheng	The University of Texas M. D. Anderson Cancer Center	Issa, Jean-Pierre		EMO
bizlla	RP200197	Liang, Shuang	The University of Texas Southwestern Medical Center	Christofk, Heather	7	EMO
10/zilia	RP200221	Martinez, Elisabeth	The University of Texas Southwestern Medical Center	Christofk, Heather	Not discussed	EMO
10/7//14	RP200315	PARK, JAE-IL	The University of Texas M. D. Anderson Cancer Center	Issa, Jean-Pierre	Reviewer not fract duty as assussion	EMO
	RP200408	Taniguchi, Cullen	The University of Texas M. D. Anderson Cancer Center	Tonachel, Anne	anether	EMO
					**************************************	

\* Heatner Christofk inadvertently Signel Wlang COI line; nowever, application was not discussed.

GDIT Approval:	Edes peleon	Comments:
Name (PRINT):	- C. S.	No additional cols
Signature:		
Date:	10/21/2019	

<sup>\*</sup>A GDIT representative will add their name and initials to the form to acknowledge that the reviewer identified as a Conflict of Interest has signed the form and left the panel room during the discussion of the application

# Peer Review Certification of Non-Participant in Evaluation of Individual Applications Because of Real or Apparent Conflict of Interest Panel: Cancer Prevention Research Meeting: Onsite Meeting

This is to certify that I was not present and did not participate in the review of the following applications:

Date	Application Number	Applicant/PD/P1 Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/ Initials*
1422/19	RP200025	Sturgis, Erich	The University of Texas M, D. Anderson Cancer Center	Li. Christopher	Ce C	VRC
927/19	RP200094	Chen, Ru	Baylor College of Medicine	Petersen, Gloria	Hory altern	VRE
19/23/19	RP200099	Pan, Sheng	The University of Texas Health Science Center at Houston	Petersen, Gloria	Application not disussed	VRE
1/22/14	RP200145	Deshmukh, Ashish	The University of Texas Health Science Center at Houston	Brandon, Thomas	my an	VRC
424/9	RP200141	Cowell, Lindsay	The University of Texas Southwestern Medical Center	Li, Christopher	and	VRE
0/24/19	RP200141	Cowell, Lindsay	The University of Texas Southwestern Medical Center	Barlow, William	W. Bar En	VRI
Sould	RP200159	Shastri, Surendranath	The University of Texas M. D. Anderson Cancer Center	Brandon, Thomas	hugh	VRE
10/22/4	RP200193	Ghosh, Rita	The University of Texas Health Science Center at San Antonio	Barlow, William	Whengano	Ves
10/22/19	RP200238	Patel, Divya	The University of Texas Health Center at Tyler	Brandon, Thomas	1 4h	VES
9/22/19	RP200305	Robinson, Jason	The University of Texas M. D. Anderson Cancer Center	Brandon, Thomas	2246	vice

GDIT Approval:	Comments:
Name (PRINT): VINICEIA CARTER Signature: VI MICCUA CARTER	No additional COIs
Date: 10/22/19	

### Peer Review Certification of Non-Participant in Evaluation of Individual Applications Because of Real or Apparent Conflict of Interest Panel: Cancer Prevention Research Meeting: Onsite Meeting

This is to certify that I was not present and did not participate in the review of the following applications:

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/ Initials*
10/22/19	RP200336	Scheet, Paul	The University of Texas M. D. Anderson Cancer Center	Mucci, Lorelei	An Reviewer had not get juned call-Verified Telephonically	VRE
922/19	RP200441	Sen, Subrata	The University of Texas M, D. Anderson Cancer Center	Petersen, Gloria	Application not discussed	VRE

1100
additional COIs
(

# Peer Review Certification of Non-Participant in Evaluation of Individual Applications Because of Real or Apparent Conflict of Interest Panel: Cancer Prevention Research Meeting: Onsite Meeting

This is to certify that I was not present and did not participate in the review of the following applications:

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/ Initials*
						-
_						-
						-

GDIT Approval:	Comments:
Name (PRINT): VIN 15019 Carter	No additional COIs
Date: 10/22/19	

### Peer Review Certification of Non-Participant in Evaluation of Individual Applications Because of Real or Apparent Conflict of Interest Panel: Clinical and Translational Cancer Research Meeting: Onsite Meeting

This is to perfife that I was not present and did not participate in the review of the following applications:

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/ Initials*
0/24/19	RP200291	Konopleva, Marina	The University of Texas M. D. Anderson Cancer Center	Mullighan, Charles	alegan	VPS
0/24/19	RP200356	Dusari, Arvind	The University of Texas M. D. Anderson Cancer Center	Hochster, Howard	AVA	VRC
9/24/19	RP200432	Reynolds, Charles	Texas Tech University Health Sciences Center	Kast, W. Martin	womples	VPC

GDIT Approval:	Comments:
Name (PRINT): VI Niceia Carter Signature: VINJORIA CARTE	No Additional Cols
Date: 10/24/19	

# Peer Review Certification of Non-Participant in Evaluation of Individual Applications Because of Real or Apparent Conflict of Interest Panel: Clinical and Translational Cancer Research Meeting: Onsite Meeting

This is to certify that I was not present and did not participate in the review of the following applications:

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/P1 Organization	Reviewer Name	Reviewer Signature	GDIT Name/ Initials*
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	- A					_
						1

GDIT Approval:	Comments:	
Name (PRINT): VINICEIA CONTER Signature: VINICEIA CONTER	No Additional COIS	
Date: 10/2 4119		

representative will add their name and initials to the form in admossledge that the my jewer identified as a Conflict of Interest has sugged the form and left the paint power during the discussion of the applications will add their name and initials to the form during the discussion of the applications will add their name and initials to the form of the discussion of the applications will add their name and initials to the form of the discussion of the applications of the applications and the applications of the form of the paint of

# Peer Review Certification of Non-Participant in Evaluation of Individual Applications Because of Real or Apparent Conflict of Interest Panel: Imaging Technology and Informatics Meeting: Onsite Meeting

This is to certify that I was not present and did not participate in the review of the following applications:

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/ Initials*
0 23 19	RP200021	Zhang, Li	The University of Texas at Dallas	Wu, Anna	Comme La	VRE
11.1	RP200021	Zhang, Li	The University of Texas at Dallas	Berbeco, Ross	1. (K 16	VRC
	RP200161	Mason, Ralph	The University of Texas Southwestern Medical Center	Wu, Anna	DE-	VRC
10/23/19	RP200161	Mason, Ralph	The University of Texas Southwestern Medical Center	Berbeco, Ross	22	VRC
0/25/19	RP200167	Pagel, Mark	The University of Texas M. D. Anderson Cancer Center	Basilion, James	Verified Telephynically	VAC
10/23/19	RP200192	Jia, Xun	The University of Texas Southwestern Medical Center	Wu, Anna	ana	VIRE
10 23 1	RP200214	Fei, Baowei	The University of Texas at Dallas	Wu, Anna	Clark	vac
10 23 1	RP200233	Zheng, Jie	The University of Texas at Dalias	Wu, Anna	amel	VRE
19/23/	RP200351	Nguyen, Kytai	The University of Texas at Arlington	Wu, Anna	Not Discussed	VRC
	RP200375	Lin, Lilie	The University of Texas M. D. Anderson Cancer Center	Mankoff, David	10	WEE
10/23/10	RP200456	Choi, Changho	The University of Texas Southwestern Medical Center	Wu, Anna	anu	· VRC
10/23/10	RP200479	Zoldan, Janet	The University of Texas at Austin	Wa, Anna	ana	VRE

GDIT Approval:	Comments:		
Name (PRINT): VINICEIA Carter Signature: VINICEIA Carter	No Additional COIs		
Date: 10/23/19	Constitution of the state of th		

# Peer Review Certification of Non-Participant in Evaluation of Individual Applications Because of Real or Apparent Conflict of Interest Panel: Imaging Technology and Informatics Meeting: Onsite Meeting

This is to certify that I was not present and did not participate in the review of the following applications:

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/ Initials*
10/22/19	RP200497	Jawarski, Justyn	The University of Texas at Arlington	Wu, Anna	Not discussed	VRE

GDIT Approval:	Comments:
Name (PRINT): VINICEIA CUNTER Signature: VINICEIA CONTER	No Additional CoIs
Date: 10/23/19	

# Peer Review Certification of Non-Participant in Evaluation of Individual Applications Because of Real or Apparent Conflict of Interest Panel: Imaging Technology and Informatics Meeting: Onsite Meeting

This is to certify that I was not present and did not participate in the review of the following applications:

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/ Initials*
_	-					
						4
_						

GDIT Approval:	Comments:
Name (PRINT): V. Niczia Carter Signature: VI Matria Carts	No Additional COIS
Date: 10/23/19	

## Peer Review Certification of Non-Participant in Evaluation of Individual Applications Because of Real or Apparent Conflict of Interest 2020 Cancer Prevention and Research Institute of Texas Academic Research Program

#### 20.1 Scientific Review Council Meeting

Meeting Type: Teleconference Review

This is to certify that I was not present and did not participate in the review of the following applications:

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/ Initials*
					1450444444	
						**
			77. 77.00			

GDIT Approval:	Comments:	
Name (PRINT): Vi Niceia Carter		
Signature: Vi Michiel Cart	No additional COIs	
Date: 12/12/19		

### Peer Review Certification of Non-Participant in Evaluation of Individual Applications Because of Real or Apparent Conflict of Interest Panel: 20.2 Basic Cancer Research-2

Meeting: Teleconference Meeting

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/ Initials*
4/17/20	RP200570	Cisneros, Gerardo	University of North Texas	Weitzman, Matthew	Application Not Discussed	VRC

GDIT Approval:	Comments:	
Name (PRINT): ViNiceia Carter		
Signature: Villicia Carter	No additional COIs	
<b>Date:</b> April 17, 2020		

<sup>\*</sup>A GDIT representative will add their name and initials to the form to acknowledge that the reviewer identified as a Conflict of Interest has signed the form and left the panel room during the discussion of the application

### Peer Review Certification of Non-Participant in Evaluation of Individual Applications Because of Real or Apparent Conflict of Interest Panel: 20.2 Basic Cancer Research-2

**Meeting: Teleconference Meeting** 

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/ Initials*

GDIT Approval:	Comments:
Name (PRINT): ViNiceia Carter	
Signature: Villicia Carter	No additional COIs
<b>Date:</b> April 17, 2020	

<sup>\*</sup>A GDIT representative will add their name and initials to the form to acknowledge that the reviewer identified as a Conflict of Interest has signed the form and left the panel room during the discussion of the application

### Peer Review Certification of Non-Participant in Evaluation of Individual Applications Because of Real or Apparent Conflict of Interest Panel: 20.2 Cancer Biology

Meeting: Teleconference Meeting

	Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/Initials*
4	1/22/2020	RP200604	Chapkin, Robert	Texas AgriLife Research	Fearon, Eric	Verified telephonically	EMD

GDIT Approval:	Comments:
Name (PRINT): Eder De Leon	No additional COIs
Signature: Aer De Leon	
<b>Date:</b> April 22, 2020	

<sup>\*</sup>A GDIT representative will add their name and initials to the form to acknowledge that the reviewer identified as a Conflict of Interest has signed the form and left the panel room during the discussion of the application

### Peer Review Certification of Non-Participant in Evaluation of Individual Applications Because of Real or Apparent Conflict of Interest Panel: 20.2 Cancer Biology

Meeting: Teleconference Meeting

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/Initials*

GDIT Approval:	Comments:
Name (PRINT): Eder De Leon	No additional COIs
Signature der De Leon	
<b>Date:</b> April 22, 2020	

<sup>\*</sup>A GDIT representative will add their name and initials to the form to acknowledge that the reviewer identified as a Conflict of Interest has signed the form and left the panel room during the discussion of the application

### Peer Review Certification of Non-Participant in Evaluation of Individual Applications Because of Real or Apparent Conflict of Interest Panel: 20.2 Cancer Prevention Research

**Meeting: Teleconference Meeting** 

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/ Initials*
4/20/20	RP200524	Hassan, Manal	The University of Texas M. D. Anderson Cancer Center	Parker, Alexander	Application not discussed	VRC
4/20/20	RP200537	Thrift, Aaron	Baylor College of Medicine	Haiman, Christopher	Verified telephonically	VRC

GDIT Approval:	Comments:
Name (PRINT): ViNiceia Carter	
Signature: Villicia Cirter	No additional COIs
Date: April 20, 2020	

<sup>\*</sup>A GDIT representative will add their name and initials to the form to acknowledge that the reviewer identified as a Conflict of Interest has signed the form and left the panel room during the discussion of the application

### Peer Review Certification of Non-Participant in Evaluation of Individual Applications Because of Real or Apparent Conflict of Interest Panel: 20.2 Cancer Prevention Research

**Meeting: Teleconference Meeting** 

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/ Initials*

GDIT Approval: Comments:	
Name (PRINT): ViNiceia Carter	N. III. 1001
Signature: Villicia Carter	No additional COIs
<b>Date:</b> April 20, 2020	

<sup>\*</sup>A GDIT representative will add their name and initials to the form to acknowledge that the reviewer identified as a Conflict of Interest has signed the form and left the panel room during the discussion of the application

## Peer Review Certification of Non-Participant in Evaluation of Individual Applications Because of Real or Apparent Conflict of Interest

### Panel: 20.2 Clinical and Translational Cancer Research Meeting: Teleconference Meeting

This is to certify that I was not present and did not participate in the review of the following applications:

	Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/Initials*
4/	23/2020		Zaki, Hasan	The University of Texas Southwestern Medical Center	Engelhard, Victor	Application not discussed	EMD

GDIT Approval:	Comments:
Name (PRINT): Eder De Leon	No additional COIs
Signature: Asr De Leon	
Date: 4/23/2020	

## Peer Review Certification of Non-Participant in Evaluation of Individual Applications Because of Real or Apparent Conflict of Interest

### Panel: 20.2 Clinical and Translational Cancer Research Meeting: Teleconference Meeting

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/Initials*

GDIT Approval:	Comments:
Name (PRINT): Eder De Leon	No additional COIs
Signature: Eder De Leon	
Date: 4/23/2020	

<sup>\*</sup>A GDIT representative will add their name and initials to the form to acknowledge that the reviewer identified as a Conflict of Interest has signed the form and left the panel room during the discussion of the application

# Peer Review Certification of Non-Participant in Evaluation of Individual Applications Because of Real or Apparent Conflict of Interest Panel: 20.2 Imaging Technology and Informatics Meeting: Teleconference Meeting

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/ Initials*
4/21/20	RP200538	Hoyt, Kenneth	The University of Texas at Dallas	Zinn, Kurt	Application not discussed	VRC
4/21/20	RP200607	Xiao, Han	Rice University	Lewis, Jason	Verified telephonically	VRC
	RP200614	Pinney, Kevin	Baylor University	Pomper, Martin	Verified telephonically	VRC
4/21/20	RP200634	Alexandrakis, Georgios	The University of Texas at Arlington	Chatziioannou, Arion- Xenofon	Verified telephonically	VRC

GDIT Approval:	Comments:
Name (PRINT): ViNiceia Carter	
Signature: Villicia Curter	No additional COIs
Date: April 21, 2020	

<sup>\*</sup>A GDIT representative will add their name and initials to the form to acknowledge that the reviewer identified as a Conflict of Interest has signed the form and left the panel room during the discussion of the application

### Peer Review Certification of Non-Participant in Evaluation of Individual Applications Because of Real or Apparent Conflict of Interest Panel: 20.2 Imaging Technology and Informatics

**Meeting: Teleconference Meeting** 

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/ Initials*

GDIT Approval:	Comments:
Name (PRINT): ViNiceia Carter	
Signature: Villiceia Carter	No additional COIs
Date: April 21, 2020	1 to additional CO15

<sup>\*</sup>A GDIT representative will add their name and initials to the form to acknowledge that the reviewer identified as a Conflict of Interest has signed the form and left the panel room during the discussion of the application

## Peer Review Certification of Non-Participant in Evaluation of Individual Applications Because of Real or Apparent Conflict of Interest 2020 Cancer Prevention and Research Institute of Texas Academic Research Program

#### 20.2 Scientific Review Council Meeting

**Meeting Type: Teleconference Review** 

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/ Initials*

GDIT Approval:	Comments:
Name (PRINT): Eder De Leon	
Signature: Caer De Leon	No additional COIs
<b>Date:</b> July 9, 2020	

<sup>\*</sup>A GDIT representative will add their name and initials to the form to acknowledge that the reviewer identified as a Conflict of Interest has signed the form and left the panel room during the discussion of the application

# Peer Review Certification of Non-Participant in Evaluation of Individual Applications Because of Real or Apparent Conflict of Interest Panel: Recruitment FY20 Cycle 1 Meeting: Teleconference

This is to certify that I was not present and did not participate in the review of the following applications:

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/
8/2/6	RR190108	Draetta, Gulio	The University of Texas M. D. Anderson Cancer Center	Jones, Peter	Verified telephonically by GDT (reviewer not present)	Initials*  T VRC
					(reviewer not present)	
						****
					:	

GDIT Approval:	Comments:
Name (PRINT): VINICEIA Carter	
Signature: VI Miceia Carter	No additional COIS
Date: 8(15)19	
*A GDIT companyation will add to	"

## Peer Review Certification of Non-Participant in Evaluation of Individual Applications Because of Real or Apparent Conflict of Interest Panel: Recruitment FY20\_Cycle 2 and 3

Meeting: Teleconference

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/Initials*
10/10/2019	RR200009	Kuspa, Adam	Baylor College of Medicine	Sellers, Thomas	Verified telephonically by GDI	EMO
	***************************************					
	***************************************					· **
						****
						:

GDIT Approval:	E der Deren	Comments:
Name (PRINT):		- CmTS
Signature:		- No additional COIS
Date:	101617019	

<sup>\*</sup>A GDIT representative will add their name and initials to the form to acknowledge that the reviewer identified as a Conflict of Interest has signed the form and left the panel room during the discussion of the application

# Peer Review Certification of Non-Participant in Evaluation of Individual Applications Because of Real or Apparent Conflict of Interest Panel: 2020 - CPRIT REC Recruitment FY20\_Cycle 4 and 5 Meeting: Teleconference

This is to certify that I was not present and did not participate in the review of the following applications:

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/ Initials*
lasiase	RR200029	Draetta, Gulio	The University of Texas M. D. Anderson Cancer Center	O'Reilly, Richard	Verified Telephonically	VRC

GDIT Approval:	Comments:
Name (PRINT): ViNiceia Carter	
Signature: Vi Miceier Carter	No additional cots
Date: 12/12/2019	i de la companya de l

## Peer Review Certification of Non-Participant in Evaluation of Individual Applications Because of Real or Apparent Conflict of Interest Panel: 2020 - CPRIT REC Recruitment FY20\_Cycle 4 and 5

Meeting: Teleconference

This is to certify that I was not present and did not participate in the review of the following applications:

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/ Initials*
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		•				
					*, ··, (av.	

GDIT Approval:	Comments:
Name (PRINT): Vi Niceia Carter	) 00Fc
Signature: Vi Micera Carter	No additional COIs
Date: 12 12 2019	

### Peer Review Certification of Non-Participant in Evaluation of Individual Applications Because of Real or Apparent Conflict of Interest Panel: Recruitment FY20\_Cycle 6

Meeting: Teleconference

This is to certify that I was not present and did not participate in the review of the following applications:

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/ Initials*
116/25	RR200035 O	Lee, W. P. Andrew	The University of Texas Southwestern Medical Center	Brown, Myles	Verifier telephonically	EMO
					by (GDIT)	

Signature:

Date: 1-16-2026

Comments:

Comments:

No additional Cols

## Peer Review Certification of Non-Participant in Evaluation of Individual Applications Because of Real or Apparent Conflict of Interest Panel: Recruitment FY20\_Cycle 6

Meeting: Teleconference

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/ Initials*
					_	
						·

GDIT Approval:	Comments:
Name (PRINT): Elec De Lear	
Signature:	No additional Cols
Date: 1-16-7020	

## Peer Review Certification of Non-Participant in Evaluation of Individual Applications Because of Real or Apparent Conflict of Interest Panel: Recruitment FV20, Cycle 7

Panel: Recruitment FY20\_Cycle 7
Meeting: Teleconference

This is to certify that I was not present and did not participate in the review of the following applications:

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/ Initials*
					·	
					, , , , , , , , , , , , , , , , , , ,	
	:					

GDIT Approval:	Comments:
Name (PRINT): VINICEIA Carter	
Signature: Vi Millia Carter	No additional COI
Date: 2/13/20	

## Peer Review Certification of Non-Participant in Evaluation of Individual Applications Because of Real or Apparent Conflict of Interest Panel: Recruitment FY20\_Cycle 8 Meeting: Teleconference

This is to certify that I was not present and did not participate in the review of the following applications:

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/ Initials*
3/12/20 20	RR200048	Dickinson, Mary	Baylor College of Medicine	Tempero, Margaret	Lailer telephonically biggo	r E MO
3/12/20 20	RR200057	Draetta, Gulio	The University of Texas M. D. Anderson Cancer Center	Tempero, Margaret	verifier telephonically	EMO

by GOLT

GDIT Approval:	Comments:
Name (PRINT): Leer De Lear	No additional
Signature:	COIS
Date: 3 172 / 2020	Co #0

\*A GDIT representative will add their name and initials to the form to acknowledge that the reviewer identified as a Conflict of Interest has signed the form and left the panel room during the discussion of the application

3/5/2020 9:36:29 AM

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Page 1 of 2

## Peer Review Certification of Non-Participant in Evaluation of Individual Applications Because of Real or Apparent Conflict of Interest Panel: Recruitment FY20\_Cycle 8 Meeting: Teleconference

This is to certify that I was not present and did not participate in the review of the following applications:

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/ Initials*
		<u>.                                    </u>				
				_		
				·		

GDIT Approval:	Comments:
Name (PRINT): Eder De Lesa	No - William
Signature: 68	No additional
Date: 3/12/2020	20.72

\*A GDIT representative will add their name and initials to the form to acknowledge that the reviewer identified as a Conflict of Interest has signed the form and left the panel room during the discussion of the application

3/5/2020 9:36;29 AM

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Page 2 of 2

# Peer Review Certification of Non-Participant in Evaluation of Individual Applications Because of Real or Apparent Conflict of Interest Panel: Recruitment FY20\_Cycle 10 Meeting: Teleconference

	Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/Initials*
,	5/14/2020		Lee, W. P. Andrew	The University of Texas Southwestern Medical Center	Brown, Myles	Verified telephonically	EMD

GDIT Approval:	Comments:
Name (PRINT): Eder De Leon	No additional COIs
Signature: Eder De Leon	
Date: 5/14/2020	

<sup>\*</sup>A GDIT representative will add their name and initials to the form to acknowledge that the reviewer identified as a Conflict of Interest has signed the form and left the panel room during the discussion of the application

## Peer Review Certification of Non-Participant in Evaluation of Individual Applications Because of Real or Apparent Conflict of Interest Panel: Recruitment FY20\_Cycle 10

**Meeting: Teleconference** 

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/Initials*

GDIT Approval:	Comments:
Name (PRINT): Eder De Leon	No additional COIs
Signature: Eder De Leon	
Date: 5/14/2020	

<sup>\*</sup>A GDIT representative will add their name and initials to the form to acknowledge that the reviewer identified as a Conflict of Interest has signed the form and left the panel room during the discussion of the application

#### Peer Review Certification of Non-Participant in Evaluation of Individual Applications Because of Real or Apparent Conflict of Interest Panel: Recruitment FY20\_Cycle 11

**Meeting: Teleconference** 

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/ Initials*

GDIT Approval:	Comments:
Name (PRINT):  ViNiceia Carter	
Signature: Villiaia Carter	No additional COIs
<b>Date:</b> June 11, 2020	

<sup>\*</sup>A GDIT representative will add their name and initials to the form to acknowledge that the reviewer identified as a Conflict of Interest has signed the form and left the panel room during the discussion of the application

#### Peer Review Certification of Non-Participant in Evaluation of Individual Applications Because of Real or Apparent Conflict of Interest Panel: Recruitment FY20\_Cycle 12

**Meeting: Teleconference** 

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/Initials*

GDIT Approval:	Comments:
Name (PRINT): Eder De Leon	y transfer
Signature: Eder De Leon	No additional COIs
<b>Date:</b> July 9, 2020	

<sup>\*</sup>A GDIT representative will add their name and initials to the form to acknowledge that the reviewer identified as a Conflict of Interest has signed the form and left the panel room during the discussion of the application

# Peer Review Certification of Non-Participant in Evaluation of Individual Applications Because of Real or Apparent Conflict of Interest Panel: 20.1\_Prevention Panel-1 Meeting: Onsite Meeting

This is to certify that I was not present and did not participate in the review of the following applications:

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	AMC Reviewer Signature	GDIT Name/ Initials*
15/11	PP200016	Calmbach, Walter	The University of Texas Health Science Center at San Antonio	Brownson, Ross	Xx Reson	AMC
12/10	<u> </u>	Pignone, Michael	The University of Texas at Austin	Plescia, Marcus	Mula	AMC

AMC

GDIT Approval:	Comments:
SDIT Approval: Name (PRINT): Aaron Chumbris	
Signature: Of Ch:	
Date: 12-11-19	

Meeting: Onsite Meeting

This is to certify that I was not present and did not participate in the review of the following applications:

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/ Initials*
12/10	PP200028	Basin-Engquist, Karen	The University of Texas M.D. Andorson Cancor Center	EriKsen, Michael	M	AMC
		<u> </u>				
		, ,				
······································						

GDIT Approval:	Comments:
Name (PRINT): Haton Chumbris	1 001
Signature: Un Chi	No Additional Cols
Date: 12-11-19	·

### Peer Review Certification of Non-Participant in Evaluation of Individual Applications Because of Real or Apparent Conflict of Interest

Panel: 20.1 Prevention DI Meeting: Teleconference

This is to certify that I was not present and did not participate in the review of the following applications:

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/Initials*
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		1-01				
	:				•	
					·	
•						

SDIT Approval: Agron Chumbris	Comments:
Name (PRINT): Harm Chumbris Signature: Our Occ.	No Additional COls
Date: 1/17/20	

### Peer Review Certification of Non-Participant in Evaluation of Individual Applications Because of Real or Apparent Conflict of Interest Panel: 20.1 Prevention Review Council Meeting

Meeting: Teleconference

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/ Initials*
1/17	PP200016	Calmbach, Walter	The University of Texas Health Science Center at San Antonio	Brownson, Ross	Not discussed	AMC
						-
	]			•		
**************************************						

GDIT Approval: Name (PRINT): Harm Chumbris	Comments:
Name (PRINT): HAMM Chambris	
Signature: Um Cla	
Date: 1/17/20	

<sup>\*</sup>A GDIT representative will add their name and initials to the form to acknowledge that the reviewer identified as a Conflict of Interest has signed the form and left the panel room during the discussion of the application

# Peer Review Certification of Non-Participant in Evaluation of Individual Applications Because of Real or Apparent Conflict of Interest Panel: 20.1 Prevention Review Council Meeting Meeting: Teleconference

This is to certify that I was not present and did not participate in the review of the following applications:

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/ Initials*
				·		***
			***			

GDIT Approval:	Comments:
Name (PRINT): Aaron Chumbris	1 11 1 00:
Signature: Ch	No Additional Cols
Date: 1/17/20	

Meeting: Teleconference Meeting

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/Initials*

GDIT Approval:	Comments:
Name (PRINT): Aaron Chumbris	
Signature: On Chili	No Additional COIs
Date: 5/12/20	

<sup>\*</sup>A GDIT representative will add their name and initials to the form to acknowledge that the reviewer identified as a Conflict of Interest has signed the form and left the panel room during the discussion of the application

#### Peer Review Certification of Non-Participant in Evaluation of Individual Applications Because of Real or Apparent Conflict of Interest Panel: 20.2 Prevention Review Council Meeting

**Meeting: Teleconference** 

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/Initials*

GDIT Approval:	Comments:
Name (PRINT): Aaron Chumbris	
Signature: Os Chilis	No additional COIs
Date: 6/15/20	

<sup>\*</sup>A GDIT representative will add their name and initials to the form to acknowledge that the reviewer identified as a Conflict of Interest has signed the form and left the panel room during the discussion of the application

This is to certify that I was not present and did not participate in the review of the following applications:

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/Initials*
9/24/19	DP200023	Syed, Sohail	Theracle, Inc.	Saxberg, Bo	Verified telephonically by GDIT	The state of the s
124/19	DP200059	Gelber, Cohava	Stromatis Pharma, LLC	Moore, Marcia	Verstedtekohonicalki bu GDIT	16207
124/19	DP200059	Gelber, Cohava	Stromatis Pharma, LLC	Saxberg, Bo	Next ed telephonically bix GDLT	MM
BH/IA	DP200059	Gelber, Cohava	Stromatis Pharma, LLC	Spector, Neil	Versied telephonically by GDIT	

	*Document was revised on 2/	11/20 to correct meeting
GDIT Approval:	Comments: type error	0
Name (PRINT): Kat Weilminster	ð	
Signature:	No Additional COIS	**************************************
Date: 9-24-19		

Meeting: Teleconference

This is to certify that I was not present and did not participate in the review of the following applications:

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/Initials*
					1-11-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1	

GDIT Approval:	*Document was revised on 2/11/20 to correct  Comments: meeting type error
Name (PRINT): hat weiminster Signature:	No additional COIS
Date: 9-24-19	

Meeting: Onsite Meeting

This is to certify that I was not present and did not participate in the review of the following applications:

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/Initials*
			Liste marrie			
	1					

GDIT Approval:	Comments:
Name (PRINT): Wal Nermonster	
Signature:/	Nondaifional (OIS
Date: 16-23-19	NU autor ( 1.0.1.aut C 0 )

This is to certify that I was not present and did not participate in the review of the following applications:

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/Initials*
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GDIT Approval:	Comments:
Name (PRINT): ful We Min V	1 1 1
Signature:	Model Cots
Date: 10-23-101	Good to Dred COI)

Meeting: Onsite Meeting

This is to certify that I was not present and did not participate in the review of the following applications:

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/Initials*
10/24/19	DP200005	Marathi, Upendra	7 Hills Pharma LLC	Trainor, George		1/KJVI
	DP200005	Marathi, Upendra	7 Hills Pharma LLC	Alland, Leila	Jes 2 All	16 N
	DP200037	DiMascio, Leah	DGD Pharmaceuticals, Corp.	Trainor, George		1/X/V1
	DP200037	DiMascio, Leah	DGD Pharmaceuticals, Corp.	Trainor, Diane Amy	1 Sk har	> 1/k IN
( ( )		DīMascio, Leah	DGD Pharmaceuticals. Corp.	Alland, Leïla	Tala Alix	1/2V1
		Thapar, Neil	Barricade Therapeutics, Corp.	Trainor, George <		1/6W
	DP200056	Thapar, Neil	Barricade Therapeutics, Corp.	Alland, Leila	ZelaAtt	1 YLW
	DP200056	Thapar, Neil	Barricade Therapeutics, Corp.	Trainor, Diane Amy	a/(1)	
						,

GDIT Approval:	Comments:
Name (PRINT): Mat Weilming fly	a 3.1
Signature: / 53	No additional COIS
Date: 10-19	Wo additional W13

Meeting: Onsite Meeting

This is to certify that I was not present and did not participate in the review of the following applications:

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/Initials*
					·	
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GDIT Approval:	Comments:
Name (PRINT): hat IVE I PM int) I P	() ) )
Signature:	Abadalic V Co-
Date: (0-) h - [0]	rvo additional CUIS

# Peer Review Certification of Non-Participant in Evaluation of Individual Applications Because of Real or Apparent Conflict of Interest Panel: Product Development Panel-2 Meeting: Teleconference Meeting

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/Initials*
9/25	DP200005	Marathi, Upendra	7 Hills Pharma LLC	Trainor, George	Verified Telephonically by GDIT	MW
9/25	DP200005	Marathi, Upendra	7 Hills Pharma LLC	Alland, Leila	Kinified tole Phonically by GDI	'MW
9/25	DP200016	Stojanovic, Alex	Oncolyze, Inc.	Li, Yueming	serified tele Phonically bubil	IT RW
9/250	DP200021	Morris, Stephan	Ohm Oncology Inc.	Li, Yueming	Verified telephonically 675 1	MW
9/25	DP200026	Leopold, Judith	Mekanistic Therapeutics	Fox, Judith	Verified top Phonically by 67717	· WW
9/25	DP200026	Leopold, Judith	Mekanistic Therapeutics	Alland, Leila	leifer the Ohn wally by GDIT	1/2 W
9/25	DP200037	DiMascio, Leah	DGD Pharmaceuticals, Corp.	Trainor, George	Vertelle Planically by GALT	
9/25	DP200037	DiMascio, Leah	DGD Pharmaceuticals, Corp.	Trainor, Diane Amy	bis ed blophonoully but 6DIT	1/4:10
9/25	DP200037	DiMascio, Leah	DGD Pharmaceuticals, Corp.	Alland, Leila	Vertical elephonically by 672	- /////
9/25	DP200049	Zhang, Eric	AKSO Biopharmaceutical, Inc.	Alland, Leila	Vertical Talestronically by (51) IT	MW
9/25	DP200056	Thapar, Neil	Barricade Therapeutics, Corp.	Trainor, George	Verified To betternially by ODD	· //W)
9/25	DP200056	Thapar, Neil	Barricade Therapeutics, Corp.	Alland, Leila	Verified Election will be 67/2	RW
					1	<del></del>

GDIT Approval:	Comments:
Name (PRINT): hot herine Newingsler	1.0
Signature:	The Architonal (DIS
Date: 9/25/19	100 / 100011011000000000000000000000000

<sup>\*</sup> A GDIT representative will add their name and initials to the form to acknowledge that the reviewer identified as a Conflict of Interest has signed the form and left the panel room during the discussion of the application

Meeting: Teleconference Meeting

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/Initials*
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						<del>~.</del>
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GDIT Approval:	Comments:
Name (PRINT); Not hering Weilmins or	A
Signature: My	No Additional GOIS
Date: 9/2-5/19	

<sup>\*</sup> A GDIT representative will add their name and initials to the form to acknowledge that the reviewer identified as a Conflict of Interest has signed the form and left the panel room during the discussion of the application

**Meeting: Teleconference** 

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/Initials*

GDIT Approval:	Comments:
Name (PRINT): Kat Weilminster	
Signature: Kat Weilminster	No additional COIs
Date: 3/17/20	No additional COIS

<sup>\*</sup> A GDIT representative will add their name and initials to the form to acknowledge that the reviewer identified as a Conflict of Interest has signed the form and left the panel room during the discussion of the application

### Peer Review Certification of Non-Participant in Evaluation of Individual Applications Because of Real or Apparent Conflict of Interest Panel: CPRIT PDEV 20.1 DDP

Meeting: Teleconference

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/ Initials*
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	,					
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		MA				
,						

GDIT Approval:	Comments:
Name (PRINT): Kat We Iminster	1
Signature:	1 No Allie 1 COT-
Date: 1-13-20	No Additional CO15

<sup>\*</sup> A GDIT representative will add their name and initials to the form to acknowledge that the reviewer identified as a Conflict of Interest has signed the form and left the panel room during the discussion of the application

**Meeting: Teleconference Meeting** 

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/Initials*
3/23/20	DP200063	Louw, Johan	Immunicom	Wilkins, Robert	Not discussed	KW
3/23/20	DP200083	Levine, Jeff	Advanced Scanners, Inc.	Ginsberg, Mara	Not discussed	ΚW

GDIT Approval:	Comments:
Name (PRINT): Kat Weilminster	
Signature: Kat Weilminster	No additional COIs
Date: 3/23/20	140 additional COIS

<sup>\*</sup> A GDIT representative will add their name and initials to the form to acknowledge that the reviewer identified as a Conflict of Interest has signed the form and left the panel room during the discussion of the application

**Meeting: Teleconference Meeting** 

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/Initials*

GDIT Approval:	Comments:
Name (PRINT): Kat Weilminster	
Signature: Kat Weilminster	No additional COIs
Date: 3/23/20	Two additional COIS

<sup>\*</sup> A GDIT representative will add their name and initials to the form to acknowledge that the reviewer identified as a Conflict of Interest has signed the form and left the panel room during the discussion of the application

**Meeting: Teleconference Meeting** 

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/Initials*

GDIT Approval:	Comments:
Name (PRINT): Kat Weilminster	
Signature: Kat Weilminster	No additional COIs
<b>Date:</b> 4/21/20	100 additional COIS

<sup>\*</sup> A GDIT representative will add their name and initials to the form to acknowledge that the reviewer identified as a Conflict of Interest has signed the form and left the panel room during the discussion of the application

**Meeting: Teleconference Meeting** 

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/Initials*
3/24/20	DP200074	Clarke, Christine	Tachyon Therapeutics, Inc.	Gardner, Phyllis	Not discussed	KW
3/24/20	DP200094	Curran, Michael	Immunogenesis, Inc.	Swiderek, Kristine	Verified telephonically by GDIT	KW

GDIT Approval:	Comments:
Name (PRINT): Kat Weilminster	
Signature: Kat Weilminster	No additional COIs
<b>Date:</b> 3/24/20	

<sup>\*</sup> A GDIT representative will add their name and initials to the form to acknowledge that the reviewer identified as a Conflict of Interest has signed the form and left the panel room during the discussion of the application

**Meeting: Teleconference Meeting** 

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/Initials*

GDIT Approval:	Comments:
Name (PRINT): Kat Weilminster	
Signature: Kat Weilminster	No additional COIs
Date: 3/24/20	

<sup>\*</sup> A GDIT representative will add their name and initials to the form to acknowledge that the reviewer identified as a Conflict of Interest has signed the form and left the panel room during the discussion of the application

**Meeting: Teleconference Meeting** 

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/Initials*
4/24/20	DP200094	Curran, Michael	Immunogenesis, Inc.	Swiderek, Kristine	Verified telephonically	KW

GDIT Approval:	Comments:
Name (PRINT): Kat Weilminster	
Signature: Kat Weilminster	N. 11% 1 COL
<b>Date:</b> 4/24/20	No additional COIs

<sup>\*</sup> A GDIT representative will add their name and initials to the form to acknowledge that the reviewer identified as a Conflict of Interest has signed the form and left the panel room during the discussion of the application

**Meeting: Teleconference Meeting** 

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/Initials*

GDIT Approval:	Comments:
Name (PRINT): Kat Weilminster	
Signature: Kat Weilminster	No additional COIs
<b>Date:</b> 4/24/20	The desirence of the second

<sup>\*</sup> A GDIT representative will add their name and initials to the form to acknowledge that the reviewer identified as a Conflict of Interest has signed the form and left the panel room during the discussion of the application

#### Peer Review Certification of Non-Participant in Evaluation of Individual Applications Because of Real or Apparent Conflict of Interest Panel: CPRIT PDEV 20.2 DDP

**Meeting: Teleconference** 

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/Initials*
7/6/20	DP200094DD	Curran, Michael	Immunogenesis, Inc.	Swiderek, Kristine	Verified telephonically	KW

GDIT Approval:	Comments:
Name (PRINT): Kat Weilminster	No additional COIs
Signature: Kat Weilminster	
Date: 07/06/2020	

<sup>\*</sup> A GDIT representative will add their name and initials to the form to acknowledge that the reviewer identified as a Conflict of Interest has signed the form and left the panel room during the discussion of the application

#### Peer Review Certification of Non-Participant in Evaluation of Individual Applications Because of Real or Apparent Conflict of Interest Panel: CPRIT PDEV 20.2 DDP

**Meeting: Teleconference** 

Date	Application Number	Applicant/PD/PI Name	Applicant/PD/PI Organization	Reviewer Name	Reviewer Signature	GDIT Name/Initials*

GDIT Approval:	Comments:
Name (PRINT): Kat Weilminster	No additional COIs
Signature: Kat Weilminster	
Date: 07/06/2020	

<sup>\*</sup> A GDIT representative will add their name and initials to the form to acknowledge that the reviewer identified as a Conflict of Interest has signed the form and left the panel room during the discussion of the application



#### **FY2020 Post-Review Statements**

Scientific Research and Prevention Programs Committee; Program Integration Committee

Signature: 1.Cu	Date: 10/18/19
Printed Name: Tom CURRAN	

Signature: Alexelon Ah	Date: 10/18/19	
•		-
Printed Name: ALEXANDER ANDROSON		

Signature: M. Ball.	Date: _	10   18   2019
Printed Name: AUAN BARMAIN.		

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Printed Name: Steve Flering

Signature:		Date: <u>/0/18/2019</u>
Printed Name:	David GIUS	

Signature:	262	Date:	10/18/19
Printed Name: _	Kern Haisis		

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature:

Printed Name:

Date:

N Hands

Signature:	il P. Houchens		)ate: _	10/18/2019
Printed Name:	Maria P. Houch	eus		7

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signatufe:

Printed Name:

Date: 10

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature: Marth Julian \_\_\_\_\_\_ Date: 10/18/19

Printed Name: MARTIN McMAHON

Signature:	Date: _	Oct 18/19
Printed Name: Paul Worthcott		

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature:

Date

Printed Name:

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature: Heide Schaffen Date: Od 18-2019

Printed Name: HEIDE SCHAFFEN

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature: Date: 10/18/19

Printed Name: Kush Swanson

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature.

MMM Date: Robert Wechsler-Reyn

Signature:	Buto.		Date: _	10/18/19
Printed Name:	BANG O.	WILLDAM		

Signature:	Carol Preus	Date: 04 17/2019
Printed Name: _	CHROL PRIVE	5

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature:

Date:

Daic.

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature: Walter J. Chazin Date: 10/17/2019

Printed Name: Walter J. Chazin

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature: Date: 10/17/2019

Printed Name: Xinhin Chen

Signature:	$\mathbb{N}$ .	Elle	Date:	10117/19
Printed Name:	W, 7	Edelmann	<b>\</b>	

Signature: _	JA.	2	Date:	2619/017
Printed Nam	ne: David	Feldser		

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature: ///

Date: Oc (17, 2014

Printed Name: 3AM

SAM WARCSEDER

Signature: Colacles	_ Date:	10/17/19
Printed Name: THOMAS KODADEK		

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

ames Manfredi

Signature:	Cur peur	_ Date: _	10 - 1	7-19
Printed Name: _	CURTIS PESMEN			

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature: _	Ja	Date: /0/17/2019 EMX

Printed Name: JOHU RETRIN.

Signature:	lleukur	Date: 1700. 2019
Printed Name:	Ellen Pure	

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Printed Name: SWOVA CYCOVV

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature:

Printed Name:

Date:

SO NENBERO

Signature:	Ja	Date: <u>17 007</u> 2019
Printed Name: _	JAMES TAYLOR	

Signature:	Al Tombe	Date: _	Ochber 17, 2019.
Printed Name:	ALAN TOMKINSON	$\sim$	

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Signature: Mula Machen Date: 10/11/2019

Printed Name: Ann KThacher

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Signature:

Printed Name:

NATTUAN

Signature: Wasse	Date: Oct. 19, 2019		
Printed Name: Jeff Wrasa			

Signature: Jeker Jon	Date:	10/2/19
		77
Printed Name: PETER TOWES		

Signature: 5 How Bolinsky	Date: 10/21/19
Printed Name: Tteven Belinsky	

Signature:	9 1	Date: _	10/21/2019
Printed Name:	Calnile Bergers		

Signature: Heatty Urisid	Date: 10/21/19
Printed Name: Heather Christock	

Signature:			Date:	OCT 21, 2019
Printed Name:	DANIEL	DE CARYALHO		

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature:

Date: /0,21,70/9

Printed Name:

DE CLERCK

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Signature: MM Date: 10/21/19

Printed Name: Gestfrey L Greene

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Signature:

Date: 10 71 19

Printed Name: Patrick J. Gruher

Signature:	Date: _	10/2/19
	_	
Printed Name: William HAHN		

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Signature:

Printed Name:

0 0// 0 2

rael A. Holloysworth

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Printed Name: JEAN-PIERRE ISSA, MD

Signature:	Date: 10/22/2019
Novandar Maisen	or.
Printed Name: Alexander Meissn	<del>7</del> 1

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Signature: Date: 10/21/2019

Printed Name: Nouri Neamati

Signature:	With Sold	Date: _	10/21/19
		`	
Printed Name:	Keith Robertson		

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Signature: <u>Anne R Tonachel</u>

Date: <u>Oct 21, 30</u>19

Signature:	Carl Vou	etar	_ Date: _	10-21-19
Printed Name:	CAROL	VALLETT		

Signature:		ignature: Date: Octo		Date: October 21, 2019
Printed Name:	Ting Wang			

Signature:	Zena Verb	Date: 10/21/19
(		
Printed Name: _	Zena Weib	

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Signature: ) Date: 10/22/10

Printed Name: Thomas H. Jeller &

Signature:	Ultin Sub	
Printed Name:	William Barlow	

Signature:	Brian	Box	her	_Date: _	10	22	2019
Printed Name:	Bri	an	Booke	V			

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Signature: Date: 16-12-15

Printed Name: Thomas H. Brandon

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Signature: Nooke Male

\_\_ Date: 10/23/20/0

Printed Name:

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Signature: Michal fredith Scenian Date: 10/22/2019

Printed Name: Michal - Judith Gillman

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Signature/

Printed Name:

Date:

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Date: OCt 22, 2019

NAGI B KUMAR

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Signature: Surrence H Kush

Signature:(	Cu C	Su <sub>t</sub>	Date: _	10/22/10
Printed Name:	Christopher	4,1		

Signature:	Maria E. Marting	Date: _	10/23/2019	
Printed Name:	Maria E Martinez			

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Signature:

Date: 16-22-19

Printed Name:

Signature:	lorelei	Murci	Date: 10   25   19
Printed Name	: Lorelei	Mueci	

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Signature:

Signature: Uute I. Pasktt		Date:
Printed Nam	e: Elected D. Postiett	

Signature:	Almir Petersen	Date: 10/22/	119
Printed Name:	Gloria Petersen		

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Signature:	0	0/22	12019

Printed Name: Chintha lapally V. Row

Λ

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Signature: Date: 10/21/19

Printed Name: FAZLUL H. SARKAR

Signature:	Ald Sall	Date:	22-19
Printed Name:	Robert Schnoll		

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Signature: 24 Warynt \_\_\_\_\_\_ Date: 10/22/19

Printed Name: Kirk Wangensteen

Signature: Rued Starf	Date: 10/29/19
Printed Name: Richard J. O'Reilly, MD	

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Signature: Margaret Tempero

Signature:	Mall		Date:	10/24/19	
Printed Name:	Steven	Balk			

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Signature: Date: 10/24/2017

Printed Name: TAUL A. BUNN MD

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Signature:

Printed Name:

Date:

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Signature: Vnich Eelhen Date: 10/24/19

Printed Name: Nictor H. Engelhard

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Signature: Date: 10/24

Printed Name: Samontha K Guild

Signature:	MA	Date:_	10/84/19
Printed Name:	H GOEKSTER		·

Signature:	Adaptive	
Printed Name:	Mickey HU	

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Date: 10-24-19

Printed Name: WIBE MARTIN KAST

Signature:	143		Date: _	10/24/2019
Printed Name: _	YING	Lu		

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Signature:

Printed Name:

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Signature:

Printed Name:—

no. Donna Nichols Threlkeld

Signature:	Clarks	96	Date: _	24 o CT 19
Printed Name:	CHARLES	MULLIGAN		

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nua Mickywied Date: 10/24/2019 Johna Niedzwiecki

Signature:

Printed Name:

Signature:	MN	Date:	10/24/19
Printed Name:	RIGHTSON PARKMEN		

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Signature:

Duinta d Mamai

Signature:			Date: _	10/24/10
Printed Name:	Horth	24007		

Signature: _	Anichael have	_ Date: _	10/24/2019
Printed Nam	ie: MICHAUZ PRADOS		

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Signature: _	/ Mi Del	Date: 10/24/19
<b>o</b> –		, , ,

Printed Name: And Class

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Signature:

Date:

Printed Name:

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Date: \_

Signature:

Printed Name: ACESSANURO SETTE

Signature:	ul	Se	_Date:_	10/24/19
Printed Name:	NEIL	SHAH		

Signature:	Tho l	8	_Date:	10/24/19
				·
Printed Name:	WALER	MADLER		

Signature:	Taylor, Barry Digitally signed by Taylor, Barry S./HOPP De-Memorial Sloan Kettering Cancer Center, user-Human Oncology and Pathogenesis Program, email-tayloru@mskc.corg, c=US Date: 2019.10.24 09:27:02-04'00'	Date: _	10/24/19	
Printed Name:	Barry S. Taylor			

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Signature: David Llehly Date: 10/24/19

Printed Name: David Uehling

Signature:		Date:	10	24/2019
	$\wedge \wedge \vee \vee \vee$		•	
Printed Name:	) Jen	Sen Yell		

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Signature: Date: 10 23 19

Printed Name: SANJIV GAMBHIR

Signature: Oace	segret and	Date: 10-23-19
	30	
Printed Name:	Carolyn J Ande	eran

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Signature:

Date: 10 23 19

Printed Name:

James P. Basilion

Signature:	2 M	Date: 10/23/19
, Printed Name:	Ross Berseco	

Signature:			Date:_	10/23/19
Printed Name: _	Weibo	Con		

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Printed Name: ARION F. HADDIOANNOU (CHATZITOANNOU)

Signature: Jo	we	100	Date:_	10/23/19
Printed Name:	JOHN	GORE		

Date: 18/23/2019

## POST REVIEW STATEMENT FOR CPRIT SCIENTIFIC RESEARCH AND PREVENTION PROGRAM (SRPP) COMMITTEE MEMBERS

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature:

Printed Name: (r. Allan Johnson)

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Printed Name: X ATTESH KATTI

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Signature: Date: 10/23/2019

Printed Name: Janathan T-C. Liu

Signature:		Date: 16/23/101
Printed Name:	Dr Kenk A	

Signature: Eva A. May	Eva A. May	
Printed Name:	Eva A. May	

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Signature: 10/23/19

Printed Name: Ida Nottleton

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Signature:

Date

Printed Name:

Signature:	Date:	10/23/19
Printed Name: MARTIN PIMPER		

Signature:	Scion	(M)	Date:	10/23/2019
Printed Name: _	BRIAN	RUTT		

Signature:	Made	X.	Almy	_Date:_	10/23/19
Drintad Nome:	MARK		STOLOW ITZ		

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Signature: Sulle	Date:	10/23/19
		,

Printed Name: JULIE SGTCLIFFE

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Printed Name: HENRY VANBRUCKEN

Signature:	anna M. W	Date: _	Oct 23,2010	
Printed Name:	Anna M. Wh			

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Signature:

ature: \_\_\_\_\_D

Printed Name:

Signature:	An Inblu	Date: [2   13   19
	7	
Printed Name:	Sanjiv Gambhir	

Signature: Per Jon.	Date: _	12/13/	19
Printed Name: Peter A. Jones			

Signature: <u>L</u>	red Hay	Date:	12/13/19
Printed Name:	Richard J. O'Reilly, MD		

Lard frives		Dec 13, 2019
	Date: _	
Carol Prives		
	Carol Prives	Date: _

Signature:	Marganel Tengro	Date:	12/13/19	
Printed Name: <u>\</u>	Margaret Tempor	0		

Signature: _	Carol Prives	Date: _	April 17	2020
Printed Nam	Carol Prives me:			

Signature: _	Nabeel Bardeesy	Digitally signed by Nabeel Bardeesy DN: cn=Nabeel Bardeesy, o=Massachusetts General Hospital, ou=Cancer Center, email=Bardeesy.Nabeel@mgh.harvard.edu, c=US Date: 2020.04.17 15:09:34 -04'00'	Date: 4/17/2020
Printed Nan	ne: <u>Nabeel Barde</u>	eesy	

Signature:	Tulley Burel	Date:	5/5/2020	
Printed Name:	Shelley L. Berger	r		

V Signature:	Valter	J.	Chazin	Digitally signed by Walter J. Chazin DN: cn=Walter J. Chazin, o=Vanderbilt University, ou=Center f Structural Biology, email=walter.chazin@vanderbilt.edu, c=US Date: 2020.04.17 13:10:10 -06'00'	0
				4/17/2020	
Printed Name:	Walter	J.	Chazin		

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Signature: Date: 4/17/2020

Printed Name: Xinbin Chew

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Printed Name: David Teldser

Signature:	h	llu		Date:	April 17, 2020
	V				
Printed Name:	Jan Karlse	eder	 		

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Innes J Manfredi

Signature;	Circl	- 12 2w	Date:	04-18-20
Printed Name: 2	UZTS	PESMEN		

Signature:	John Petrini	Date: _	April 17, 2020
	·		
Printed Name:	John Petrini		

Signature: Lllew Pure'	Date: 5/5/20
Printed Name: Ellen Puré	

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Signature:

Date

Printed Name:

Signature:	
	Date: April, 19, 2020
Printed Name: Nahi	um Sonenberg

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Signature: Mula Mach Date: 5/17/2020

Printed Name: Ann K Tracher

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	N / I		1 1
Signature:	A land	Date:	04/20/20

Printed Name: ALAN TONKINSON

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Printed Name: MATTHEW WATTUMW

Signature:	Al Hava	Date: <u>April 17, 2020</u> <i>VRC</i>
Printed Name: _	Jeff Wrana	

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Signature: Peler Ton	Date: 4/22/2020

Printed Name: PETER JONES

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Signature: Otor Delinak

\_\_\_ Date: 4/22/25

Printed Name: Teven I

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Signature:

Printed Name:

Signature: Heather Christoff	Date: 4/22/20
Printed Name: Heather Christofk	

Signature:	2	 _Date:	<u>April 22, 2020</u>
Printed Name:	Daniel De Carvalho		

Signature:		Date: May 18, 2020
	7	
Printed Name:	Yves A DeClerck	

Signature:	Date: April 22, 2020
-	
Printed Name: Eric R. Fearon	

Signature: Medfing I Arune	Date: 4/22/20
Printed Name: Geoffrey L. Greene	

Signature: _	Patrick Grohar	Date: 04/24/2020
	V	
	<sub>ne:</sub> Patrick J. Grohar, MD, PhD	

Signature:	William Hell	Date	April 22, 2020
Printed Name:	William C. Hahn, M.D., Ph.D.		

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Signature:

Date

Printed Name:

\_ Dan

5 W8 (

Signature:	Jean-Pierre Assa	Date: _	April 22, 2020
Printed Name:	Jean-Plerre Issa		

Signature:	L MMC	Date: _	04/22/2020
Printed Name:	Alexander Meissner		

Signature: Nouri Neas	mati	Date: 4/22/2020
Printed Name: Nouri Neam	•	

Signature:	Nuth	Zath	Date:	4/22/20
Printed Name:	Keit	h Roberts	on	

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature and Strackel Date april 22, 2020
Printed Name: Anne R Tonachel

Signature: _	Carol M. Vallett	Date: 4 / 2 2 / 2 0 2 0
Printed Nan	<sub>ne:</sub> Carol M Vallett	

Signature:	Ch	Date:	4/22/2020
Printed Name:	Ting Wang		

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature:	Zenall'ert	Date:	04/22/2020
Printed Name:	Zena Werb		

86 1

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature: Whin Bart Date: 20 April 2020

Printed Name: William Barlow

Signature:	B.W.	Booher	Date:	4/2	0/2020
Printed Name	: Brian	W. Book	PV		

<u>Karen Emmons</u>		May 19, 2020
Signature: Karen Emmons (May 19, 2020 17:45 EDT)	Date:	
Printed Name: Karen Emmons		

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature: Myshalfraith Gelman Date: 04/20/2020

Printed Name: Michal-Judith Gillman

Signature:	Chris Haiman	Date: April 20, 2020
Printed Name:	Chris Haiman	

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature:

D 1 4 13T

Date:

3. Kumar

Signature:	Sayrince of	Kuch	Date: April 20, 2020
Printed Name:	Lawrence H. Kushi		

Signature:		Date:4/20/2020	
Printed Name:	Christopher Li		

<u>M</u> a	<u>aria Elena Martinez</u>		May 19, 2020
Signature: Maria	Elena Martinez (May 19, 2020 06:44 PDT)	Date:	
Printed Name:	Maria Elena Martinez		

Signature: _	Katherine A. McGlynn	Date: April 20,2020
	•	
Printed Nan	ne: Katherine A. McGlynn	

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature: \_\_\_\_\_\_ Date: 4/20/20

Printed Name: Andrew F. Olshan

Signature:	CluxX	Date: _4/20/2020
Printed Name:	Alexander Parker	

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature: Electra D. Paskett Date: 4-20-200

Printed Name: Electra D. Paskett

Signature:	C.V. Rao	Date	April 20, 2020
		· · · · · · · · · · · · · · · · · · ·	
Printed Name:	Chinthalapally V Rao		

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Signature: Date: 4/20/2020

Printed Name: FAZ LUL 1+ SARKAR

Signature:	Both Nagrate	Date: _	April 20, 2020
Printed Name:	VRC Kirk Wangensteen		

Signature:	Carolyn AndersonC	Date: 21 April 2020
	V	
Printed Name	: Carolyn J Anderson	

Signature: _	James P. Basilion Digitally signed by James P. Basilion DN: cn=James P. Basilion, o, ou=CWRU, email=james.basilion@case.edu, c=US Date: 2020.04.2108:27:55-05'00'	_ Date: 4/21/2020
Printed Nar	James P. Basilion	

Signature:	Went	Date:	4/21/2020
Printed Name:	Weibo Cai		

Signature:	Date: 4/21/2	2020
Printed Name:	Arion F Hadjioannou (Chatziioannou)	

Signature:	Alem	5	Date: _	4/22/2020
Printed Name: _	Hossen	Jadvar		

Signature: 5 A	llan Johnson	Date:	May 18, 2020
Printed Name:	G Allan Johnson		

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

	(xoeessas)		
Signature:		Date: _April 21 2020	

Printed Name: KATTESH V. KATTI

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Printed Name: Jason Lewis.

Signature:	Eva a. May	Date: 4/23/20	
	7		
Printed Name:	Eva A. May		

Signature: _	7/5	Date: 5/6/2020
Printed Nam	ne: Duane Mitchell	

Signature:	( july April	Date: 4/21/20		
	V			
Printed Name:	Jilda Nettleton			

Signature:	For	I	Date:	April 21, 2020
Printed Name: _	Martin Pomper			

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature: Mark L. The Date: 4/21/20

Printed Name: MARK L. STOLOWITZ

Signature:	Date: 5/5/20
Printed Name: Julie Sutcliffe	

Signature:	Date:04/21/2020	
Printed Name:	Henry F. VanBrocklin	

Signature:	Marren & Marren	Date: _	4/21/2020	
Printed Name:	Warren S. Warren			

Signature:	anno M.b	Date: (42/2/1,2020)
Printed Name:	Anna Mwn	

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Signature:  $\frac{kutRyn}{V}$  Date:  $\frac{4|21|20}{V}$  Printed Name:  $\frac{Kur+R}{V}$ 

Signature: MW KL	Date: 7-9-2020
Printed Name: Richard Kolodner	

Signature:	Tom (un	Date: _	July 9, 202	
Printed Name:	Tom Curran			

Signature: Poler Jou		Date:	7/9/2020
Printed Name: PETER	JONES		

Signature: Rued Starf	Date: 7/15/20
Printed Name: Richard J. O'Reilly, MD	

Signature: _	Carol Prives	_ Date:	July 15 2020	
Printed Name:	Carol Prives			

Signature:	Margaret Tempero	Date:7/9/2020	
Printed Nam	e: Margaret Tempero		

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature:

Date: 8-16-2019

Printed Name:

Richard Kolodner

Signature:	Date: 8/16/19
Printed Name: Tow (URRAN)	

Signature:	Carol Rives	Date: _	Aug 16, 2019
Printed Name:	Carol Prives		

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature: Date: 8/14/19

Printed Name: Thomas A. Sellers

Signature:	Marjoul Tengro	Date: _	8/16/19
Printed Name: Mec	garet Temporo		i

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature:		Date: 10 - 14 - 2019
Printed Name:	Richard Kolodner	

1 - 1

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Signature: \_\_\_\_\_\_ Date

Printed Name: TOW WRAW

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Signature: \_\_\_\_\_\_ Date: 10/14/19

Printed Name: Sanjiv Sam Gambhiv

Signature: Lehr Vou.	Date: October 14, 2019
Printed Name: Peter A. Jones	

Signature: Rued Hay	Date: 10/14/19
Printed Name: Richard J. O'Reilly, MD	

Signature:	arol frives	Date:	Oct 13, 2019
Printed Name:	Carol Prives		

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Printed Name: Thomas A. Sellers

Signature:	Margane	Tengro	Date: _	10/18/19	
Printed Name:	MArgaret	Tempera			

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature: /

Date: 12-

Printed Name:

Signature:	Andrila	Date: <u>[2 13 19</u>
Printed Name:	Sanjiv Gambhir	

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature: Rev Jones Date: 12/13/19

Printed Name: Peter A. Jones

Signature: Rued Hay	Date: 12/13/19
Printed Name: Richard J. O'Reilly, MD	

Signature:	Karol Frives	Date:	Dec 13, 2019	
Printed Name:	Carol Prives			

Signature:	Margane	agno	 Date: _	12/13/19	
Printed Name: _	Margaret	Tempero			

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Date: 1-16-20
Richard D. Koludner

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Printed Name: MGG & Soush

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Printed Name: TOW CULLAND

Signature:	Smilable	Date:
Printed Name: _	Sanjiv Gambhir	

Signature:	Marganil-	Tengro	 _Date: _	, 1	16	20
Printed Name: _M	largaret	Tempero			. ,	•

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Signature:

Date:

Printed Name:

Signature:	Date: _2/20/20
Printed Name: Mylos Brown	

Signature: Cur	Date: 2/13/2020
Printed Name: VON CUR LAN	

Signature: Tehr Jou.			Date:	<u>/13/2</u> 020
Printed Name:	Peter f	t. Jones		

Signature: Rued Starf	Date: 2/13/20
Printed Name: Richard J. O'Reilly, MD	

Signature:	Carol Prives	Date: _	Feb 20, 2020
Printed Name:	Carol Prives		

Signature:	Margane	tagno	Date: _	2	13	20
Printed Name:	Margaret	Tempero				

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature: _		Date: 3-13-2025

Printed Name: Richard Woodne

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Printed Name: Mg65 Bxx

Signature:	Date: 5/13/2020
Printed Name: TOM CO BRAN	

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature: Name: Peter A. Jones

Date: 03/13/2020

Signature: Rued Harl	Date: 3/16/20
Printed Name: Richard J. O'Reilly, MD	

Signature:	Carolhives	Date: _	March 13, 2020
Printed Name:	Carol Prives		

Signature:	Margand Tengro		Date:	3 13 20	
Printed Name:	Margaret	Tempero		1 1	
	U	\			

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Printed Name: Richard Kolodner

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Signature:	n		Date: 5/18/20	

Printed Name: MyGs Brown

Signature:	Tom Curran		5/14/2020	
-		<del></del>		
Printed Name:	Tom Curran			

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Signature: Relative Town Date: 5/14/2020

Printed Name: Fet PETER Jones

Signature:	Carol Frive	Date: _May 14 2020	
Printed Name:	Carol Prives		

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature:

Date: 5/14/2020

Printed Name: Margaret Tempero

Signature: Mul K			Dat	e: June	16, 2020
Printed Name:	Richard	Kolodner		_	

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Printed Name: My 6 Brown

Signature:	Tom Cour	Date:	JUNE 11, 2020
Duinted No.	TOM CLIDD AND		
Printed Name:	TOM CURRAN		

Signature: Peler Journ	Date: 6/11/2020
Printed Name: PETEX JONES	

Signature: Rued Hay	Date: 6/11/20
Printed Name: Richard J. O'Reilly, MD	

Signature:	arol frives	Date:	June 11, 2020	
Printed Name:	Carol Prives			

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature: Date: 6/11/2020

Printed Name: Margaret Tempero

Signature: MD 11L			Date:	7-9-2020
Printed Name:	Richard	Kulodner		

Signature:			Date: _	7/9/20
Printed Name:	Myles	Brown		

Signature:	lour lung	Date:	July 9, 2020
Printed Name:	Tom Curran		

Signature:	lehr To	es,	Date: 7/9/	7/9/2020	
Printed Name	: PETER	Tones			

Signature: Rued Hay	Date: 7/15/20
1	
Printed Name: Richard J. O'Reilly, MD	

Signature: <u> </u>	Carol Prives	Date:July 15 2020	
Printed Name:	Carol Prives		

Signature:	Margaret Tempero	Date: 7/9/2020	)
Printed Name:	Margaret Tempero		

Signature:	Suyatt Date: 01/20/2020
Printed Name:	Stephen W. Wyatt

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature: Ros C Brown Date: January 17, 2020

Printed Name: Ross C. Brownson

Signature:	Nanny C. Lee	Date: _1/17/2020
Printed Name:	Nancy C. Lee	

Signature:	C vy	Date: _	1/20/2020
Printed Name:	Stephen	W. Wyat	+

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature: Ros C Brown Date: January 17, 2020

Printed Name: Ross C. Brownson

Signature:	Marry C. Lee	Date: _	1/17/2020	
Printed Name:	Nancy C. Lee			

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,	Signature: KC1 Summa Date: 2-11-7010
---	--------------------------------------

Printed Name: Ross Brownson

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Signature:

Printed Name:

Date:

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Signature: Date: 12/11/19

Printed Name: Eva Avedudo

Signature: Mu	hul Erlin	Date:	12/11/19
Printed Name:	Achael Eri	kson	

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature:

Date: 12-12-19

Printed Name:

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Date: 12/11/19

Printed Name: Andrea V. Kuzbyt

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Printed Name: <u>martin</u> (. Maheney m.)

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Signature: Dawid C. Monnes Date: 12/11/2019

Printed Name: DAVID C. MOMROW

Signature:	Man M	N	ail	Date: _	12/11	119
Printed Name:	Lillian	M.	vail			

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Signature: Charlotte E. Naschinski

Printed Name: Charlotte E. Naschinski

Signature: New York	Date:
•	
Printed Name: NIKKI NOWEN	

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Printed Name: Folakemi Odedina

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Printed Name: Marcys Mescre

Signature:	fly let	Date:	12/11/19
			,
Printed Name:	RANDY SCHWA	NTZ	

Signature:	tane Segerte		Date: _	<i>12-11-20</i> 19
Printed Name:	Jave Sego	elken		

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Signature: Date: 12.11

Printed Name: ANDRE CO 5255 MAN

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature: 5 tephen W. Wyatt

Printed Name: 5 tephen W. Wyatt

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Signature: Ros C Brown Date: June 15, 2020

Printed Name: Ross C. Brownson

Signature:	Januy C. Lee	Date: _June 15, 2020
Printed Name:	Nancy C. Lee	

Signature: _	Many C. Lee	Date: _5/13/2020	
Printed Nan	ne: Nancy C. Lee		

Signature:	Jour aline	Date:5/12/20		
Printed Name:	Jasjit S. Ahluwalia			

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature: La Brady

Printed Name: Kevin Brady \_\_ Date: 5 12 2020

Signature: Mulaul Euleus	Date: <u>5/12/2020</u>	
	<del>-</del>	
Printed Name: <u>Michael Eriksen</u>		

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature: McAcal Holtz

Date: 5/13/2020

Printed Name: Michael Holtz

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Signature:

Date

Printed Name: Kathleen L. IRWIN

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Signature:

Date: 5 12 2020

Printed Name:

Andrea Kuzbyt

Signature:	an Land	Date:	May 12, 2020	_
Printed Name:	DeAnn Lazovich			

Signature:	Myst Marie	_	 
Date:May 13, 20	020		
Printed Name:	Nikki Nollen		

Signature:	Rouse Schwaf	Date: _May 12, 2020	
Printed Nam	ne: Randy Schwartz		

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature: <u>Jave Baker Segerken</u> Date: <u>05-12-2020</u>

Printed Name: <u>Jane Baker Segerken</u>

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature:	ignature:		Date: 5, 12, 70

Printed Name: ANDREW SUSSMAN

Karen Patricia Williams, PhD				
Signature:	Date:5/12/2020			
Printed Name:Karen Patricia Williams, PhD				

Signature:	Moon mo Pho	Date:	4/23/2020
Printed Name:	Dr. Kelly Bolton MD PHD		

20.1 Due Diligence Panel-2 (DDP-2) March 17, 2020

## POST REVIEW STATEMENT FOR CPRIT SCIENTIFIC RESEARCH AND PREVENTION PROGRAM (SRPP) COMMITTEE MEMBERS

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature: Date: 3/27/20

Printed Name: 6=250544

Signature:	Jun		_Date:	3/26/	<u>gogo</u>
Printed Name:	GINETTE	SERRERO			

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

2 Date: 30 Mar 20

Printed Name: David G. Shoemaker

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature:

Date: 3/27/2020

Printed Name:

Swiderek

Signature:	CPr	_ Date: _	4.29.20
Printed Name:	Could TURNEULL		

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Printed Name: Kell y BoHon

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Signature:

\_\_\_\_ Date: \_\_\_\_\_/ 77

Printed Name: JUD

A. BRITZ

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature: Cahriel lipau Date: 1/16/2020

Printed Name: GABRIEL CIPAU

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature:

Date:

Printed Name:

Signature:	Date: 217 AW 2020
Printed Name: Jaby Fox	

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Jeltony ack Gerrork

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Signature:

Date: 1-15-20

Printed Name:

ames T Jordan

Signature:	(a) enverse	Date: _	01/14/2020
Printed Name: _	Mark M. Moasser		

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature:

Date: 17 20 20

Printed Name:

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Signature:

Date: 17 Jan 20

Printed Name: David 6. Shoemaker

Signature:   A  A  A  A  B  C  C  C  C  C  C  C  C  C  C  C  C	Date: _January 19, 2020
Printed Name: Neil Spector	

Signature: _	Kristine Swiderek	Date: January 14, 2020
Printed Nan	ne: Kristine Swiderek	

Signature:		Date: _	1.16,20
Printed Name:	COLIN TUPMBULL		

Signature:	_ Date: _	Jan 14, 2020
Printed Name: Gray W. La Hun /		

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature:

\_\_\_\_ Date: <u>23 Oct 1</u>8

Printed Name: Dayld G. Shoemaker

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Printed Name: Kelly Bolton

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature:

N. I. W. E. D.

Printed Name:

RRITTOL

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature: Amer D

Printed Name: /Cenzo Conello, MD

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature: Chiha Salva Date: 10/24/19

Printed Name: Chitra Edwa

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Printed Name: James Foley

Signature:	Han	e: Oct 24 2019
Printed Name:	Stanton L Gerson MD	

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Date: /

Printed Name: Mara 670 berg

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Signature:

Printed Name:

Moon, ITT Date: 10-23-19

clockan TIT

Signature: Marcin & Most. 1	Date: <u>10/23/001</u> 9
Printed Name: Marcia D. Moore	

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature

Date: October 23 Zel

Printed Name: 🕧 🕻

ransera

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature:	( )	1 /	m	Date:	10/23/2	$\alpha^{c}$
		<u>'</u>				

Printed Name: GINOTTO SERRERO

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Printed Name: NEIL SPECTUR

	0-		10/23/19	W
Signature:		Date:	10.22.	19
				. •
Printed Name: _	Colin Turnbull			

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature: Date: 10-23-2019

Printed Name: Alan I. West

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature:

Date:

Printed Name:

Date: 27 26 /

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Printed Name: Kelly Bo Hon

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Signature:

Printed Name:

Date:

BRUZ

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Printed Name: \_\_\_\_\_\_RENEO CANETTA, M.D.

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature: Clirka Elevin Date: 9/25/19

Printed Name: CHITRA EDWIN

Signature:	12 Me	Date: <u>September 30, 2019</u>
Printed Name:	J.E. Foley	

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature: State L Govson MD

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Printed Name: Mara Ansberg

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Printed Name: VOSCO GUI (V)

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Signature: Date: 9-25-19

Printed Name: James F. Jordan

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Signature: Marcia D. Moore

Printed Name: Marcia D. Moore

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Signature:

Date: 02 Oct 2019

Printed Name: Givette Servero

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

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Signature:	I AN	Date:	7/30	12019
			1	(

Printed Name: NEIL SECTOR

FY20.1 Product Development Panel - 1 (PDP-1) September 24, 2019

#### POST REVIEW STATEMENT FOR CPRIT SCIENTIFIC RESEARCH AND PREVENTION PROGRAM (SRPP) COMMITTEE MEMBERS

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Printed Name: COLIN TURWBULL

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Signature: Ola J. West Date: Sept. 25, 2019

Printed Name: Alan I. West

Signature: _	J. Belfist	Date: _	10/20/19
Printed Nam	ne: Ge - Touky		

Signature: Zula Allaul			_Date: <u>2</u> 5	oct 2019.
Printed Name: _	Leila	Alland		

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature: Nova S. Combine Date: 10/25/19

Printed Name: Nova E. Carbine

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Signature: <u>Pakiel Upieu</u> Date: 10/25/2019

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature:

Date:

Signature:	***************************************		>	Date: <u>2</u>	5 Oct 2019
Printed Name: _	50	my tra			

Signature:	60	Date:	10-25-16
Printed Name:	Yveming	Lî	

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature:

Printed Name:

Date: 10/3 d/2

Signature:	Carean	Date: _	10/25/19
Printed Name:	MANK HO ASSON		

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature: Mother Scioles Date: 16/25/13

Printed Name: Kristine Stidesek

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature

Duinted Manag

Date:

A TRANSH

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Printed Name: GRENGA TRAINCA

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Signature: Hery Clerical Date: 10/25/19

Printed Name: MERYL WENDES

Signature:	Date:	10/25/2019
`		··················
Printed Name: Gradua Hur		

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Printed Name: CELT 05 19

Signature:	Tula	Allan	Date:	26 Sep 2019
Printed Name: _	Leila	Alland		

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature: 9/26/19

Printed Name: Nova E. Carbine

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Gabrid	lipau		
Signature:		Γ	Date: 9/27/19

. 1.

Printed Name: Gabriel Cipau

Signature: Date:9/26/2019
Printed Name: Roy Cosan

Signature:	Date: 10ctracg
Printed Name: TUPY Fox	

Digitally signed by Yueming Li, Ph.D.  DN: cn=Yueming Li, Ph.D., c=MSKCC, ou=Chemical Biology, email=liy2emskcc.org, c=US  Date: 2019.09.26 13:53:21-04'00'		ou-Chemical	09/26/2019
Printed Nar	ne:Yueming Li		

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Signature:

Printed Name:

\_ Date: 10/1/2019

fixim LYERTY

Signature:	( Quemos mars)	Date:	9/26/19
Printed Name:	Mark M. Moasser		



I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature: M. L. Sa'ales Date: 10/24/19

Printed Name: Kristine Swiderek

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature:

Date:

Printed Name:

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Signature:

Date: 10/1/19

Printed Name:

GEERGA TRAINCA

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Signature:	200		9/30/2019	
Printed Name: Pin \	Wang			

/

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Signature: Mory Clewce Date

Printed Name: MERYL WEINREB

Signature:	21	Date: <u>Sept</u> 27, 2019
Printed Name: _	Grant WilliAms	

Signature:	Moon mo Pho	Date:	7/15/2020
Printed Name:	Dr. Kelly Bolton		

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Printed Name:

Signature:	Thyele Gardner	Date:
Printed Name:	Phyllis Gardner	

20.2 Due Difigence Panel (DDP) July 6, 2020

# POST REVIEW STATEMENT FOR CPRIT SCIENTIFIC RESEARCH AND PREVENTION PROGRAM (SRPP) COMMITTEE MEMBERS

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature: Date: 7/3/34

Printed Name:

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature:

Printed Name:

Date:

Jordan

Signature: #	ferbest Kinbyerby MD.	Date: July 15, 2020
Printed Name:	Herbert Kim Lyerly	

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature: Date: 7/14/2020

Printed Name: Ginette SERRERO

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature: David Shoemaker

Date: 7 Jul 20

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature: Wristing Skidesek

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Signature:	2	9	Date:	7. 23 .20
Printed Name:	COLIN	TURNBUL	L	

Printed Name:

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Botor Date: 4/3/20 GecTosky Signature:

Printed Name:

Signature: Michelle Liki	Date: 03/30/2020
Printed Name: Michelle Arkin	

Signature:	Date: 04/03/2020
Printed Name: Lior Braunstein	

Signature: _	Jadich a Britz	Date: 3/30/20
Printed Nam	e: Judith A. Britz	

Signature:	Minveanto	Date: _	03.24.2020	
Printed Name:	Renzo Canetta, MD			

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature: \_\_\_\_\_ Date: 3 New Zerc

Printed Name: Jubitut Va Re

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Signature: Mara buskerg Date: 4-22-2020

Printed Name: Mara Ginsberg

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Signature:

Printed Name:

Date:

lames t lordan

Signature:	4//			_ Date: _	3/25	120
	V.				1	1
Printed Nan	ne:	INAN	18E			

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Signature:

Date: 3 23 2020

Printed Name: GINETTE SERRERO

Signature:	00		Date:	14.29.20
Printed Name:	Cours	TIME WALL		

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature: Date: March 24, 2020

Printed Name: Alan I. Wes

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature:	(Chillen		March 24, 2020	
Printed Name: R	Robert G Wilkins			

OM

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

J Buchos Date: 4/22/20
5 CrecTosy

Signature: _	Michelle Like	Date: 04/21/2020
Printed Nar	me: Michelle Arkin	

Signature:		_ Date: 04/21/2020
Printed Name:	Lior Braunstein	

Signature:	Zudath O. Britz	Date: 4/21/20
Printed Name:	Judith A Britz	

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

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Signature:

Date: 21 April 2002

Printed Name:

JUDITHU A POR

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

4/21/2020 KU

Printed Name: Mara Giosberg

Date: 7

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature:

DIMIL

Printed Name:

Date:

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I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature:	XIII	Date:	7/2)	120
-	1200		-	

Printed Name: //V/an Lee

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature: Date: 4/21/202

Printed Name: GINETTE SERRERO

understand the conflict of interest policies of CPRIT and have reported any conflicts of nterest that I may have with respect to applications submitted to my assigned SRPP ommittee for review. By my signature, I affirm that I did not participate in the discussion r review of any application that presents a conflict of interest as defined by the CPRIT conflict of Interest Policy for SRPP Committee Members.

inted Name: COLIN TURNBULL

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature: <u>Clas. I. West</u>

Printed Name: <u>Alan I. West</u>

Signature:	RChillins	Date:	22	APPIL	2020
Printed Name:	ROBERT G. WILKINS				

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature: <

Date: 10 Apr 20

Printed Name: David G. Shoemeker

Signature:	Date: 03/30/2020
Printed Name: Stephen F. Amato	

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature:

\_\_\_\_\_

Printed Name:

Signature:	Meres morre	Date:	4/27/2020
Printed Name:	Dr. Kelly Bolton, MD PHD		

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature:

Date:

2020 KU

Printed Name:

Signature: 121	Date: <u>March 25, 202</u> 0
Printed Name: James E. Foley	

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Francoeur Date: 4-10-2020 ERI FRANCOEUR

Signature:	Thyere Gardner	Date:	3/29/2020
Printed Name:	Phyllis Gardner		

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature:	) \( \)	Date: 03/25/20	
Printed Name: Yueming	g Li		

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I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature:

Printed Name:

Date: MM 10, 20

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Signature: \_\_\_\_\_\_ Date: 30 17on 2020

Printed Name: CLAUDE NICAISE

Signature: Kristine Swiderek	Date: April 30, 2020
Printed Name: Kristine Swiderek	

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature:

Date

INDO R

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature

Date: 24 Apr 20

Printed Name: David G. Shoemake

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature:	Hell . Ch	_ Date:	04/26/2020
Drinted None	Stephen Francis Amato		
Printed Name:	Otophen i tanolo i tinato		

1

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature: Date: 5/2/20

Printed Name: [CAREN ANDERSON

Signature:	Teller on, MDPhO	Date: _	4/28/2020
Printed Name:	Dr. Kelly Bolton, MD PHD		

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature:

Printed Name:

Date:

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

Signature:

Date: 2020-05-31

James E Foley

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

JERI FRANCOSUR

Signature:	Thyele Gardner	05/21/2020 Date:	
Printed Name:	Phyllis Gardner		

Signature:		Date: 04/26/2020
Printed Name:	Yueming Li	

Signature:	Herbert Kim Lyerly	Date: May 7, 2020
Printed Name	e: Herbert Kim Lyerly	

Signature:	Carpensar	Date: _	4/24/2020
Printed Name: _	Mark M. Moasser, MD		

#### POST REVIEW STATEMENT FOR CPRIT SCIENTIFIC RESEARCH AND PREVENTION PROGRAM (SRPP) COMMITTEE MEMBERS

Signature: _	Kristins Swiderek	Date: April 27, 2020
Printed Nar	<sub>ne:</sub> Kristine Swiderek	

#### POST REVIEW STATEMENT FOR CPRIT SCIENTIFIC RESEARCH AND PREVENTION PROGRAM (SRPP) **COMMITTEE MEMBERS**

I understand the conflict of interest policies of CPRIT and have reported any conflicts of interest that I may have with respect to applications submitted to my assigned SRPP committee for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for SRPP Committee Members.

ERYL WELHAED

Signature:	By R. Walker Real	Date:	04Nov 19	
Printed Name:	Cindy R. WalkerPeach			

I understand CPRIT's conflict of interest policies and have reported any conflicts of interest that I may have with respect to applications submitted to the PIC for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for PIC Members.

Printed Name: John Vallesserser, my

Signature:	Jullon	Date:	11/4/2019
Printed Name:	) Willso	2	

I understand CPRIT's conflict of interest policies and have reported any conflicts of interest that I may have with respect to applications submitted to the PIC for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for PIC Members.

Signature: Panona & Magid Date: 11-4-19

Printed Name: RAMONA S. MAGID

Signature:	mught	Date:	11-4-19
	0		
Printed Name: _	Wayre R. Ropers		

Signature:	Lieg R. Wolker Real	Date:	04Feb2020	_
Printed Name: _	Cindy R. WALKERPEACH			

Signature:	durk	Date:	2/4	2020
Printed Name: _	willsm			

I understand CPRIT's conflict of interest policies and have reported any conflicts of interest that I may have with respect to applications submitted to the PIC for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for PIC Members.

Signature. Ramona Magid Date: 2-4-20

Printed Name: RAMONA S. MAGID

Signature: Tagent	Date:2-4-20
Printed Name: Wayre R. Roberts	

I understand CPRIT's conflict of interest policies and have reported any conflicts of interest that I may have with respect to applications submitted to the PIC for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for PIC Members.

Signature: Date: 05 Mg 2020

Printed Name: Cray R. Waller Peach

Signature:	ames Willson	Date:	May 5, 2020	
0				
Printed Name: _	James Willson			

I understand CPRIT's conflict of interest policies and have reported any conflicts of interest that I may have with respect to applications submitted to the PIC for review. By my signature, I affirm that I did not participate in the discussion or review of any application that presents a conflict of interest as defined by the CPRIT Conflict of Interest Policy for PIC Members.

Signature: Ranona	S. Magid	_ Date: _	5/5/20	

Printed Name: RAMONA S. MAGID

Signature:	Karph	Date: 5-5-20
	I PRIL	
Printed Name:	Wagner Lotets	_

Signature:	10/11	Loose	Date	: <u></u>	AU9	2020
Printed Name	CRV	ALKE	RPEH	+		

Signature:	Date:	
Printed Name:		

Signature. Ramona & Mazid	Date: _	8/4/20	
Printed Name: RAMONA S MAGID			

Signature:	Rayers	Date:	
Printed Name:	Wayne R. Roberts		



#### **FY2020 Conflict of Interest Disclosure Tables**

Academic Research; Prevention; Product Development Research

CPRIT Academic Research 20.1 Applications
Academic Research Cycle 20.1 Awards Announced at February 19, 2020, Oversight
Committee Meeting

The table below lists the conflicts of interest (COIs) identified by peer reviewers, Program Integration Committee (PIC) members, and Oversight Committee members on an application-by-application basis. Applications reviewed in Academic Research Cycle 20.1 include *Individual Investigator Research Awards*, *Individual Investigator Research Awards for Cancer in Children and Adolescents, Individual Investigator Research Awards for Clinical Translation*, and *Individual Investigator Research Awards for Prevention and Early Detection*. All applications with at least one identified COI are listed below; applications with no COIs are not included. It should be noted that an individual is asked to identify COIs for only those applications that are to be considered by the individual at that particular stage in the review process. For example, Oversight Committee members identify COIs, if any, with only those applications that have been recommended for the grant awards by the PIC. COI information used for this table was collected by General Dynamics Information Technology, CPRIT's third party grant administrator, and by CPRIT.

Application ID	Applicant/PI	Institution	Conflict Noted
$A_{ m l}$	pplications considered by	the PIC and Oversight Com	nmittee:
RP200166pe	Steven Millward	The University of Texas M. D. Anderson Cancer Center	Alan Tomkinson
RP200166	Steven Millward	The University of Texas M. D. Anderson Cancer Center	Alan Tomkinson
RP200254pe	Tanya Paull	The University of Texas at Austin	John Petrini
RP200254	Tanya Paull	The University of Texas at Austin	John Petrini
RP200197pe	Shuang Liang	The University of Texas Southwestern Medical Center	Heather Christofk
RP200197	Shuang Liang	The University of Texas Southwestern Medical Center	Heather Christofk
RP200315pe	JAE-IL PARK	The University of Texas M. D. Anderson Cancer Center	Eric Fearon;Jean-Pierre Issa
RP200315	JAE-IL PARK	The University of Texas M. D. Anderson Cancer Center	Jean-Pierre Issa
RP200233pe	Jie Zheng	The University of Texas at Dallas	Anna Wu; James Willson

Application ID	Applicant/PI	Institution	Conflict Noted
RP200233	Jie Zheng	The University of Texas at Dallas	Anna Wu; James Willson
RP200456	Changho Choi	The University of Texas Southwestern Medical Center	Anna Wu
RP200058pe	Dmitri Ivanov	The University of Texas Health Science Center at San Antonio	Jose Conejo-Garcia
RP200432pe	Charles Reynolds	Texas Tech University Health Sciences Center	W. Martin Kast
RP200432	Charles Reynolds	Texas Tech University Health Sciences Center	W. Martin Kast
RP200356pe	Arvind Dasari	The University of Texas M. D. Anderson Cancer Center	Howard Hochster
RP200356	Arvind Dasari	The University of Texas M. D. Anderson Cancer Center	Howard Hochster
RP200025pe	Erich Sturgis	The University of Texas M. D. Anderson Cancer Center	Christopher Li
RP200025	Erich Sturgis	The University of Texas M. D. Anderson Cancer Center	Christopher Li
RP200021pe	Li Zhang	The University of Texas at Dallas	Anna Wu;Ross Berbeco; James Willson
RP200021	Li Zhang	The University of Texas at Dallas	Anna Wu;Ross Berbeco; James Willson
Ap	plications not considered	by the PIC or Oversight Co	mmittee:
RP200006pe	Yogesh Gupta	The University of Texas Health Science Center at San Antonio	Jose Conejo-Garcia
RP200018pe	Alexander Pertsemlidis	The University of Texas Health Science Center at San Antonio	Jose Conejo-Garcia
RP200089pe	Raushan Kurmasheva	The University of Texas Health Science Center at San Antonio	Jose Conejo-Garcia
RP200111pe	Xiaojing Wang	The University of Texas Health Science Center at San Antonio	Jose Conejo-Garcia

Application ID	Applicant/PI	Institution	Conflict Noted
RP200114pe	Luiz Penalva	The University of Texas Health Science Center at San Antonio	Jose Conejo-Garcia
RP200143pe	David Libich	The University of Texas Health Science Center at San Antonio	Jose Conejo-Garcia
RP200144pe	Katsumi Kitagawa	The University of Texas Health Science Center at San Antonio	Jose Conejo-Garcia
RP200215pe	Ratna Vadlamudi	The University of Texas Health Science Center at San Antonio	Jose Conejo-Garcia
RP200239pe	Yidong Chen	The University of Texas Health Science Center at San Antonio	Jose Conejo-Garcia
RP200382pe	Patricia Dahia	The University of Texas Health Science Center at San Antonio	Jose Conejo-Garcia
RP200398pe	Ann Griffith	The University of Texas Health Science Center at San Antonio	Jose Conejo-Garcia
RP200416pe	Kyuson Yun	The Methodist Hospital Research Institute	Kristin Swanson
RP200436pe	FENG-CHUN YANG	The University of Texas Health Science Center at San Antonio	Jose Conejo-Garcia ; Kristin Swanson
RP200436	FENG-CHUN YANG	The University of Texas Health Science Center at San Antonio	Kristin Swanson
RP200063pe	WEIXING ZHAO	The University of Texas Health Science Center at San Antonio	Alan Tomkinson;W. Chazin
RP200092pe	Jason Huse	The University of Texas M. D. Anderson Cancer Center	John Petrini
RP200092	Jason Huse	The University of Texas M. D. Anderson Cancer Center	John Petrini
RP200107pe	Samy Habib	The University of Texas Health Science Center at San Antonio	Alan Tomkinson
RP200169pe	Guo-Min Li	The University of Texas Southwestern Medical Center	Alan Tomkinson
RP200279pe	Sang Eun Lee	The University of Texas Health Science Center at San Antonio	Alan Tomkinson

Application ID	Applicant/PI	Institution	Conflict Noted
RP200279*	Sang Eun Lee	The University of Texas Health Science Center at San Antonio	Alan Tomkinson
RP200367pe	Elizabeth Goldsmith	The University of Texas Southwestern Medical Center	Alan Tomkinson
RP200391pe	Y. Alan Wang	The University of Texas M. D. Anderson Cancer Center	Nabeel Bardeesy
RP200391	Y. Alan Wang	The University of Texas M. D. Anderson Cancer Center	Nabeel Bardeesy
RP200056pe	Maralice Conacci Sorrell	The University of Texas Southwestern Medical Center	Heather Christofk
RP200056	Maralice Conacci Sorrell	The University of Texas Southwestern Medical Center	Heather Christofk
RP200077pe	Xiangsheng Zuo	The University of Texas M. D. Anderson Cancer Center	Eric Fearon;Jean-Pierre Issa
RP200077	Xiangsheng Zuo	The University of Texas M. D. Anderson Cancer Center	Jean-Pierre Issa
RP200221pe	Elisabeth Martinez	The University of Texas Southwestern Medical Center	Heather Christofk
RP200221*	Elisabeth Martinez	The University of Texas Southwestern Medical Center	Heather Christofk
RP200094pe	Ru Chen	Baylor College of Medicine	Gloria Petersen
RP200094	Ru Chen	Baylor College of Medicine	Gloria Petersen
RP200099pe	Sheng Pan	The University of Texas Health Science Center at Houston	Gloria Petersen
RP200099*	Sheng Pan	The University of Texas Health Science Center at Houston	Gloria Petersen
RP200115pe	Ashish Deshmukh	The University of Texas Health Science Center at Houston	Thomas Brandon
RP200115	Ashish Deshmukh	The University of Texas Health Science Center at Houston	Thomas Brandon

Application ID	Applicant/PI	Institution	Conflict Noted
RP200141pe	Lindsay Cowell	The University of Texas Southwestern Medical Center	Christopher Li;William Barlow
RP200141	Lindsay Cowell	The University of Texas Southwestern Medical Center	Christopher Li;William Barlow
RP200159pe	Surendranath Shastri	The University of Texas M. D. Anderson Cancer Center	Thomas Brandon
RP200159	Surendranath Shastri	The University of Texas M. D. Anderson Cancer Center	Thomas Brandon
RP200193pe	Rita Ghosh	The University of Texas Health Science Center at San Antonio	William Barlow
RP200193	Rita Ghosh	The University of Texas Health Science Center at San Antonio	William Barlow
RP200238pe	Divya Patel	The University of Texas Health Center at Tyler	Thomas Brandon
RP200238	Divya Patel	The University of Texas Health Center at Tyler	Thomas Brandon
RP200260pe	Yi-Qian Nancy You	The University of Texas M. D. Anderson Cancer Center	Thomas Brandon
RP200305pe	Jason Robinson	The University of Texas M. D. Anderson Cancer Center	Thomas Brandon
RP200305	Jason Robinson	The University of Texas M. D. Anderson Cancer Center	Thomas Brandon
RP200336pe	Paul Scheet	The University of Texas M. D. Anderson Cancer Center	Lorelei Mucci
RP200336	Paul Scheet	The University of Texas M. D. Anderson Cancer Center	Lorelei Mucci
RP200441pe	Subrata Sen	The University of Texas M. D. Anderson Cancer Center	Gloria Petersen
RP200441*	Subrata Sen	The University of Texas M. D. Anderson Cancer Center	Gloria Petersen
RP200057pe	Kenneth Hoyt	The University of Texas at Dallas	Anna. Wu
RP200154pe	Kevin Burgess	Texas A&M University	Weibo Cai

Application ID	Applicant/PI	Institution	Conflict Noted
RP200161pe	Ralph Mason	The University of Texas Southwestern Medical Center	Anna Wu;Ross Berbeco
RP200161	Ralph Mason	The University of Texas Southwestern Medical Center	Anna Wu;Ross Berbeco
RP200167pe	Mark Pagel	The University of Texas M. D. Anderson Cancer Center	James Basilion
RP200167	Mark Pagel	The University of Texas M. D. Anderson Cancer Center	James Basilion
RP200180pe	Joseph Maldjian	The University of Texas Southwestern Medical Center	Anna Wu
RP200192pe	Xun Jia	The University of Texas Southwestern Medical Center	Anna Wu
RP200192	Xun Jia	The University of Texas Southwestern Medical Center	Anna Wu
RP200214pe	Baowei Fei	The University of Texas at Dallas	Anna Wu
RP200214	Baowei Fei	The University of Texas at Dallas	Anna Wu
RP200256pe	Dawid Schellingerhout	The University of Texas M. D. Anderson Cancer Center	James Basilion
RP200280pe	Guiyang Hao	The University of Texas Southwestern Medical Center	Anna Wu
RP200351pe	Kytai Nguyen	The University of Texas at Arlington	Anna Wu
RP200351*	Kytai Nguyen	The University of Texas at Arlington	Anna Wu
RP200375pe	Lilie Lin	The University of Texas M. D. Anderson Cancer Center	David Mankoff
RP200375	Lilie Lin	The University of Texas M. D. Anderson Cancer Center	David Mankoff
RP200479pe	Janet Zoldan	The University of Texas at Austin	Anna Wu
RP200479	Janet Zoldan	The University of Texas at Austin	Anna Wu
RP200495pe	Yujie Chi	The University of Texas at Arlington	Anna Wu

Application ID	Applicant/PI	Institution	Conflict Noted
RP200497pe	Justyn Jaworski	The University of Texas at Arlington	Anna Wu
RP200497	Justyn Jaworski	The University of Texas at Arlington	Anna Wu
RP200291	Marina Konopleva	The University of Texas M. D. Anderson Cancer Center	Charles Mullighan
RP200408	Cullen Taniguchi	The University of Texas M. D. Anderson Cancer Center	Anne Tonachel

CPRIT Academic Research 20.2 Applications
Academic Research Cycle 20.2 Awards Announced at August 19, 2020, Oversight
Committee Meeting

The table below lists the conflicts of interest (COIs) identified by peer reviewers, Program Integration Committee (PIC) members, and Oversight Committee members on an application-by-application basis. Applications reviewed in Academic Research Cycle 20.2 include *Collaborative Action Program to Reduce Liver Cancer Mortality in Texas: Investigator-Initiated Research Awards; Early Clinical Investigator Award; Core Facility Support Awards;* and *High-Impact/High-Risk Research Awards*. All applications with at least one identified COI are listed below; applications with no COIs are not included. It should be noted that an individual is asked to identify COIs for only those applications that are to be considered by the individual at that particular stage in the review process. For example, Oversight Committee members identify COIs, if any, with only those applications that have been recommended for the grant awards by the PIC. COI information used for this table was collected by General Dynamics Information Technology, CPRIT's third party grant administrator, and by CPRIT.

Application ID	Applicant/PI	Institution	Conflict Noted
Al	oplications considered by	the PIC and Oversight Com	ımittee:
RP200604	Robert Chapkin	Texas AgriLife Research	Eric Fearon
RP200614	Kevin Pinney	Baylor University	Martin Pomper
Apj	olications not considered	by the PIC or Oversight Co	mmittee:
RP200570*	Gerardo Cisneros	University of North Texas	Matthew Weitzman
RP200524*	Manal Hassan	The University of Texas M. D. Anderson Cancer Center	Alexander Parker
RP200537	Aaron Thrift	Baylor College of Medicine	Christopher Haiman
RP200628*	Hasan Zaki	The University of Texas Southwestern Medical Center	Victor Engelhard
RP200538*	Kenneth Hoyt	The University of Texas at Dallas	Kurt Zinn
RP200607	Han Xiao	Rice University	Jason Lewis
RP200634	Georgios Alexandrakis	The University of Texas at Arlington	Arion- Xenofon Chatziioannou

## Conflicts of Interest Disclosure Academic Research Recruitment Cycles 19.10-19.12 Applications (Academic Research Recruitment Cycles 19.10-19.12 Awards Announced at August 21, 2019, and November 20, 2019, Oversight Committee Meetings)

The table below lists the conflicts of interest (COIs) identified by peer reviewers, Program Integration Committee (PIC) members, and Oversight Committee members on an application-by-application basis. Applications reviewed in Academic Research Recruitment Cycle 19.10-12 include *Recruitment of Rising Stars; Recruitment of Established Investigators;* and *Recruitment of First-Time, Tenure-Track Faculty Members*. All applications with at least one identified COI are listed below; applications with no COIs are not included. It should be noted that an individual is asked to identify COIs for only those applications that are to be considered by the individual at that particular stage in the review process. For example, Oversight Committee members identify COIs, if any, with only those applications that have been recommended for the grant awards by the PIC. COI information used for this table was collected by General Dynamics Information Technology, CPRIT's third party grant administrator, and by CPRIT.

Application ID	Applicant/PI	Institution	Conflict Noted
A	pplications considered	d by the PIC and Oversight Con	mmittee
RR190069	Adam Kuspa	Baylor College of Medicine	Richard O'Reilly
RR190089	Adam Kuspa	Baylor College of Medicine	Myles Brown
Applications not considered by the PIC or Oversight Committee			
No conflicts reported.			

# Conflicts of Interest Disclosure Academic Research Recruitment Cycles 20.1-20.3 Applications (Academic Research Recruitment Cycles 20.1-20.3 Awards Announced at November 20, 2019, Oversight Committee Meeting)

The table below lists the conflicts of interest (COIs) identified by peer reviewers, Program Integration Committee (PIC) members, and Oversight Committee members on an application-by-application basis. Applications reviewed in Academic Research Recruitment Cycle 20.1-20.3 include *Recruitment of Rising Stars; Recruitment of Established Investigators;* and *Recruitment of First-Time, Tenure-Track Faculty Members*. All applications with at least one identified COI are listed below; applications with no COIs are not included. It should be noted that an individual is asked to identify COIs for only those applications that are to be considered by the individual at that particular stage in the review process. For example, Oversight Committee members identify COIs, if any, with only those applications that have been recommended for the grant awards by the PIC. COI information used for this table was collected by General Dynamics Information Technology, CPRIT's third party grant administrator, and by CPRIT.

Application ID	Applicant/PI	Institution	Conflict Noted
A	pplications considered	d by the PIC and Oversight Con	mmittee
RR190108	Gulio Draetta	The University of Texas M. D. Anderson Cancer Center	P. Jones
RR200009	Adam Kuspa	Baylor College of Medicine	T. Sellers; J. Willson
RR190084	Joseph J. Pancrazio	The University of Texas at Dallas	J. Willson
RR190110	Randall J Urban	The University of Texas Medical Branch at Galveston	J. Willson
RR200007	W. P. Andrew Lee	The University of Texas Southwestern Medical Center	J. Willson
RR200023	W. P. Andrew Lee	The University of Texas Southwestern Medical Center	J. Willson
Applications not considered by the PIC or Oversight Committee			
No conflicts reported.			

CPRIT Academic Research Recruitment Cycles 20.4-6 Applications Academic Research Recruitment Cycles 20.4-6 Awards Announced at February 19, 2020, Oversight Committee Meeting

The table below lists the conflicts of interest (COIs) identified by peer reviewers, Program Integration Committee (PIC) members, and Oversight Committee members on an application-by-application basis. Applications reviewed in Academic Research Recruitment Cycle 20.4-20.6 include *Recruitment of Rising Stars; Recruitment of Established Investigators;* and *Recruitment of First-Time, Tenure-Track Faculty Members*. All applications with at least one identified COI are listed below; applications with no COIs are not included. It should be noted that an individual is asked to identify COIs for only those applications that are to be considered by the individual at that particular stage in the review process. For example, Oversight Committee members identify COIs, if any, with only those applications that have been recommended for the grant awards by the PIC. COI information used for this table was collected by General Dynamics Information Technology, CPRIT's third party grant administrator, and by CPRIT.

Application ID	Applicant/PI	Institution	Conflict Noted	
Applications considered by the PIC and Oversight Committee				
RR200029	Draetta, Gulio	The University of Texas M.D. Anderson Cancer Center	Richard O'Reilly	
RR200035	W. P. Andrew Lee	The University of Texas Southwestern Medical Center	Myles Brown	
Applications not considered by the PIC or Oversight Committee				
No conflicts reported.				

CPRIT Academic Research Recruitment Cycles 20.7-9 Applications Academic Research Recruitment Cycles 20.7-9 Awards Announced at May 20, 2020, Oversight Committee Meeting

The table below lists the conflicts of interest (COIs) identified by peer reviewers, Program Integration Committee (PIC) members, and Oversight Committee members on an application-by-application basis. Applications reviewed in Academic Research Recruitment Cycles 20.7-20.9 include *Recruitment of Rising Stars; Recruitment of Established Investigators;* and *Recruitment of First-Time, Tenure-Track Faculty Members*. All applications with at least one identified COI are listed below; applications with no COIs are not included. It should be noted that an individual is asked to identify COIs for only those applications that are to be considered by the individual at that particular stage in the review process. For example, Oversight Committee members identify COIs, if any, with only those applications that have been recommended for the grant awards by the PIC. COI information used for this table was collected by General Dynamics Information Technology, CPRIT's third party grant administrator, and by CPRIT.

Application ID	Applicant/PI	Institution	Conflict Noted	
Applications considered by the PIC and Oversight Committee				
RR200057	Gulio Draetta	The University of Texas M. D. Anderson Cancer Center	Margaret Tempero	
RR200072	W. P. Andrew Lee	The University of Texas Southwestern Medical Center	Myles Brown	
Applications not considered by the PIC or Oversight Committee				
RR200048	Mary Dickinson	Baylor College of Medicine	Margaret Tempero	
RR200068	W. P. Andrew Lee	The University of Texas Southwestern Medical Center	Carol Prives	

CPRIT Academic Research Recruitment 20.10-20.12 Applications
Academic Research Recruitment 20.10-20.12 Awards Announced at August 19, 2020,
Oversight Committee Meeting

The table below lists the conflicts of interest (COIs) identified by peer reviewers, Program Integration Committee (PIC) members, and Oversight Committee members on an application-by-application basis. Applications reviewed in Academic Research Recruitment Cycles 20.10-20.12 include *Recruitment of Rising Stars; Recruitment of First-Time, Tenure-Track Faculty Members; and Recruitment of Established Investigators*. All applications with at least one identified COI are listed below; applications with no COIs are not included. It should be noted that an individual is asked to identify COIs for only those applications that are to be considered by the individual at that particular stage in the review process. For example, Oversight Committee members identify COIs, if any, with only those applications that have been recommended for the grant awards by the PIC. COI information used for this table was collected by General Dynamics Information Technology, CPRIT's third party grant administrator, and by CPRIT.

Application ID	Applicant/PI	Institution	Conflict Noted	
Applications considered by the PIC and Oversight Committee:				
RR200084	W. P. Andrew Lee	The University of Texas Southwestern Medical Center	M. Brown	
Applications not considered by the PIC or Oversight Committee:				
No Conflicts Reported.				

CPRIT Prevention Cycle 20.1 Applications

Prevention Cycle 20.1 Applications Announced at the February 19, 2020, Oversight Committee Meeting

The table below lists the conflicts of interest (COIs) identified by peer reviewers, Program Integration Committee (PIC) members, and Oversight Committee members on an application-by-application basis. Applications reviewed in Prevention Cycle 20.1 include *Evidence-Based Cancer Prevention Services; Expansion of Cancer Prevention Services to Rural and Medically Underserved Populations; Tobacco Control and Lung Cancer Screening; and Dissemination of CPRIT-Funded Cancer Control Interventions.* All applications with at least one identified COI are listed below; applications with no COIs are not included. It should be noted that an individual is asked to identify COIs for only those applications that are to be considered by the individual at that particular stage in the review process. For example, Oversight Committee members identify COIs, if any, with only those applications that have been recommended for the grant awards by the PIC. COI information used for this table was collected by General Dynamics Information Technology, CPRIT's third party grant administrator, and by CPRIT.

Application ID	Applicant/PD	Institution	Conflict Noted	
Applications considered by the PIC and Oversight Committee				
PP200028	Karen Basen- Engquist	The University of Texas M. D. Anderson Cancer Center	Michael Eriksen	
PP200036	Michael Pignone	The University of Texas at Austin	Marcus Plescia	
Applications not considered by the PIC or Oversight Committee				
PP200016	Walter Calmbach	The University of Texas Health Science Center at San Antonio	Ross Brownson	

CPRIT Prevention Cycle 20.2 Applications
Prevention Cycle 20.2 Applications Announced at the August 19, 2020, Oversight
Committee Meeting

The table below lists the conflicts of interest (COIs) identified by peer reviewers, Program Integration Committee (PIC) members, and Oversight Committee members on an application-by-application basis. Applications reviewed in Prevention Cycle 20.2 include *Evidence-Based Cancer Prevention Services*; *Expansion of Cancer Prevention Services to Rural and Medically Underserved Populations; and Tobacco Control and Lung Cancer Screening*. All applications with at least one identified COI are listed below; applications with no COIs are not included. It should be noted that an individual is asked to identify COIs for only those applications that are to be considered by the individual at that particular stage in the review process. For example, Oversight Committee members identify COIs, if any, with only those applications that have been recommended for the grant awards by the PIC. COI information used for this table was collected by General Dynamics Information Technology, CPRIT's third party grant administrator, and by CPRIT.

Application ID	Applicant/PD	Institution	Conflict Noted
Applications considered by the PIC and Oversight Committee			
No conflicts reported.			
Applications not considered by the PIC or Oversight Committee			
No conflicts reported.			

CPRIT Product Development Research Cycle 20.1 Applications
Product Development Research Cycle 20.1 Applications Announced at the February 19, and May 20, 2020, Oversight Committee Meetings

The table below lists the conflicts of interest (COIs) identified by peer reviewers, Program Integration Committee (PIC) members, and Oversight Committee members on an application-by-application basis. Applications reviewed in Product Development Research Cycle 20.1 include Seed Awards for Product Development Research, Company Relocation Product Development Awards; and Texas Company Product Development Awards. All applications with at least one identified COI are listed below; applications with no COIs are not included. It should be noted that an individual is asked to identify COIs for only those applications that are to be considered by the individual at that particular stage in the review process. For example, Oversight Committee members identify COIs, if any, with only those applications that have been recommended for the grant awards by the PIC. COI information used for this table was collected by General Dynamics Information Technology, CPRIT's third party grant administrator, and by CPRIT.

Application ID	Applicant/PI	Organization/Company	Conflict Noted
	Applications considere	d by the PIC and Oversight Com	mittee
DP200056	Neil Thapar	Barricade Therapeutics, Corp.	Diane Amy Trainor;George. Trainor;Leila Alland
Applications not considered by the PIC or Oversight Committee			
DP200023	Sohail Syed	Theracle, Inc.	Bo Saxberg
DP200059	Cohava Gelber	Stromatis Pharma, LLC	Bo Saxberg;Marcia Moore;Neil Spector
DP200005	Upendra Marathi	7 Hills Pharma LLC	George Trainor; Leila. Alland
DP200016	Alex Stojanovic	Oncolyze, Inc.	Yueming Li
DP200021	Stephan Morris	Ohm Oncology Inc.	Yueming Li
DP200026	Judith Leopold	Mekanistic Therapeutics	Judith Fox;Leila Alland
DP200037	Leah DiMascio	DGD Pharmaceuticals, Corp.	Diane Amy Trainor; George. Trainor; Leila Alland
DP200049	Eric Zhang	AKSO Biopharmaceutical, Inc.	Leila Alland

# **Conflicts of Interest Disclosure**

CPRIT Product Development Research Cycle 20.2 Applications
Product Development Research Cycle 20.2 Applications Announced at the August 19, 2020, Oversight Committee Meeting

The table below lists the conflicts of interest (COIs) identified by peer reviewers, Program Integration Committee (PIC) members, and Oversight Committee members on an application-by-application basis. Applications reviewed in Product Development Research Cycle 20.2 include *Seed Awards for Product Development Research, Company Relocation Product Development Awards; and Texas Company Product Development Awards.* All applications with at least one identified COI are listed below; applications with no COIs are not included. It should be noted that an individual is asked to identify COIs for only those applications that are to be considered by the individual at that particular stage in the review process. For example, Oversight Committee members identify COIs, if any, with only those applications that have been recommended for the grant awards by the PIC. COI information used for this table was collected by General Dynamics Information Technology, CPRIT's third party grant administrator, and by CPRIT.

Application ID	Applicant/PI	Organization/Company	Conflict Noted
Applications considered by the PIC and Oversight Committee			
DP200094	Michael Curran	Immunogenesis, Inc.	Kristine Swiderek
Applications not considered by the PIC or Oversight Committee			
DP200063*	Johan Louw	Immunicom	R.obert Wilkins
DP200083*	Jeff Levine	Advanced Scanners, Inc	Mara Ginsberg
DP200074*	Christine Clarke	Tachyon Therapeutics, Inc.	Phyllis Gardner



# **FY2020 Conflict of Interest Waivers**

Donald Brandy; Dr. John Hellerstedt; Will Montgomery; Dr. James Willson; Review Council Members



TO: OVERSIGHT COMMITTEE CHAIR WILL MONTGOMERY

**FROM:** WAYNE ROBERTS, CHIEF EXECUTIVE OFFICER

**SUBJECT:** SECTION 102.1062 WAIVER—BRANDY FY 2020

**DATE:** AUGUST 1, 2019

### **Waiver Request and Recommendation**

I request that the Oversight Committee approve a conflict of interest waiver for FY 2020 for Mr. Donald Brandy, CPRIT's Purchaser and HUB Coordinator, pursuant to Health & Safety Code Section 102.1062 "Exceptional Circumstances Requiring Participation." The Oversight Committee approved the same waiver for Mr. Brandy since FY 2015.

Mr. Brandy is not involved in the grant application or reporting process in his official capacity as purchaser of goods and services for the agency. However, the waiver ensures transparency regarding Mr. Brandy's relationship with some universities that receive CPRIT grants. Furthermore, CPRIT's Code of Conduct makes it clear that the agency's conflict of interest provisions apply to any expenditure of CPRIT funds. Although it is unlikely that CPRIT will procure goods and services from a university receiving grant funds from CPRIT, having the conflict of interest waiver in place ensures that Mr. Brandy can perform his duties. Together with the waiver's proposed limitations, adequate protections are in place to mitigate the opportunity for a conflict of interest to unduly influence agency purchases.

## **Background**

Mr. Brandy serves as the agency purchaser, responsible for planning, organizing, coordinating, and preparing bid specifications and procurement documents to acquire goods and services from vendors and outside contractors used by the agency. The agency purchaser role requires little, if any, involvement with CPRIT's grant award process because CPRIT's grant award contracts are not vendor or outside service contracts.

At the time CPRIT hired Mr. Brandy, he requested approval to continue his outside employment as a referee for tennis tournaments held in and around Austin. In addition to refereeing for adult and junior-level tournaments, he serves occasionally as a referee for NCAA tennis matches held at area universities, including The University of Texas at Austin. The university athletic

department pays Mr. Brandy for his services as an independent contractor when he referees collegiate matches.

CPRIT employees may engage in outside employment so long as the employment does not detract from the employee's ability to fulfill his or her responsibilities to CPRIT. Employees must receive written approval from the CEO to engage in outside employment and I notify the Audit Subcommittee regarding any approvals. I also annually report to the Oversight Committee all approved outside employment. I notified the Audit Subcommittee regarding my approval for Mr. Brandy's outside employment and the subcommittee first discussed it at the December 18, 2014, subcommittee meeting.

### Exceptional Circumstances Requiring Mr. Brandy's Participation

To approve a conflict of interest waiver, the Oversight Committee must find that there are exceptional circumstances justifying the conflicted individual's participation in the review process or other expenditure of CPRIT funds.<sup>1</sup>

This conflict of interest waiver is different than other waivers I have requested in that it is not seeking a waiver for actions related to CPRIT's grant review or grant monitoring process. As CPRIT's purchaser, I do not anticipate that Mr. Brandy will play any role in the review process for grant applications or grant reports. The purchaser deals only with agency procurement matters and has no influence over the grant award processes of the agency. To the extent that his outside employment necessitates involvement with university personnel, it is with collegiate athletic department staff that have no interaction with researchers working on or applying for grants. Nevertheless, if Mr. Brandy must be part of the review process or grant monitoring activities, he will comply with CPRIT's conflict of interest notification and recusal requirements.

However, as part of his official duties there may be circumstances requiring Mr. Brandy to procure goods or services on CPRIT's behalf from a university that has also employed him as a tennis referee. This is unlikely to occur; to date, CPRIT has had only two service contracts (both now closed) with an academic institution, Texas Tech University and the University of Texas at Austin LBJ School of Public Affairs. However, as CPRIT's lead contact for agency purchases, Mr. Brandy should be able to perform his official duties as fully as possible. Any involvement with university athletic department personnel resulting from his outside employment is unlikely to be the same individuals at the university responsible for contracting with CPRIT.

Section 102.1062 Waiver—Brandy FY 2020

<sup>&</sup>lt;sup>1</sup> CPRIT's Code of Conduct Section III.B(2) states that, "The conflict of interest statutory and administrative rule provisions **apply to any decision to commit CPRIT funds**, whether or not the commitment is part of the grant award process or to a Grant Applicant." (emphasis added)

## **Proposed Waiver and Limitations**

In granting the waiver of the conflict of interest set forth in Health & Safety Code Section 102.106(c)(3), I recommend that the Oversight Committee permit Mr. Brandy to perform all duties assigned as purchaser, subject to the limitations stated below:

- 1. Provide the Chief Operating Officer a list of universities that have used his services as referee during the past twelve months;
- 2. Notify the Chief Operating Officer prior to taking any action on a contract or other procurement document that would result in payment of CPRIT funds to a university on the list referenced above; and
- 3. The Chief Operating Officer, in conjunction with the CEO, Chief Compliance Officer and General Counsel, can review the circumstances and determine whether Mr. Brandy should be recused from involvement in the procurement.

- The Oversight Committee may amend, revoke, or review this waiver, including but not limited to the list of approved activities and duties and the limitations on duties and activities. Approval of any change to the waiver granted shall be by a vote of the Oversight Committee in an open meeting.
- CPRIT limits this waiver to the conflict of interest specified in this request. To the extent that Mr. Brandy has a conflict of interest not addressed in this waiver, then Mr. Brandy will follow the required notification and recusal process.



**TO:** OVERSIGHT COMMITTEE MEMBERS

**FROM:** WAYNE ROBERTS, CHIEF EXECUTIVE OFFICER

**SUBJECT:** SECTION 102.1062 WAIVER – DR. JOHN HELLERSTEDT

**DATE:** AUGUST 1, 2019

### **Waiver Request and Recommendation**

I request that the Oversight Committee approve a conflict of interest waiver for FY 2020 for Program Integration Committee (PIC) member DSHS Commissioner Dr. John Hellerstedt, pursuant to Health & Safety Code Section 102.1062 "Exceptional Circumstances Requiring Participation." The waiver is necessary for Commissioner Hellerstedt to participate in CPRIT's review process as a PIC member. Together with the waiver's proposed limitations, adequate protections are in place to mitigate factors other than merit and the established grant criteria affecting the award of grant funds. The waiver is the same as approved by the Oversight Committee for FY 2019.

## **Background**

Governor Abbott appointed Dr. Hellerstedt as Commissioner of the Department of State Health Services (DSHS) on January 1, 2016. The DSHS Commissioner is a statutorily designated member of the PIC. As a PIC member, Commissioner Hellerstedt must exercise discretion related to whether to recommend applications proposed for grant awards to the Oversight Committee for final approval.

DSHS is a CPRIT grant recipient, which implicates conflict of interest concerns. Health & Safety Code Section 102.106(c)(3) mandates that a professional conflict of interest exists if a PIC member is an employee of an entity applying to receive or receiving CPRIT funds. Furthermore, CPRIT's administrative rule 702.13(c) categorizes this type of professional conflict of interest as one that raises the presumption that the existence of the conflict may affect the impartial review of all other grant applications submitted pursuant to the same grant mechanism in the grant review cycle. A person involved in the review process that holds one of the conflicts included in the Section 702.13(c) "super conflict" category must be recused from participating in the "review, discussion, scoring, deliberation and vote on all grant applications competing for the same grant mechanism in the entire grant review cycle, unless a waiver has been granted..."

CPRIT's administrative rule Section 702.17(3) authorizes the Oversight Committee to approve a waiver that applies for all activities affected by the conflict during the fiscal year.

## **Exceptional Circumstances Requiring Commissioner Hellerstedt's Participation**

To approve a conflict of interest waiver, the Oversight Committee must find that there are exceptional circumstances justifying the conflicted individual's participation in the review process. The statute compels Commissioner Hellerstedt's participation in the review process. The Oversight Committee should grant the proposed waiver so that CPRIT may fulfill legislative intent that the DSHS Commissioner serve as a PIC member. The proposed limitations will substantially mitigate any potential for bias.

### **Proposed Waiver and Limitations**

In granting the waiver of the conflict of interest set forth in Section 102.106(c)(3), I recommend that the Oversight Committee permit Commissioner Hellerstedt to continue to perform the following activities and duties associated with CPRIT's review process subject to the stated limitations:

- 1. Attend and participate fully in the PIC meetings except that Commissioner Hellerstedt shall not participate in the PIC's discussion or vote on grant award recommendations to DSHS;
- 2. Have access to grant application information developed during the grant review process, except for information related to DSHS applicants, if any; and
- 3. Provide information to the Oversight Committee or CPRIT personnel about the grant review process and applications recommended by the PIC for grant awards, including answering questions raised by the Oversight Committee or CPRIT personnel. To the extent that Commissioner Hellerstedt provides information on his own initiative in a review cycle in which DSHS is a grant applicant, the information provided by Commissioner Hellerstedt should be general information related to the overall grant application process and not advocate specifically for a grant application submitted by DSHS.

CPRIT's statute requires the Chief Compliance Officer to attend PIC meetings to document compliance with CPRIT's rules and processes, including adherence to this limitation. The Chief Compliance Officer shall report to the Oversight Committee any violation of this waiver prior to the Oversight Committee's action on the PIC recommendations.

#### **Important Information Regarding this Waiver and the Waiver Process**

• The Oversight Committee may amend, revoke, or revise this waiver, including but not limited to the list of approved activities and duties and the limitations on duties

- and activities. Approval for any change to the waiver granted shall be by a vote of the Oversight Committee in an open meeting.
- CPRIT limits this waiver to the conflict of interest specified in this request. To the extent that Commissioner Hellerstedt has a conflict of interest with an application that is not the conflict identified in Section 102.106(c)(3), then Commissioner Hellerstedt will follow the required notification and recusal process.



**TO:** OVERSIGHT COMMITTEE MEMBERS

**FROM:** WAYNE ROBERTS, CHIEF EXECUTIVE OFFICER

**SUBJECT:** SECTION 102.1062 WAIVER—MONTGOMERY FY 2020

**DATE:** AUGUST 1, 2019

## **Waiver Request and Recommendation**

I request that the Oversight Committee approve a conflict of interest waiver for FY 2020 for Mr. Will Montgomery, presiding officer of the CPRIT Oversight Committee, pursuant to Health & Safety Code Section 102.1062 "Exceptional Circumstances Requiring Participation." Mr. Montgomery's waiver is the same as the one approved by the Oversight Committee for FY 2019. The waiver is necessary for Mr. Montgomery to fully participate in the grant award approval process. Together with the waiver's proposed limitations, adequate protections are in place to mitigate the opportunity for factors other than merit and established criteria to affect the award of grant funds.

#### **Background**

Mr. Montgomery is a partner at Jackson Walker L.L.P., a long-time, Texas-based law firm that employs more than 350 attorneys. Mr. Montgomery's legal practice focuses on disputes related to the financial services industry, including regulatory investigations, enforcement proceedings, and internal investigations relating to securities, options, derivatives, commodities, and futures. Mr. Montgomery does not personally represent CPRIT grant recipients; however, some lawyers employed by Jackson Walker provide legal services to the following grant applicants and grant recipients:

- Rice University
- Texas A & M University System
- Texas A & M System Technology Commercialization
- Texas A & M Institute for Biosciences & Technology
- Methodist Hospital System (Houston)
- The University of Texas Southwestern Medical Center
- The University of Texas School of Public Health
- The University of Texas Medical Branch, Galveston
- Children's Medical Center Research Institute

- The University of Texas San Antonio
- The University of Texas at Austin
- The University of Texas Health Science Center at Houston
- The University of Texas M.D. Anderson Cancer Center
- Texas Association of Nurse Anesthetists
- University General Health system
- MHMR Tarrant County
- Texas Tech University
- Texas Tech University Health Science Center
- UNT Health Science Center
- Baylor University
- Baylor College of Medicine

Health & Safety Code Section 102.106(c)(4) mandates that a professional conflict of interest exists if an Oversight Committee member represents an entity applying to receive or receiving CPRIT funds. Similarly, Texas Administrative Code Section 702.11(d) finds that there is a professional conflict of interest if an Oversight Committee member "represents in business or law an entity receiving or applying to receive money from the Institute..."

The entities listed above were clients of the law firm prior to Mr. Montgomery's appointment to the Oversight Committee. Although Mr. Montgomery does not perform legal work for these entities or supervise anyone who does so, he has previously recused himself from participating in the grant award process related to these entities out of an abundance of caution. He does not have an economic interest in the revenues paid to Jackson Walker by these entities, aside from his position as a partner of the firm. However, Mr. Montgomery's percentage of ownership interest in the law firm is not impacted whether these entities are clients of the firm.

It is reasonable to expect that the same conflict will affect Mr. Montgomery's participation in more than one grant review cycle in the 2020 fiscal year as well. CPRIT's administrative rule Section 702.17(3) authorizes the Oversight Committee to approve a waiver that applies for all activities affected by the conflict during the fiscal year.

## **Exceptional Circumstances Requiring Mr. Montgomery's Participation**

To approve a waiver, the Oversight Committee must find that there are exceptional circumstances justifying the conflicted individual's participation in the review process. There are compelling reasons warranting Mr. Montgomery's participation in the review process when he would otherwise recuse himself because of the conflict. One of the principal duties for an Oversight Committee member is to approve grant award recommendations submitted by the Program Integration Committee. The statute requires a two-thirds vote of the Oversight Committee to approve a grant

award. The significant majority of CPRIT's grant applicants and grant recipients are academic institutions, including many of the entities listed above. Excluding Mr. Montgomery from participation in the decision-making process related to grant awards reduces the number of Oversight Committee members able to perform the critical task of reviewing information about potential grantees and the review process associated with the grant recommendations.

The proposed limitations and CPRIT's existing process and procedures will mitigate substantially any potential for bias.

## **Proposed Waiver and Limitations**

In granting the waiver of the conflict of interest set forth in Health & Safety Code Section 102.106(c)(4), I recommend that the Oversight Committee permit Mr. Montgomery to participate in the review process for applications submitted by the following entities, subject to the limitations stated below:

- Rice University
- Texas A & M University System
- Texas A & M System Technology Commercialization
- Texas A & M Institute for Biosciences & Technology
- Methodist Hospital System (Houston)
- UT Southwestern
- UT School of Public Health
- UT Medical Branch, Galveston
- Children's Medical Center Research Institute
- UT San Antonio
- UT Austin
- UT Health Science Center at Houston
- UT M.D. Anderson Cancer Center
- Texas Association of Nurse Anesthetists
- University General Health system
- MHMR Tarrant County
- Texas Tech University
- Texas Tech University Health Science Center
- UNT Health Science Center
- Baylor University
- Baylor College of Medicine

- The Oversight Committee may amend, revoke, or revise this waiver. Approval for any change to the waiver granted shall be by a vote of the Oversight Committee in an open meeting.
- CPRIT limits this waiver to the conflict of interest specified in this request, Health & Safety Code Section 102.106(c)(4). To the extent that Mr. Montgomery has a conflict of interest with an application submitted by an entity listed herein that is not the conflict identified in Section 102.106(c)(4), then Mr. Montgomery will follow the required notification and recusal process.
- CPRIT limits the waiver to the entities specified in the request and based upon the
  circumstances stated herein. If circumstances change such that Mr. Montgomery
  personally represents one of the entities listed herein or supervises the work of someone
  representing the entity, he will notify the Chief Executive Officer and the presiding
  officer of the Oversight Committee.



**TO:** OVERSIGHT COMMITTEE MEMBERS

**FROM:** WAYNE ROBERTS, CHIEF EXECUTIVE OFFICER

**SUBJECT:** SECTION 102.1062 WAIVER – DR. JAMES WILLSON

**DATE:** NOVEMBER 13, 2019

## **Waiver Request and Recommendation**

I request that the Oversight Committee approve a conflict of interest waiver for FY 2020 for Chief Scientific Officer and Program Integration Committee (PIC) member Dr. James Willson, pursuant to Health & Safety Code Section 102.1062 "Exceptional Circumstances Requiring Participation." Dr. Willson's son is a senior lecturer in the computer science department at The University of Texas at Dallas (UTD). The waiver is necessary for Dr. Willson to participate in CPRIT's review process as a PIC member. I recommend approval because together with the waiver's proposed limitations, adequate protections are in place to mitigate factors other than merit and the established grant criteria affecting the award of grant funds.

#### **Background**

Dr. Willson's son is an employee of UTD, which is an active grant recipient and may apply for additional CPRIT awards in the future. Texas Health & Safety Code § 102.106(c)(3) makes it a professional conflict of interest for a PIC member when a relative of the member is an employee of a grant recipient or grant applicant. Dr. Willson's son falls within the definition of "relative" because he is related within the second degree of consanguinity to Dr. Willson.

Furthermore, CPRIT's administrative rule §702.13(c) classifies this type of professional conflict of interest as one that raises the presumption that the existence of the conflict may affect the impartial review of all other grant applications submitted pursuant to the same grant mechanism in the grant review cycle. A person involved in the review process that holds one of the conflicts included in the § 702.13(c) "super conflict" category must be recused from participating in the "review, discussion, scoring, deliberation and vote on all grant applications competing for the same grant mechanism in the entire grant review cycle, unless a waiver has been granted..."

It is reasonable to expect that the same conflict will affect Dr. Willson's participation in more than one grant review cycle in this fiscal year as well as other grant monitoring activities that Dr. Willson will undertake. CPRIT's administrative rule § 702.17(3) authorizes the Oversight Committee to approve a waiver that applies for all activities affected by the conflict during the fiscal year.

# **Exceptional Circumstances Requiring Dr. Willson's Participation**

To approve a conflict of interest waiver, the Oversight Committee must find that there are exceptional circumstances justifying the conflicted individual's participation in the review process. In this case, the statute requires the Chief Scientific Officer to participate in the review process as a PIC member. Granting the proposed waiver fulfills legislative intent that Dr. Willson serve a role in recommending grant applications for the Oversight Committee's consideration. In addition, the proposed limitations mitigate any potential for bias.

Dr. Willson's expertise and experience is important not only to address scientific and technical questions raised by the PIC and Oversight Committee, but also when he acts as the Oversight Committee's "eyes and ears" into the peer review process. Peer review committees are primarily responsible for the work necessary to evaluate grant applications and recommend awards. CPRIT employees may attend peer review meetings but cannot participate in the peer review panel's discussion or scoring of grant applications. By attending the peer review committee meetings, Dr. Willson can credibly relay the peer reviewers' impression of the grant applications and effectively address questions the Oversight Committee may have related to a grant recommendation. Without the waiver Dr. Willson will be unable to attend some peer review committee meetings, limiting his ability to successfully perform his job.

Dr. Willson's attendance at peer review meetings is valuable even for those applications that the review panel does not recommend for grant awards. Grant applicants often contact the program officer after receiving the peer reviewers' written comments and overall score for their applications. Dr. Willson can provide meaningful guidance and feedback to the applicant on the proposal's strengths and weaknesses because he attended the peer review committee meeting when the review panel discussed the application.

## **Proposed Waiver and Limitations**

In granting the waiver of the conflict of interest set forth in Section 102.106(c)(3), I recommend that the Oversight Committee permit Dr. Willson to continue to perform the following activities and duties associated with CPRIT's review process subject to the stated limitations:

- 1. Assign grant applications, including UTD grant applications, to various peer review committees for peer review evaluation;
- 2. Attend scientific research peer review committee meetings as an observer, including meetings where the review committee discusses UTD applications;
- 3. Attend and participate fully in the PIC meetings, subject to the limitation set forth under "Limitations on Duties and Activities."
- 4. Have access to grant application information developed during the grant review process, including information related to UTD applications;
- 5. Provide information about grant applications recommended for grant awards to the Oversight Committee or CPRIT personnel, including answering questions raised by the Oversight Committee or CPRIT staff about UTD grant applications. To the extent that information is provided by Dr. Willson on his own initiative (e.g. the Chief Scientific Officer's summary of the recommended awards) and not in response to a specific question or request, it should be

- general information related to the overall grant application process and not advocate specifically for a UTD grant application at the expense of another recommended application.
- 6. Following the Oversight Committee's approval of a grant award to UTD, Dr. Willson may review and approve programmatic requests associated with UTD grant contracts and grant monitoring activities.

Regarding item number 2, Dr. Willson will continue to follow CPRIT's established policy that prohibits CPRIT employees from actively participating in peer review committee meetings. Dr. Willson may attend the peer review committee meetings as an observer but may not participate in substantive discussion of any grant application, may not score any application, and may not vote on any application. CPRIT contracts with an independent third-party observer to document that all participants follow CPRIT's observer policy. The independent third-party observer report is available to the Oversight Committee prior to any action taken related to the grant award recommendations. Following Oversight Committee action, the independent third-party observer report is publicly available.

#### LIMITATION ON DUTIES AND ACTIVITIES

Dr. Willson is a member of the PIC. As a PIC member, Dr. Willson exercises discretion related to recommending to the Oversight Committee which applications proposed for grant awards by the peer review committees should receive final approval. Dr. Willson shall not vote on any award recommendation for a grant to UTD.

CPRIT's Chief Compliance Officer attends PIC meetings to document compliance with CPRIT's rules and processes, including adherence to this limitation. Additionally, CPRIT will maintain records documenting any necessary recusal by Dr. Willson under this waiver.

- The Oversight Committee may amend, revoke, or revise this waiver, including but not limited to the list of approved activities and duties and the limitations on duties and activities. Approval for any change to the waiver granted shall be by a vote of the Oversight Committee in an open meeting.
- CPRIT limits this waiver to the conflict of interest specified in this request. To the extent that Dr. Willson has a conflict of interest with an application that is not the conflict identified in Section 102.106(c)(3), then Dr. Willson will follow the required notification and recusal process.



TO: OVERSIGHT COMMITTEE CHAIR WILL MONTGOMERY

**FROM:** WAYNE ROBERTS, CHIEF EXECUTIVE OFFICER

**SUBJECT:** SECTION 102.1062 WAIVER—REVIEW COUNCILS FY 2020

**DATE:** AUGUST 1, 2019

## **Waiver Request and Recommendation**

I request that the Oversight Committee approve a fiscal year 2020 conflict of interest waiver for review council members pursuant to Health & Safety Code § 102.1062 "Exceptional Circumstances Requiring Participation." Unlike other conflict of interest waivers that the Oversight Committee has approved previously, this waiver is not granted for a specific conflict of interest or person. Instead, CPRIT intends to invoke this waiver as necessary to address the unusual scenario when a review council member has a conflict with a grant application that is part of the larger group of proposals that the review panel or review council must act upon (usually to recommend for awards). The waiver is necessary for a review council member to participate in the overall discussion and vote on the slate of award recommendations. This waiver is the same waiver the Oversight Committee approved for FY 2019.

Although it would be ideal to consider each instance individually before granting the conflict of interest waiver, a prospective waiver is necessary in this scenario given the timing of the review process and scheduled Oversight Committee meetings. It is unlikely that review panel schedules will align with Oversight Committee meeting dates such that CPRIT will be able to secure a conflict of interest waiver in time for the review council member to participate in the review process. However, adequate protections are in place that, together with the waiver's proposed limitations, mitigate the opportunity for factors other than merit and established criteria to influence review council members' decisions regarding the award of grant funds.

## **Background**

Health & Safety Code § 102.1062 directs the Oversight Committee to adopt administrative rules governing the waiver of the conflict of interest requirements of the statute in exceptional circumstances. CPRIT's administrative rule § 702.17(3) authorizes the Oversight Committee to approve a waiver that applies for all activities affected by the conflict during the fiscal year. The rules require that a majority of the Oversight Committee members must vote to approve the waiver. CPRIT must report any approved waiver to the lieutenant governor, speaker of the

house of representatives, the governor, and the standing committees of each house of the legislature with primary jurisdiction over CPRIT matters.

The issue addressed by this waiver results from of the role review council members play in the review process. At the review panel level, the review council member chairs the review panel meeting. Occasionally, a review council member will identify a conflict of interest with an application assigned to the member's panel. If CPRIT is unable to reassign the application to a different panel, then the review council member follows the process set forth in CPRIT's conflict of interest rules and recuses himself or herself from any discussion, scoring, deliberation, or vote on the application. The proposed waiver will not change the review council member's responsibility to disclose the conflict or to recuse from the review of the application.

The difficulty arises when the review council member must lead the discussion, in his or her role as chair of the review panel, about the group of applications the panel recommends moving forward to the review council. If the application with which the review council member is in conflict advances as part of the group that scored well enough to move forward, the review council member's participation in the discussion on the group as a whole violates the member's agreement to not participate in "any discussion" of the conflicted application.

A similar challenge arises at the review council level. If the application with which the member is in conflict is part of the group considered by the review council, the conflict of interest rules prohibit the member from participating in the review council's discussion or vote on the group of awards. The review council member is unable to address questions about other applications heard by his or her panel due to his or her recusal from the process, potentially disadvantaging the other applications.

## **Exceptional Circumstances Requiring the Review Council Member's Participation**

In order to approve a conflict of interest waiver, the Oversight Committee must find that there are exceptional circumstances justifying the conflicted individual's participation in the review process. In this case, exceptional circumstances exist due to the necessity of the review council member's participation in the process to develop the overall award recommendation slates and the Oversight Committee should grant the proposed waiver. The limitations mitigate the potential for bias.

CPRIT's administrative rules require the Chief Compliance Officer to attend or designate an independent third party to attend peer review meetings and review council meetings when the panel discusses grant applications. The third-party observer must document that the reviewers follow CPRIT's grant review process consistently, including observing CPRIT's conflict of interest rules. The third-party observer will document any violation of this waiver in his or her written report, which CPRIT provides to the Oversight Committee prior to the vote on the award recommendations.

## **Proposed Waiver and Limitations**

In granting the conflict of interest waiver, I recommend that CPRIT permit the review council member to continue to perform the following activities and duties associated with CPRIT's review process subject to the stated limitations:

- 1. The review council member must disclose any conflict in writing pursuant to the electronic grant management process CPRIT has in place.
- 2. The review council member must recuse himself or herself from participation in the review, discussion, scoring, deliberation, and vote on the specific grant(s) identified as the conflict.
- 3. When the review panel or review council takes up the grant applications as a group, the review council member may participate in the discussion and vote on the proposed awards, so long as the review council member does not advocate for or against the application that the member has identified as a conflict.
- 4. Whenever CPRIT invokes this waiver, the Chief Compliance Officer will provide information about the use of the waiver, including the name of the review council member and the identified conflict, in the Chief Compliance Officer's Certification report. I will also include this information in the CEO affidavit I submit for the grant award mechanism.

Due to the nature of the conflict or the type of review process, this conflict of interest waiver will not apply to following:

- When the review council member's conflict of interest is a conflict described by T.A.C. § 702.13(c); or
- When the review council is acting as the only review panel in the review process (e.g. CPRIT recruitment awards and prevention dissemination awards.)

- The Oversight Committee may amend, revoke, or revise this waiver, including but not limited to the list of approved activities and duties and the limitations on duties and activities. Approval for any change to the waiver granted shall be by a vote of the Oversight Committee in an open meeting.
- CPRIT limits this waiver to review council members operating under the circumstances specified in this request.