# **APPLICATION PEDIGREE**

#### Date and time exported: 05/15/2019 14:55 PM

**FY:** 2019 **CYCLE:** 1

PROGRAM: Recruitment

**MECHANISM:** Recruitment of First-Time, Tenure-Track Faculty Members

APPLICATION ID: RR190034
APPLICATION TITLE: Nomination of

APPLICATION TITLE: Nomination of Samuel K. McBrayer, Ph.D. for a CPRIT Recruitment of a First-Time Tenure-Track Faculty Member Award

**APPLICANT NAME:** Thiele, Dwain L

ORGANIZATION: The University of Texas Southwestern Medical Center

ategory		cle 7 Compliance Requirement	Information	Attestation Dat
e-Receipt		RFA Approved by CSO	06/11/2018	09/28/2018
е-кесегрі		RFA published in Texas.gov eGrants	08/06/2018	09/28/2018
		CPRIT Application Receipt Cycle opened	12/21/2018	03/01/2019
		CPRIT Application Receipt Cycle closed	01/22/2019	03/01/2019
		Date application submitted	01/17/2019	03/01/2019
		Method of submission	CARS	03/01/2019
		Within receipt period	YES	03/01/2019
ceipt, Referral, and	Assignment	Administrative review notification	NA	03/01/2019
		Donation(s) made to CPRIT / foundation	NO	03/01/2019
		Assigned to primary reviewers	02/04/2019	03/01/2019
		Applicant notified of review panel assignment	NA	03/01/2019
		Primary Reviewer 1 COI signed	01/25/2019	03/01/2019
		Primary Reviewer 2 COI signed	01/25/2019	03/01/2019
r Review Meeting		Primary Reviewer 1 critique submitted	02/08/2019	03/01/2019
		Primary Reviewer 2 critique submitted	02/12/2019	03/01/2019
		COI indicated by non-primary reviewer	NONE	03/01/2019
		COI recused from participation	NA	03/01/2019
		Discussed at Peer Review Meeting	YES	03/01/2019
		Peer Review Meeting	02/14/2019	03/01/2019
		Post review statements signed	02/19/2019	03/01/2019
		Third Party Observer Report	02/15/2019	02/18/2019
		Score report delivered to CSO	02/22/2019	03/01/2019
		Recommended for SRC review	YES	03/01/2019
nal SRC Recommend	lation	COI indicated by SRC member	NONE	03/01/2019
		COI recused from participation	NA	03/01/2019
		SRC Meeting	02/14/2019	03/01/2019
		Third Party Observer Report	02/15/2019	02/18/2019
		Recommended for grant award	YES	03/01/2019
		SRC Chair Notification to PIC and OC	04/23/2019	04/24/2019
C Review		Candidate not accepted asst. prof. tenure track position prior to SRC date	YES	04/30/2019
		COI indicated by PIC member	NONE	04/30/2019
		COI recused from participation	NA	04/30/2019
		PIC Review Meeting	04/30/2019	04/30/2019
		Recommended for grant award	YES	04/30/2019
versight Committee A	nnrovol	CEO Notification to Oversight Committee	05/02/2019	05/15/2019
ersight Committee A		COI Indicated by Oversight Committee member	Montgomery	05/15/2019
		COI Recused from participation	YES	05/15/2019
		Donation(s) made to CPRIT / foundation	NO	05/15/2019
		Presented to CPRIT Oversight Committee	05/15/2019	05/15/2019
		Award approved by Oversight Committee	YES	05/15/2019
		Authority to advance funds requested	NO	05/15/2019
		Advance authority approved by Oversight Committee	NO	05/15/2019

# **APPLICATION PEDIGREE**

#### Date and time exported: 05/15/2019 14:55 PM

FY: 2019 **CYCLE: PROGRAM:** Recruitment

Recruitment of Established Investigators **MECHANISM:** 

RR190037 **APPLICATION ID:** 

APPLICATION TITLE: Nomination of Suzanne D. Conzen, M.D. for a CPRIT Recruitment of Established Investigators Award

**APPLICANT NAME:** Thiele, Dwain L

**ORGANIZATION:** The University of Texas Southwestern Medical Center

PANEL NAME:	Recruitment FY19 Cycle	27		
Category		ompliance Requirement	Information <b>06/11/2018</b>	Attestation Date 09/28/2018
re-Receipt		FA Approved by CSO		
	RF	A published in Texas.gov eGrants	07/25/2018	09/28/2018
	CP	PRIT Application Receipt Cycle opened	12/21/2018	03/01/2019
	CF	PRIT Application Receipt Cycle closed	01/22/2019	03/01/2019
	Da	te application submitted	01/18/2019	03/01/2019
	Me	ethod of submission	CARS	03/01/2019
	Wi	ithin receipt period	YES	03/01/2019
eceipt, Referral, and A	Assignment	Iministrative review notification	01/25/2019	03/01/2019
	Do	onation(s) made to CPRIT / foundation	NO	03/01/2019
	As	signed to primary reviewers	02/04/2019	03/01/2019
	Ap	oplicant notified of review panel assignment	NA	03/01/2019
	Pri	imary Reviewer 1 COI signed	01/28/2019	03/01/2019
	Pri	imary Reviewer 2 COI signed	01/25/2019	03/01/2019
D : 14 ()	Pri	imary Reviewer 1 critique submitted	02/12/2019	03/01/2019
eer Review Meeting		imary Reviewer 2 critique submitted	02/07/2019	03/01/2019
		OI indicated by non-primary reviewer	NONE	03/01/2019
			NA NA	03/01/2019
		OI recused from participation		
		scussed at Peer Review Meeting	YES	03/01/2019
		er Review Meeting	02/14/2019	03/01/2019
		st review statements signed	02/19/2019	03/01/2019
	Th	nird Party Observer Report	02/15/2019	02/18/2019
	Sco	ore report delivered to CSO	02/22/2019	03/01/2019
	Re	commended for SRC review	YES	03/01/2019
inal SRC Recommend	CC	OI indicated by SRC member	NONE	03/01/2019
	CC	OI recused from participation	NA	03/01/2019
	SR	C Meeting	02/14/2019	03/01/2019
	Th	nird Party Observer Report	02/15/2019	02/18/2019
	Re	ecommended for grant award	YES	03/01/2019
	SR	C Chair Notification to PIC and OC	04/23/2019	04/24/2019
IC Review	Ca	andidate not accepted position prior to SRC date	YES	04/30/2019
ic Review	CC	OI indicated by PIC member	NONE	04/30/2019
	CO	OI recused from participation	NA	04/30/2019
		C Review Meeting	04/30/2019	04/30/2019
		commended for grant award	YES	04/30/2019
	CE	EO Notification to Oversight Committee	05/02/2019	05/15/2019
versight Committee A	Approvai	OI Indicated by Oversight Committee member		05/15/2019
			Montgomery	
		OI Recused from participation	YES	05/15/2019
		onation(s) made to CPRIT / foundation	NO	05/15/2019
		esented to CPRIT Oversight Committee	05/15/2019	05/15/2019
	Av	vard approved by Oversight Committee	YES	05/15/2019
	Au	thority to advance funds requested	NO	05/15/2019
	Ad	Ivance authority approved by Oversight Committee	NO	05/15/2019
	Au	Trunce dumority approved by Sverbight Sommittee		

# **APPLICATION PEDIGREE**

#### Date and time exported: 05/15/2019 14:55 PM

FY: 2019 **CYCLE: PROGRAM:** Recruitment

Recruitment of Established Investigators **MECHANISM:** RR190043 **APPLICATION ID:** 

**APPLICATION TITLE:** Established Investigator: Yong Li, Ph.D.

APPLICANT NAME: Kuspa, Adam

Baylor College of Medicine Recruitment FY19 Cycle 9 **ORGANIZATION: PANEL NAME:** 

PANEL NAME:	Recruitment FY19 C			
Category  Pre-Receipt		Compliance Requirement  RFA Approved by CSO	Information 06/11/2018	Attestation Date 09/28/2018
<b>.</b>		RFA published in Texas.gov eGrants	07/25/2018	09/28/2018
		CPRIT Application Receipt Cycle opened	02/21/2019	04/16/2019
		CPRIT Application Receipt Cycle closed	03/20/2019	04/16/2019
		Date application submitted	03/20/2019	04/16/2019
		Method of submission	CARS	04/16/2019
		Within receipt period	YES	04/16/2019
Receipt, Referral, an	d Assignment	Administrative review notification	NA	04/16/2019
• • • • • • • • • • • • • • • • • • • •		Donation(s) made to CPRIT / foundation	NO	04/16/2019
		Assigned to primary reviewers	03/29/2019	04/16/2019
		Applicant notified of review panel assignment	NA	04/16/2019
		Primary Reviewer 1 COI signed	03/25/2019	04/16/2019
		Primary Reviewer 2 COI signed	03/25/2019	04/16/2019
Peer Review Meeting		Primary Reviewer 1 critique submitted	04/02/2019	04/16/2019
	•	Primary Reviewer 2 critique submitted	04/07/2019	04/16/2019
		COI indicated by non-primary reviewer	NONE	04/16/2019
		COI recused from participation	NA	04/16/2019
		Discussed at Peer Review Meeting	YES	04/16/2019
		Peer Review Meeting	04/11/2019	04/16/2019
		Post review statements signed	04/11/2019	04/16/2019
		Third Party Observer Report	04/12/2019	04/16/2019
		Score report delivered to CSO	04/16/2019	04/16/2019
		Recommended for SRC review	YES	04/16/2019
Final SRC Recomme	ndation	COI indicated by SRC member	NONE	04/16/2019
		COI recused from participation	NA	04/16/2019
		SRC Meeting	04/11/2019	04/16/2019
		Third Party Observer Report	04/12/2019	04/16/2019
		Recommended for grant award	YES	04/16/2019
		SRC Chair Notification to PIC and OC	04/23/2019	04/24/2019
PIC Review		Candidate not accepted position prior to SRC date	YES	04/30/2019
		COI indicated by PIC member	NONE	04/30/2019
		COI recused from participation	NA	04/30/2019
		PIC Review Meeting	04/30/2019	04/30/2019
		Recommended for grant award	YES	04/30/2019
Oversight Committee	e Approval	CEO Notification to Oversight Committee	05/02/2019	05/15/2019
	••	COI Indicated by Oversight Committee member	Montgomery	05/15/2019
		COI Recused from participation	YES	05/15/2019
		Donation(s) made to CPRIT / foundation	NO	05/15/2019
		Presented to CPRIT Oversight Committee	05/15/2019	05/15/2019
		Award approved by Oversight Committee	YES	05/15/2019
		Authority to advance funds requested	NO	05/15/2019
		•		
		Advance authority approved by Oversight Committee	NO	05/15/2019

# **APPLICATION PEDIGREE**

#### Date and time exported: 05/15/2019 14:55 PM

**FY:** 2019 **CYCLE:** 1

**PROGRAM:** Recruitment

**MECHANISM:** Recruitment of First-Time, Tenure-Track Faculty Members

**APPLICATION ID:** RR190046

APPLICATION TITLE: Recruitment of First-Time, Tenure-Track Faculty Member- Dr. Yang Gao

**APPLICANT NAME:** Rossky, Peter J **ORGANIZATION:** Rice University

Category	Compliance Requirement  RFA Approved by CSO	Information <b>06/11/2018</b>	Attestation Date 09/28/2018
re-Receipt			
	RFA published in Texas.gov eGrants	08/06/2018	09/28/2018
	CPRIT Application Receipt Cycle opened	02/21/2019	04/16/2019
	CPRIT Application Receipt Cycle closed	03/20/2019	04/16/2019
	Date application submitted	03/20/2019	04/16/2019
	Method of submission	CARS	04/16/2019
	Within receipt period	YES	04/16/2019
Receipt, Referral, and Assignment	Administrative review notification	NA	04/16/2019
	Donation(s) made to CPRIT / foundation	NO	04/16/2019
	Assigned to primary reviewers	03/29/2019	04/16/2019
	Applicant notified of review panel assignment	NA	04/16/2019
	Primary Reviewer 1 COI signed	03/25/2019	04/16/2019
	Primary Reviewer 2 COI signed	03/27/2019	04/16/2019
D. C. Martha	Primary Reviewer 1 critique submitted	04/01/2019	04/16/2019
eer Review Meeting	Primary Reviewer 2 critique submitted	04/10/2019	04/16/2019
	COI indicated by non-primary reviewer	NONE	04/16/2019
		NA	04/16/2019
	COI recused from participation		
	Discussed at Peer Review Meeting	YES	04/16/2019
	Peer Review Meeting	04/11/2019	04/16/2019
	Post review statements signed	04/11/2019	04/16/2019
	Third Party Observer Report	04/12/2019	04/16/2019
	Score report delivered to CSO	04/16/2019	04/16/2019
	Recommended for SRC review	YES	04/16/2019
inal SRC Recommendation	COI indicated by SRC member	NONE	04/16/2019
	COI recused from participation	NA	04/16/2019
	SRC Meeting	04/11/2019	04/16/2019
	Third Party Observer Report	04/12/2019	04/16/2019
	Recommended for grant award	YES	04/16/2019
	SRC Chair Notification to PIC and OC	04/23/2019	04/24/2019
	Candidate not accepted asst. prof. tenure track position prior to SRC	YES	04/30/2019
PIC Review	date		
	COI indicated by PIC member	NONE	04/30/2019
	COI recused from participation	NA	04/30/2019
	PIC Review Meeting	04/30/2019	04/30/2019
	Recommended for grant award	YES	04/30/2019
Oversight Committee Approval	CEO Notification to Oversight Committee	05/02/2019	05/15/2019
	COI Indicated by Oversight Committee member	Montgomery	05/15/2019
	COI Recused from participation	YES	05/15/2019
	Donation(s) made to CPRIT / foundation	NO	05/15/2019
	Presented to CPRIT Oversight Committee	05/15/2019	05/15/2019
	Award approved by Oversight Committee	YES	05/15/2019
	Award approved by Oversight Committee  Authority to advance funds requested	YES NO	05/15/2019 05/15/2019

# **APPLICATION PEDIGREE**

#### Date and time exported: 05/15/2019 14:55 PM

FY: 2019
CYCLE: 1
PROGRAM: Recruitment

MECHANISM: Recruitment of Rising Stars

**APPLICATION ID:** RR190050

APPLICATION TITLE: Recruitment of Rising Stars- Christina Dieli-Conwright

**APPLICANT NAME:** Draetta, Gulio

**ORGANIZATION:** The University of Texas M. D. Anderson Cancer Center

Category	Compliance Requirement  RFA Approved by CSO	Information <b>06/11/2018</b>	Attestation Date 09/28/2018
re-Receipt			
	RFA published in Texas.gov eGrants	07/25/2018	09/28/2018
	CPRIT Application Receipt Cycle opened	01/23/2019	03/25/2019
	CPRIT Application Receipt Cycle closed	02/20/2019	03/25/2019
	Date application submitted	02/20/2019	03/28/2019
	Method of submission	CARS	03/28/2019
	Within receipt period	YES	03/28/2019
eceipt, Referral, and Assignment	Administrative review notification	NA	03/28/2019
	Donation(s) made to CPRIT / foundation	NO	03/28/2019
	Assigned to primary reviewers	03/04/2019	03/28/2019
	Applicant notified of review panel assignment	NA	03/25/2019
	Primary Reviewer 1 COI signed	02/26/2019	03/28/2019
	Primary Reviewer 2 COI signed	02/25/2019	03/28/2019
eer Review Meeting	Primary Reviewer 1 critique submitted	03/06/2019	03/28/2019
	Primary Reviewer 2 critique submitted	03/12/2019	03/28/2019
	COI indicated by non-primary reviewer	Peter Jones	03/28/2019
	COI recused from participation	YES	03/28/2019
	Discussed at Peer Review Meeting	YES	03/28/2019
	Peer Review Meeting	03/14/2019	03/28/2019
	Post review statements signed	03/15/2019	03/28/2019
	Third Party Observer Report	03/15/2019	03/29/2019
	Score report delivered to CSO	03/22/2019	03/28/2019
	Recommended for SRC review	YES	03/28/2019
	COI indicated by SRC member	Peter Jones	03/28/2019
nal SRC Recommendation	COI recused from participation	YES	03/28/2019
	SRC Meeting	03/14/2019	03/28/2019
	Third Party Observer Report	03/15/2019	03/29/2019
		YES	03/29/2019
	Recommended for grant award		
	SRC Chair Notification to PIC and OC	04/23/2019	04/24/2019
IC Review	Candidate not accepted position prior to SRC date	YES	04/30/2019
	COI indicated by PIC member	NONE	04/30/2019
	COI recused from participation	NA	04/30/2019
	PIC Review Meeting	04/30/2019	04/30/2019
	Recommended for grant award	YES	04/30/2019
versight Committee Approval	CEO Notification to Oversight Committee	05/02/2019	05/15/2019
	COI Indicated by Oversight Committee member	Montgomery	05/15/2019
	COI Recused from participation	YES	05/15/2019
	Donation(s) made to CPRIT / foundation	NO	05/15/2019
	Presented to CPRIT Oversight Committee	05/15/2019	05/15/2019
	Award approved by Oversight Committee	YES	05/15/2019
	Authority to advance funds requested	NO	05/15/2019
	Advance authority approved by Oversight Committee	NO	05/15/2019

# **APPLICATION PEDIGREE**

#### Date and time exported: 05/15/2019 14:55 PM

**FY:** 2019 **CYCLE:** 1

PROGRAM: Recruitment

**MECHANISM:** Recruitment of First-Time, Tenure-Track Faculty Members

**APPLICATION ID:** RR190052

APPLICATION TITLE: Recruitment of First-Time, Tenure-Track Faculty Member - Dr. Xiaojing Gao

APPLICANT NAME: DesRoches, Reginald
ORGANIZATION: Rice University
PANEL NAME: Recruitment FY19 Cycle 9

PANEL NAME:	Recruitment FY19 Cy	vcle 9		
Category		Compliance Requirement	Information	Attestation Date
Pre-Receipt		RFA Approved by CSO	06/11/2018	09/28/2018
		RFA published in Texas.gov eGrants	08/06/2018	09/28/2018
		CPRIT Application Receipt Cycle opened	02/21/2019	04/16/2019
		CPRIT Application Receipt Cycle closed	03/20/2019	04/16/2019
		Date application submitted	03/20/2019	04/16/2019
		Method of submission	CARS	04/16/2019
		Within receipt period	YES	04/16/2019
Receipt, Referral, and A	ssionment	Administrative review notification	03/26/2019	04/16/2019
Receipt, Referrar, and A	ssignment	Donation(s) made to CPRIT / foundation	NO	04/16/2019
		Assigned to primary reviewers	03/29/2019	04/16/2019
		Applicant notified of review panel assignment	NA	04/16/2019
		Primary Reviewer 1 COI signed	03/25/2019	04/16/2019
				04/16/2019
		Primary Reviewer 2 COI signed	03/27/2019	
Peer Review Meeting		Primary Reviewer 1 critique submitted	04/08/2019	04/16/2019
		Primary Reviewer 2 critique submitted	04/11/2019	04/16/2019
		COI indicated by non-primary reviewer	NONE	04/16/2019
		COI recused from participation	NA	04/16/2019
		Discussed at Peer Review Meeting	YES	04/16/2019
		Peer Review Meeting	04/11/2019	04/16/2019
		Post review statements signed	04/11/2019	04/16/2019
		Third Party Observer Report	04/12/2019	04/16/2019
		Score report delivered to CSO	04/16/2019	04/16/2019
		Recommended for SRC review	YES	04/16/2019
		COI indicated by SRC member	NONE	04/16/2019
Final SRC Recommenda	ation		NA	04/16/2019
		COI recused from participation		
		SRC Meeting	04/11/2019	04/16/2019
		Third Party Observer Report	04/12/2019	04/16/2019
		Recommended for grant award	YES	04/16/2019
		SRC Chair Notification to PIC and OC	04/23/2019	04/24/2019
PIC Review		Candidate not accepted asst. prof. tenure track position prior to SRC date	YES	04/30/2019
		COI indicated by PIC member	NONE	04/30/2019
		COI recused from participation	NA	04/30/2019
		PIC Review Meeting	04/30/2019	04/30/2019
		Recommended for grant award	YES	04/30/2019
0 1140 144 1		CEO Notification to Oversight Committee	05/02/2019	05/15/2019
Oversight Committee A	pprovai	COI Indicated by Oversight Committee member	Montgomery	05/15/2019
		COI Recused from participation	YES	05/15/2019
		Donation(s) made to CPRIT / foundation	NO	05/15/2019
		Presented to CPRIT Oversight Committee	05/15/2019	05/15/2019
		Award approved by Oversight Committee	YES	05/15/2019
		Authority to advance funds requested	NO	05/15/2019
		Advance authority approved by Oversight Committee	NO	05/15/2019
Comments:				
Comment No Comment				

# **APPLICATION PEDIGREE**

#### Date and time exported: 05/15/2019 14:55 PM

FY: 2019
CYCLE: 1
PROGRAM: Recruitment

MECHANISM: Recruitment of First-Time, Tenure-Track Faculty Members

APPLICATION ID: RR190054

APPLICATION ID: RR190054

APPLICATION TITLE: First Time Tenure-Track: Dr. Anthony (Tony) Mustoe

APPLICANT NAME: Kuspa, Adam

ORGANIZATION: Baylor College of Medicine
PANEL NAME: Recruitment FY19 Cycle 9

PANEL NAME: Category	Recruitment FY19 C	zycie 9		
		Compliance Requirement	Information	Attestation Date
Pre-Receipt		RFA Approved by CSO	06/11/2018	09/28/2018
		RFA published in Texas.gov eGrants	08/06/2018	09/28/2018
		CPRIT Application Receipt Cycle opened	02/21/2019	04/16/2019
		<b>CPRIT Application Receipt Cycle closed</b>	03/20/2019	04/16/2019
		Date application submitted	03/20/2019	04/16/2019
		Method of submission	CARS	04/16/2019
		Within receipt period	YES	04/16/2019
		Administrative review notification	NA	04/16/2019
Receipt, Referral, and	Assignment	Donation(s) made to CPRIT / foundation	NO	04/16/2019
		Assigned to primary reviewers	03/29/2019	04/16/2019
		Applicant notified of review panel assignment	NA	04/16/2019
		Primary Reviewer 1 COI signed	03/27/2019	04/16/2019
		Primary Reviewer 2 COI signed	03/25/2019	04/16/2019
eer Review Meeting		Primary Reviewer 1 critique submitted	04/09/2019	04/16/2019
		Primary Reviewer 2 critique submitted	04/08/2019	04/16/2019
		COI indicated by non-primary reviewer	NONE	04/16/2019
		COI recused from participation	NA	04/16/2019
		Discussed at Peer Review Meeting	YES	04/16/2019
		Peer Review Meeting	04/11/2019	04/16/2019
		Post review statements signed	04/11/2019	04/16/2019
		Third Party Observer Report	04/12/2019	04/16/2019
		Score report delivered to CSO	04/16/2019	04/16/2019
		Recommended for SRC review	YES	04/16/2019
inal SRC Recommend	lation	COI indicated by SRC member	NONE	04/16/2019
		COI recused from participation	NA	04/16/2019
		SRC Meeting	04/11/2019	04/16/2019
		Third Party Observer Report	04/12/2019	04/16/2019
		Recommended for grant award		
		Recommended for grant award	YES	04/16/2019
		SRC Chair Notification to PIC and OC	YES 04/23/2019	04/16/2019
		SRC Chair Notification to PIC and OC	04/23/2019	04/24/2019
PIC Review				
PIC Review		SRC Chair Notification to PIC and OC  Candidate not accepted asst. prof. tenure track position prior to SRC	04/23/2019	04/24/2019
TC Review		SRC Chair Notification to PIC and OC  Candidate not accepted asst. prof. tenure track position prior to SRC date	04/23/2019 YES	04/24/2019 04/30/2019
PIC Review		SRC Chair Notification to PIC and OC  Candidate not accepted asst. prof. tenure track position prior to SRC date  COI indicated by PIC member	04/23/2019 YES NONE	04/24/2019 04/30/2019 04/30/2019
TC Review		SRC Chair Notification to PIC and OC  Candidate not accepted asst. prof. tenure track position prior to SRC date  COI indicated by PIC member  COI recused from participation	04/23/2019 YES NONE NA	04/24/2019 04/30/2019 04/30/2019 04/30/2019
		SRC Chair Notification to PIC and OC  Candidate not accepted asst. prof. tenure track position prior to SRC date  COI indicated by PIC member  COI recused from participation  PIC Review Meeting  Recommended for grant award	04/23/2019  YES  NONE  NA  04/30/2019	04/24/2019 04/30/2019 04/30/2019 04/30/2019 04/30/2019
	Approval	SRC Chair Notification to PIC and OC  Candidate not accepted asst. prof. tenure track position prior to SRC date  COI indicated by PIC member  COI recused from participation  PIC Review Meeting  Recommended for grant award  CEO Notification to Oversight Committee	04/23/2019  YES  NONE  NA  04/30/2019  YES  05/02/2019	04/24/2019 04/30/2019 04/30/2019 04/30/2019 04/30/2019 04/30/2019 05/15/2019
	Approval	SRC Chair Notification to PIC and OC  Candidate not accepted asst. prof. tenure track position prior to SRC date  COI indicated by PIC member  COI recused from participation  PIC Review Meeting  Recommended for grant award  CEO Notification to Oversight Committee  COI Indicated by Oversight Committee member	04/23/2019  YES  NONE  NA  04/30/2019  YES  05/02/2019  Montgomery	04/24/2019 04/30/2019 04/30/2019 04/30/2019 04/30/2019 04/30/2019 05/15/2019 05/15/2019
	Approval	SRC Chair Notification to PIC and OC  Candidate not accepted asst. prof. tenure track position prior to SRC date  COI indicated by PIC member  COI recused from participation  PIC Review Meeting  Recommended for grant award  CEO Notification to Oversight Committee  COI Indicated by Oversight Committee member  COI Recused from participation	04/23/2019  YES  NONE  NA  04/30/2019  YES  05/02/2019  Montgomery  YES	04/24/2019 04/30/2019 04/30/2019 04/30/2019 04/30/2019 04/30/2019 05/15/2019 05/15/2019
	Approval	SRC Chair Notification to PIC and OC  Candidate not accepted asst. prof. tenure track position prior to SRC date  COI indicated by PIC member  COI recused from participation  PIC Review Meeting  Recommended for grant award  CEO Notification to Oversight Committee  COI Indicated by Oversight Committee member  COI Recused from participation  Donation(s) made to CPRIT / foundation	04/23/2019  YES  NONE  NA  04/30/2019  YES  05/02/2019  Montgomery  YES  NO	04/24/2019 04/30/2019 04/30/2019 04/30/2019 04/30/2019 04/30/2019 05/15/2019 05/15/2019 05/15/2019
PIC Review  Oversight Committee A	Approval	SRC Chair Notification to PIC and OC  Candidate not accepted asst. prof. tenure track position prior to SRC date  COI indicated by PIC member  COI recused from participation  PIC Review Meeting  Recommended for grant award  CEO Notification to Oversight Committee  COI Indicated by Oversight Committee member  COI Recused from participation  Donation(s) made to CPRIT / foundation  Presented to CPRIT Oversight Committee	04/23/2019 YES  NONE  NA  04/30/2019  YES  05/02/2019  Montgomery  YES  NO  05/15/2019	04/24/2019 04/30/2019 04/30/2019 04/30/2019 04/30/2019 04/30/2019 05/15/2019 05/15/2019 05/15/2019 05/15/2019
	Approval	SRC Chair Notification to PIC and OC  Candidate not accepted asst. prof. tenure track position prior to SRC date  COI indicated by PIC member  COI recused from participation  PIC Review Meeting  Recommended for grant award  CEO Notification to Oversight Committee  COI Indicated by Oversight Committee member  COI Recused from participation  Donation(s) made to CPRIT / foundation	04/23/2019  YES  NONE  NA  04/30/2019  YES  05/02/2019  Montgomery  YES  NO	04/24/2019 04/30/2019 04/30/2019 04/30/2019 04/30/2019 04/30/2019 05/15/2019 05/15/2019 05/15/2019
	Approval	SRC Chair Notification to PIC and OC  Candidate not accepted asst. prof. tenure track position prior to SRC date  COI indicated by PIC member  COI recused from participation  PIC Review Meeting  Recommended for grant award  CEO Notification to Oversight Committee  COI Indicated by Oversight Committee member  COI Recused from participation  Donation(s) made to CPRIT / foundation  Presented to CPRIT Oversight Committee	04/23/2019 YES  NONE  NA  04/30/2019  YES  05/02/2019  Montgomery  YES  NO  05/15/2019	04/24/2019  04/30/2019  04/30/2019  04/30/2019  04/30/2019  04/30/2019  05/15/2019  05/15/2019  05/15/2019  05/15/2019

# **APPLICATION PEDIGREE**

#### Date and time exported: 05/15/2019 14:55 PM

**FY:** 2019 **CYCLE:** 1

**PROGRAM:** Recruitment

**MECHANISM:** Recruitment of First-Time, Tenure-Track Faculty Members

**APPLICATION ID:** RR190056

APPLICATION TITLE: Recruitment of First-Time, Tenure-Track Faculty Member - Dr. Kevin McHugh

APPLICANT NAME: DesRoches, Reginald
ORGANIZATION: Rice University

PANEL NAME: Recruitment EY19 Cycle 9

PANEL NAME:	Recruitment FY19 C	ycle 9		
Category		Compliance Requirement	Information	Attestation Date
Pre-Receipt		RFA Approved by CSO	06/11/2018	09/28/2018
		RFA published in Texas.gov eGrants	08/06/2018	09/28/2018
		CPRIT Application Receipt Cycle opened	02/21/2019	04/16/2019
		<b>CPRIT Application Receipt Cycle closed</b>	03/20/2019	04/16/2019
		Date application submitted	03/20/2019	04/16/2019
		Method of submission	CARS	04/16/2019
		Within receipt period	YES	04/16/2019
Receipt, Referral, and A	Assignment	Administrative review notification	03/26/2019	04/16/2019
• / /	<del>o</del>	Donation(s) made to CPRIT / foundation	NO	04/16/2019
		Assigned to primary reviewers	03/29/2019	04/16/2019
		Applicant notified of review panel assignment	NA	04/16/2019
		Primary Reviewer 1 COI signed	03/25/2019	04/16/2019
		Primary Reviewer 2 COI signed	03/25/2019	04/16/2019
		Primary Reviewer 1 critique submitted	04/09/2019	04/16/2019
eer Review Meeting		Primary Reviewer 2 critique submitted	04/08/2019	04/16/2019
		COI indicated by non-primary reviewer	NONE	04/16/2019
		COI recused from participation	NA	04/16/2019
		Discussed at Peer Review Meeting	YES	04/16/2019
		Peer Review Meeting	04/11/2019	04/16/2019
		Post review statements signed	04/11/2019	04/16/2019
		Third Party Observer Report	04/12/2019	04/16/2019
		Score report delivered to CSO	04/16/2019	04/16/2019
		Recommended for SRC review	YES	04/16/2019
inal SRC Recommend	ation	COI indicated by SRC member	NONE	04/16/2019
		COI recused from participation	NA	04/16/2019
		SRC Meeting	04/11/2019	04/16/2019
		Third Party Observer Report	04/12/2019	04/16/2019
		Recommended for grant award	YES	04/16/2019
		SRC Chair Notification to PIC and OC	04/23/2019	04/24/2019
PIC Review		Candidate not accepted asst. prof. tenure track position prior to SRC date	YES	04/30/2019
		COI indicated by PIC member	NONE	04/30/2019
		COI recused from participation	NA	04/30/2019
		PIC Review Meeting	04/30/2019	04/30/2019
			YES	04/30/2019
		Recommended for grant award		
versight Committee A	pproval	CEO Notification to Oversight Committee	05/02/2019	05/15/2019
		COI Indicated by Oversight Committee member	Montgomery	05/15/2019
		COI Recused from participation	YES	05/15/2019
		Donation(s) made to CPRIT / foundation	NO	05/15/2019
		Presented to CPRIT Oversight Committee	05/15/2019	05/15/2019
		Award approved by Oversight Committee	YES	05/15/2019
		Authority to advance funds requested	NO	05/15/2019
			No	05/15/2019
		Advance authority approved by Oversight Committee	NO	03/13/2017

# **APPLICATION PEDIGREE**

#### Date and time exported: 05/15/2019 14:55 PM

FY: 2019
CYCLE: 1
PROGRAM: Recruitment

MECHANISM: Recruitment of Rising Stars

**APPLICATION ID:** RR190058

APPLICATION TITLE: Nomination of Qing Zhang, Ph.D. for a CPRIT Rising Stars Award

**APPLICANT NAME:** Lee, W. P. Andrew

ORGANIZATION: The University of Texas Southwestern Medical Center

			3
PA	NEL NA	ME:	Recruitment FY19 Cycle 9

PANEL NAME:	Recruitment FY19 C			
Category  Pre-Receipt		Compliance Requirement  RFA Approved by CSO	Information <b>06/11/2018</b>	Attestation Date 09/28/2018
<b>,</b>		RFA published in Texas.gov eGrants	07/25/2018	09/28/2018
		CPRIT Application Receipt Cycle opened	02/21/2019	04/16/2019
		CPRIT Application Receipt Cycle closed	03/20/2019	04/16/2019
		Date application submitted	03/15/2019	04/16/2019
		Method of submission	CARS	04/16/2019
		Within receipt period	YES	04/16/2019
Receipt, Referral, an	d Assignment	Administrative review notification	NA	04/16/2019
,		Donation(s) made to CPRIT / foundation	NO	04/16/2019
		Assigned to primary reviewers	03/29/2019	04/16/2019
		Applicant notified of review panel assignment	NA	04/16/2019
		Primary Reviewer 1 COI signed	03/27/2019	04/16/2019
		Primary Reviewer 2 COI signed	03/28/2019	04/16/2019
Peer Review Meeting		Primary Reviewer 1 critique submitted	04/10/2019	04/16/2019
	,	Primary Reviewer 2 critique submitted	04/09/2019	04/16/2019
		COI indicated by non-primary reviewer	NONE	04/16/2019
		COI recused from participation	NA	04/16/2019
		Discussed at Peer Review Meeting	YES	04/16/2019
		Peer Review Meeting	04/11/2019	04/16/2019
		Post review statements signed	04/11/2019	04/16/2019
		Third Party Observer Report	04/12/2019	04/16/2019
		Score report delivered to CSO	04/16/2019	04/16/2019
		Recommended for SRC review	YES	04/16/2019
Final SRC Recomme	endation	COI indicated by SRC member	NONE	04/16/2019
		COI recused from participation	NA	04/16/2019
		SRC Meeting	04/11/2019	04/16/2019
		Third Party Observer Report	04/12/2019	04/16/2019
		Recommended for grant award	YES	04/16/2019
		SRC Chair Notification to PIC and OC	04/23/2019	04/24/2019
PIC Review		Candidate not accepted position prior to SRC date	YES	04/30/2019
		COI indicated by PIC member	NONE	04/30/2019
		COI recused from participation	NA	04/30/2019
		PIC Review Meeting	04/30/2019	04/30/2019
		Recommended for grant award	YES	04/30/2019
Oversight Committee	e Approval	CEO Notification to Oversight Committee	05/02/2019	05/15/2019
		COI Indicated by Oversight Committee member	Montgomery	05/15/2019
		COI Recused from participation	YES	05/15/2019
		Donation(s) made to CPRIT / foundation	NO	05/15/2019
		Presented to CPRIT Oversight Committee	05/15/2019	05/15/2019
		Award approved by Oversight Committee	YES	05/15/2019
		Authority to advance funds requested	NO	05/15/2019
		Authority to advance funds requested  Advance authority approved by Oversight Committee	NO NO	05/15/2019

# **APPLICATION PEDIGREE**

#### Date and time exported: 05/15/2019 14:55 PM

**FY:** 2019 **CYCLE:** 1

**PROGRAM:** Recruitment

**MECHANISM:** Recruitment of First-Time, Tenure-Track Faculty Members

APPLICATION ID: RR190059

APPLICATION TITLE: Nomination of Chengcheng Jin, Ph.D. for a CPRIT First-Time Tenure-Track Faculty Member Award

**APPLICANT NAME:** Lee, W. P. Andrew

**ORGANIZATION:** The University of Texas Southwestern Medical Center

	Recruitment FY19 Cy			
Category		Compliance Requirement  RFA Approved by CSO	Information <b>06/11/2018</b>	Attestation Date 09/28/2018
re-Receipt		RFA published in Texas.gov eGrants	08/06/2018	09/28/2018
				04/16/2019
		CPRIT Application Receipt Cycle opened	02/21/2019	
		CPRIT Application Receipt Cycle closed	03/20/2019	04/16/2019
		Date application submitted	03/20/2019	04/16/2019
		Method of submission	CARS	04/16/2019
		Within receipt period	YES	04/16/2019
eceipt, Referral, and A	Assignment	Administrative review notification	NA	04/16/2019
		Donation(s) made to CPRIT / foundation	NO	04/16/2019
		Assigned to primary reviewers	03/29/2019	04/16/2019
		Applicant notified of review panel assignment	NA	04/16/2019
		Primary Reviewer 1 COI signed	03/25/2019	04/16/2019
		Primary Reviewer 2 COI signed	03/25/2019	04/16/2019
		Primary Reviewer 1 critique submitted	04/09/2019	04/16/2019
eer Review Meeting				
		Primary Reviewer 2 critique submitted	04/08/2019	04/16/2019
		COI indicated by non-primary reviewer	NONE	04/16/2019
		COI recused from participation	NA	04/16/2019
		Discussed at Peer Review Meeting	YES	04/16/2019
		Peer Review Meeting	04/11/2019	04/16/2019
		Post review statements signed	04/11/2019	04/16/2019
		Third Party Observer Report	04/12/2019	04/16/2019
		Score report delivered to CSO	04/16/2019	04/16/2019
		Recommended for SRC review	YES	04/16/2019
nal SRC Recommenda	ation	COI indicated by SRC member	NONE	04/16/2019
nai SKC Recommend		COI recused from participation	NA	04/16/2019
		SRC Meeting	04/11/2019	04/16/2019
		Third Party Observer Report	04/12/2019	04/16/2019
		Recommended for grant award	YES	04/16/2019
		SRC Chair Notification to PIC and OC	04/23/2019	04/24/2019
IC Review		Candidate not accepted asst. prof. tenure track position prior to SRC date	YES	04/30/2019
		COI indicated by PIC member	NONE	04/30/2019
		COI recused from participation	NA	04/30/2019
		PIC Review Meeting	04/30/2019	04/30/2019
		Recommended for grant award	YES	04/30/2019
versight Committee A		CEO Notification to Oversight Committee	05/02/2019	05/15/2019
		COI Indicated by Oversight Committee member	Montgomery	05/15/2019
		COI Recused from participation	YES	05/15/2019
		Donation(s) made to CPRIT / foundation	NO	05/15/2019
		Presented to CPRIT Oversight Committee	05/15/2019	05/15/2019
		Award approved by Oversight Committee	YES	05/15/2019
		Authority to advance funds requested	NO	05/15/2019