



CANCER PREVENTION & RESEARCH
INSTITUTE OF TEXAS

**Oversight Committee Meeting
September 14, 2016**

1. Call to Order

A quorum being present, Presiding Officer Geren called the Oversight Committee to order at 10:02 a.m.

2. Roll Call/Excused Absences

Committee Members Present:

Angelos Angelou
Pete Geren
Donald (Dee) Margo
Amy Mitchell
Bill Rice, M.D.
Craig Rosenfeld, M.D.

Committee Members Absent:

Ned Holmes
Will Montgomery
Cynthia Mulrow, M.D.

MOTION:

On a motion made by Dr. Rice and seconded by Mr. Angelou, the Oversight Committee unanimously voted to excuse the absence of Mr. Holmes, Mr. Montgomery, and Dr. Mulrow.

3. Public Comment

Presiding Officer Geren noted there are no requests for public comment.

4. Grantee Presentation (Tab 1)

Presiding Officer Geren announced that CPRIT Grantee Dr. Thomas Yankeelov was unable to attend this meeting and his presentation will be rescheduled for the November 2016 Oversight Committee meeting.

5. Chief Executive Officer Report (Tab 2)

Wayne Roberts, Chief Executive Officer, presented his report. He noted that the position of Communications Specialist is expected to be filled shortly. Mr. Roberts reported that on

September 24, 2016, Dr. James Willson, Chief Scientific Officer, will participate in a discussion on cancer research, along with Lance Armstrong, cancer survivor and former professional cyclist; Dr. Ronald DePinho, President of The University of Texas M.D. Anderson Cancer Center, and State Representative Sarah Davis who sits on the Texas House Public Health Committee. New York Times Magazine Editor-in-Chief Jake Silverstein will moderate the keynote panel, which is part of the 2016 TribFest Conference. Mr. Roberts also noted that several CPRIT research and prevention staff will travel to Texas A&M University on October 5, 2016, to discuss grant opportunities for the system components.

Mr. Roberts recounted that the American Cancer Society Cancer Action Network held their third meeting on August 30, 2016, in Dallas. Presiding Officer Geren, Oversight Committee members Dr. Rice and Dr. Rosenfeld, Dr. Willson, and Mr. Roberts attended on behalf of CPRIT. The Bridge Breast Network made a presentation at the meeting, along with several of their clients. There were many positive comments about CPRIT's role in cancer research and prevention in Texas. Mr. Roberts thanked Mr. Cam Scott of the American Cancer Society Cancer Action Network for organizing the events.

Mr. Roberts indicated there are sufficient FY 2017 funds available for all awards being presented today.

6. Chief Scientific Officer Report and Grant Award Recommendations (Tab 3)

Academic Research Proposed Awards

Dr. James Willson, Chief Scientific Officer, presented 14 projects totaling \$50,062,539 for approval. The grant recommendations are grouped together in four slates corresponding to the grant mechanisms released in Cycle 17.1 and Recruitment Award Nominations FY16.10, 16.11 and 16.12.

Dr. Willson reported that the recommendations represent:

- 4 Core Facilities Support Awards – Competitive Renewals totaling \$16,062,539.
- 3 Recruitment of Established Investigators totaling \$18,000,000.
- 1 Recruitment of Rising Stars totaling \$4,000,000.
- 6 Recruitment of First Time -Tenure Track Faculty Members totaling \$12,000,000.

The program priorities addressed by the grant recommendations being presented are:

- 1 addresses Prevention and Early Detection.
- 1 addresses Cancers of Importance to Texas – Lung Cancer.
- 2 address Computational Biology and Analytic Methods.
- 14 address Enhance Texas' Research Capacity and Life Science Infrastructure.

The four Core Facilities Support Awards (CFSA) - Competitive Renewal recommendations being presented were reviewed with the 16.2 CFSA review cycle. However, Dr. Willson reported that the Review Council did not make its final decisions on the Competitive Renewal recommendations until after August 31. The Review Council's action on the ten

Recruitment Awards presented today took place after the start of FY 2017 to assure sufficient funds were available to support all recommended research grants in FY 2016.

An Oversight Committee member noted that the core facilities resources are used across several facilities and asked if this was specifically encouraged by CPRIT or if sharing occurred naturally due to the nature of the facilities. Dr. Willson stated that when the applications are reviewed, one measure used is whether the impact of the facility is across multiple Texas facilities.

In reference to the renewal of awards to program already in existence, an Oversight Committee member asked if the facilities' business plans include become self-supporting and if the facilities cease to exist when CPRIT funding ceases. Dr. Willson responded that these facilities are not self-sustaining in that the infrastructure, the investment in technology and the continuing education for investigators is changing every year so that the core facilities must continually invest in upgrading technology. If CPRIT funding ceased, these core facilities' activities would be truncated.

Noting that three of the new recruits recommended for awards today are going to The University of Texas at Austin, an Oversight Committee member asked if there was a conscious effort to bring investigators to the new medical school. Dr. Willson responded that many institutions in Texas, including the Dell Medical School at The University of Texas at Austin, are using the CPRIT recruitment awards to build centers of expertise in cancer research. Another Oversight Committee member remarked that CPRIT's review process does not favor any particular institution, though The University of Texas at Austin has recently come forward with very competitive candidates. Mr. Roberts noted that not all the recruits to The University of Texas at Austin hold appointments with the medical school.

Academic Research Grant Award Recommendations

App ID	Mechanism	Organization/ Company	Application Title	Budget
RP170005	CFSA-CR	Dean Edwards	Baylor College of Medicine	\$5,000,000
RP170003	CFSA-CR	Richard Leff	Texas Tech University Health Sciences Center	\$2,499,900
RP170002	CFSA-CR	Jianjun Shen	The University of Texas M.D. Anderson Cancer Center	\$5,000,000
RP170006	CFSA-CR	Jung Woo	Scott & White Healthcare	\$3,562,639

CFSA-CR: Core Facilities Support Awards - Competitive Renewal

Academic Research Recruitment Grant Award Recommendations

App ID	Mech.	Candidate	Organization/Company	Budget Requested
RR160077	REI	Michael Clarke	The University of Texas M.D. Anderson Cancer Center	\$6,000,000
RR160082	RFTFM	Bai Xiao-chen	The University of Texas Southwestern Medical Center	\$2,000,000
RR160088	RFTFM	David Taylor	The University of Texas at Austin	\$2,000,000
RR160080	RFTFM	Esra Akbay	The University of Texas Southwestern Medical Center	\$2,000,000
RR160096	RFTFM	Xin Ye	The University of Texas M.D. Anderson Cancer Center	\$2,000,000
RR160083	RFTFM	Li Wenbo	The University of Texas HSC at Houston	\$2,000,000
RR160089	RRS	Robert Jeng	The University of Texas M.D. Anderson Cancer Center	\$4,000,000
RR160097	RFTFM	Han Xu	The University of Texas M.D. Anderson Cancer Center	\$2,000,000
RR160101	REI	Guo-Min Li	The University of Texas Southwestern Medical Center	\$6,000,000
RR160093	REI	Gail Eckhardt	The University of Texas at Austin	\$6,000,000

REI: Recruitment of Established Investigators

RRS: Recruitment of Rising Stars

RFTFM: Recruitment of First-Time Tenure Track Faculty Members

Compliance Certification

Mr. Vince Burgess, Chief Compliance Officer, presented his certification of the review process for the proposed grant awards being recommended to the Oversight Committee at this meeting. He stated he had reviewed the compliance pedigrees for the grant applications submitted to CPRIT for the:

- Core Facility Support Awards – Competitive Renewal
- Recruitment of Established Investigators
- Recruitment of Rising Stars
- Recruitment of First-Time, Tenure-Track Faculty Members Awards

Mr. Burgess affirmed he was satisfied that the application review process that resulted in the above mechanisms recommended by the Program Integration Committee followed applicable

laws and agency administrative rules and certified the academic research award recommendations for the Oversight Committee's consideration.

Conflict of Interest Notification

Presiding Officer Geren noted for the record that Mr. Angelou reported a conflict of interest with the two The University of Texas at Austin applications recommended for awards. The Oversight Committee agreed to take up the award recommendations together in one vote, with the exception of the award recommendations for The University of Texas at Austin, so that Mr. Angelou could vote on the recommendations without conflicts.

MOTION:

On a motion made by Dr. Rice and seconded by Mr. Margo, the Oversight Committee unanimously voted to approve the Program Integration Committee's recommendations for a Recruitment of Established Investigator award, and First-Time, Tenure Track recruitment awards to The University of Texas at Austin.

Presiding Officer Geren noted for the record that Mr. Angelou did not vote on these recommendations.

MOTION:

On a motion made by Dr. Rosenfeld and seconded by Mr. Margo, the Oversight Committee unanimously voted to approve the Program Integration Committee's recommendations for the Core Facilities - Competitive Renewal awards and the remaining Recruitment of Established Investigator, Rising Star and First-Time, Tenure Track recruitment awards.

MOTION:

On a motion made by Dr. Rice and seconded by Mr. Margo, the Oversight Committee unanimously voted to approve the delegation of contract negotiation authority to the Chief Executive Officer and CPRIT staff, and authorized the Chief Executive Officer to sign the contracts on behalf of CPRIT.

7. FY 2017 Program Priorities

Mr. Roberts announced there was no information to present at this time.

8. Internal Auditor Program Report and FY 2016 Internal Audit Reports (Tab 4)

Ms. Alyssa Martin, Internal Auditor, presented information on the status of several 2016 Internal Audits. She reported that the Internal Audit Report over Revenue was completed with an overall report rating of "strong". The August 15, 2016, report was included with the Oversight Committee meeting materials. Ms. Martin indicated that the Internal Audit Report over Cash Management was completed with an overall report rating of "strong". The August 26, 2016, report was included with the Oversight Committee meeting materials.

Ms. Martin also presented recommended revisions to the FY 2017 and FY 2018 Internal Audit Plan originally developed as part of the comprehensive three-year audit plan from

2016 through 2018. The revisions are recommended based on the risk assessment update conducted with CPRIT executive management in mid-August and the information the audit team has gained about CPRIT's operations as it conducted several audits during 2016. The changes include replacing the non-grant expenditures audit with an evaluation of the pre-award grant management process originally scheduled for 2018 because the non-grant expenditures were already evaluated in 2015 with a strong overall rating. In 2018, the pre-award grant management process would be replaced with an evaluation of CPRIT's state reporting. Based on the strong ratings from revenue and cash management audits and the coverage of those audits, the Legislative Appropriations Request and the commercial paper funding audits scheduled for 2018 would be replaced with a communications audit.

Closed Session

Pursuant to the Texas Open Meetings Act, Section 551.076, Presiding Officer Geren announced that the Oversight Committee would move into closed session to discuss the Information Security audit. The following staff were asked to join the Oversight Committee in the closed session: Alyssa Martin (Internal Auditor), Daniel Graves (Internal Auditor), Heidi McConnell, Kristen Doyle, and Wayne Roberts.

Presiding Officer Geren convened in closed session at 11:18 a.m.

Presiding Officer Geren reconvened the open meeting at 12:07 p.m.

MOTION:

On a motion made by Mr. Margo and seconded by Dr. Rice, the Oversight Committee unanimously voted to approve the Internal Audit Reports for Revenue, Cash Management, and Information Security.

MOTION:

On a motion made by Dr. Rice and seconded by Dr. Rosenfeld, the Oversight Committee unanimously voted to approve the FY 2017 Internal Audit Plan.

9. Contract Approvals (Tab 5)

Ms. Heidi McConnell, Chief Operations Officer, presented a contract with Weaver and Tidwell, LLP, in an amount not to exceed \$236,250, for implementation of the approved 2017 Internal Audit Plan. CPRIT awarded the initial contract to Weaver and Tidwell, LLP, in FY 2016 following the completion of the competitive Request for Proposal procurement that year. CPRIT would be exercising the first renewal option for services in FY 2017.

Dr. Rebecca Garcia, Chief Prevention and Communications Officer, presented a conference venue contract with the Austin Renaissance Hotel for an estimated \$230,000. The contract includes \$170,000 in estimated food and beverage costs, which will be offset by conference registration fees. It also includes \$60,000 in audiovisual costs, which are estimated costs and will be finalized as the schedule and needs of the program are determined.

MOTION:

On a motion made by Dr. Rosenfeld and seconded by Ms. Mitchell, the Oversight Committee unanimously voted to approve contracts with Weaver and Tidwell, LLP, and the Austin Renaissance Hotel.

10. Subcommittee Business

11. Compliance Investigation Pursuant to Health & Safety Code § 102.2631

12. Consultation with General Counsel

Presiding Officer Geren stated there was no business to discuss for standing items 10, 11, and 12.

13. Future Meeting Dates and Agenda Items

Presiding Officer Geren announced the next regular Oversight Committee meeting is scheduled for November 16, 2016, at 10:00 a.m.

14. Adjourn

MOTION:

There being no further business, the Oversight Committee unanimously approved a motion to adjourn made by Presiding Officer Geren and seconded by Mr. Margo.

Meeting adjourned at 12:12 p.m.



Signature



Date