

CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS

APPLICATION PEDIGREE

FY 2017  
 CYCLE 1  
 PROGRAM Research  
 AWARD MECHANISM Core Facility Support Awards-Competitive Renewal (CFSA-CR)  
 APPLICATION ID RP170002  
 APPLICATION TITLE The University of Texas MD Anderson Cancer Center Science Park  
 Next-Generation Sequencing Facility  
 APPLICANT NAME Shen, Jianjun  
 ORGANIZATION The University of Texas M. D. Anderson Cancer Center  
 PANEL NAME 16.2 Basic Cancer Research-1 (16.2 BCR-1)

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA approved by CSO	N/A	09/06/16
	RFA published in Texas Register	07/24/15	09/06/16
	CPRIT Application Receipt System (CARS) opened	08/11/15	04/09/16
	CPRIT Application Receipt System (CARS) closed	10/13/15	04/09/16
	Date application submitted	10/08/15	04/09/16
	Method of submission	CARS	04/09/16
	Within receipt period	YES	04/09/16
	Request for extension to submit application after CARS closed	N/A	04/09/16
2. Receipt, Referral, and Assignment	Request for extension for late application submission accepted	N/A	04/09/16
	Administrative review notification	N/A	04/09/16
	Donation(s) made to CPRIT/foundation	NO	04/09/16
	Assigned to primary reviewers	N/A	04/09/16
	Applicant notified of review panel assignment	11/25/15	04/09/16
	Primary Reviewer 1 COI signed	N/A	04/09/16
	Primary Reviewer 2 COI signed	N/A	04/09/16
	Primary Reviewer 3 COI signed	N/A	04/09/16
3. Preliminary Evaluation	Primary (Advocate) Reviewer 4 COI signed	N/A	04/09/16
	Primary Reviewer 1 critique submitted	N/A	04/09/16
	Primary Reviewer 2 critique submitted	N/A	04/09/16
	Primary Reviewer 3 critique submitted	N/A	04/09/16
	Primary (Advocate) Reviewer 4 critique submitted	N/A	04/09/16
	COI indicated by non-primary reviewer	N/A	04/09/16
	Preliminary Evaluation score summary sent to Chair	N/A	04/09/16
	Recommended for full review	N/A	04/09/16
4. Peer Review Meeting	Applicant notified of outcome	N/A	04/09/16
	Assigned to primary reviewers	12/20/15	04/09/16
	Primary Reviewer 1 COI signed	11/19/15	04/09/16
	Primary Reviewer 2 COI signed	11/20/15	04/09/16
	Primary Reviewer 3 COI signed	11/19/15	04/09/16
	Primary (Advocate) Reviewer 4 COI signed	11/12/15	04/09/16
	Primary Reviewer 1 critique submitted	01/31/16	04/09/16
	Primary Reviewer 2 critique submitted	01/12/16	04/09/16
	Primary Reviewer 3 critique submitted	02/12/16	04/09/16
	Primary (Advocate) Reviewer 4 critique submitted	01/22/16	04/09/16
	COI indicated by non-primary reviewer	NONE	04/09/16
	COI recused from participation	N/A	04/09/16
	Discussed at Peer Review Meeting	YES	04/09/16
	Peer Review Meeting	03/14/16	04/09/16
	Post review statements signed	03/23/16	04/09/16
	Third Party Observer Report	03/21/16	04/09/16
Score report delivered to CSO	03/28/16	04/09/16	
Recommended for SRC Review	YES	04/09/16	
5. Final SRC Recommendation	COI indicated by SRC member	NONE	09/02/16
	COI recused from participation	N/A	09/02/16
	SRC Meeting	09/01/16	09/02/16
	Third Party Observer Report	09/04/16	09/06/16
	Recommended for grant award	YES	09/02/16
6. PIC Review	SRC Chair Notification to PIC and OC	09/02/16	09/02/16
	COI indicated by PIC member	NONE	09/06/16
	COI recused from participation	N/A	09/06/16
	PIC review meeting	09/06/16	09/06/16
7. Oversight Committee Approval	Recommended for grant award	YES	09/06/16
	CEO Notification to Oversight Committee	09/06/16	09/14/16
	COI indicated by Oversight Committee member	NONE	09/14/16
	COI recused from participation	N/A	09/14/16
	Donation(s) made to CPRIT/foundation	NO	09/14/16
	Presented to CPRIT Oversight Committee	09/14/16	09/14/16
	Award approved by Oversight Committee	YES	09/14/16
	Authority to advance funds requested	NO	09/14/16
Advance authority approved by Oversight Committee	NO	09/14/16	

The identity of the attesting party is retained by CPRIT.

CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS

APPLICATION PEDIGREE

FY 2017  
 CYCLE 1  
 PROGRAM Research  
 AWARD MECHANISM Core Facility Support Awards-Competitive Renewal (CFS-A-CR)  
 APPLICATION ID RP170003  
 APPLICATION TITLE North Texas Clinical Pharmacology Cancer Core  
 APPLICANT NAME Leff, Richard  
 ORGANIZATION Texas Tech University Health Sciences Center  
 PANEL NAME 16.2 Clinical / Translational Cancer Research (16.2 C/TCR)

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA approved by CSO	N/A	09/06/16
	RFA published in Texas Register	07/24/15	09/06/16
	CPRIT Application Receipt System (CARS) opened	08/11/15	04/11/16
	CPRIT Application Receipt System (CARS) closed	10/13/15	04/11/16
	Date application submitted	09/25/15	04/11/16
	Method of submission	CARS	04/11/16
	Within receipt period	YES	04/11/16
	Request for extension to submit application after CARS closed	N/A	04/11/16
	Request for extension for late application submission accepted	N/A	04/11/16
2. Receipt, Referral, and Assignment	Administrative review notification	N/A	04/11/16
	Donation(s) made to CPRIT/foundation	NO	04/11/16
	Assigned to primary reviewers	N/A	04/11/16
	Applicant notified of review panel assignment	11/25/15	04/11/16
	Primary Reviewer 1 COI signed	N/A	04/11/16
	Primary Reviewer 2 COI signed	N/A	04/11/16
	Primary Reviewer 3 COI signed	N/A	04/11/16
	Primary (Advocate) Reviewer 4 COI signed	N/A	04/11/16
3. Preliminary Evaluation	Primary Reviewer 1 critique submitted	N/A	04/11/16
	Primary Reviewer 2 critique submitted	N/A	04/11/16
	Primary Reviewer 3 critique submitted	N/A	04/11/16
	Primary (Advocate) Reviewer 4 critique submitted	N/A	04/11/16
	COI indicated by non-primary reviewer	N/A	04/11/16
	Preliminary Evaluation score summary sent to Chair	N/A	04/11/16
	Recommended for full review	N/A	04/11/16
	Applicant notified of outcome	N/A	04/11/16
4. Peer Review Meeting	Assigned to primary reviewers	01/19/16	04/11/16
	Primary Reviewer 1 COI signed	12/08/15	04/11/16
	Primary Reviewer 2 COI signed	12/08/15	04/11/16
	Primary Reviewer 3 COI signed	11/25/15	04/11/16
	Primary (Advocate) Reviewer 4 COI signed	11/09/15	04/11/16
	Primary Reviewer 1 critique submitted	03/09/16	04/11/16
	Primary Reviewer 2 critique submitted	02/26/16	04/11/16
	Primary Reviewer 3 critique submitted	03/03/16	04/11/16
	Primary (Advocate) Reviewer 4 critique submitted	02/26/16	04/11/16
	COI indicated by non-primary reviewer	NONE	04/11/16
	COI recused from participation	N/A	04/11/16
	Discussed at Peer Review Meeting	YES	04/11/16
	Peer Review Meeting	03/09/16-03/10/16	04/11/16
	Post review statements signed	03/28/16	04/11/16
	Third Party Observer Report	03/21/16	04/11/16
Score report delivered to CSO	03/28/16	04/11/16	
Recommended for SRC Review	YES	04/11/16	
5. Final SRC Recommendation	COI indicated by SRC member	NONE	09/02/16
	COI recused from participation	N/A	09/02/16
	SRC Meeting	09/01/16	09/02/16
	Third Party Observer Report	09/04/16	09/06/16
	Recommended for grant award	YES	09/02/16
	SRC Chair Notification to PIC and OC	09/02/16	09/02/16
6. PIC Review	COI indicated by PIC member	J. Willson	09/06/16
	COI recused from participation	YES	09/06/16
	PIC review meeting	09/06/16	09/06/16
	Recommended for grant award	YES	09/06/16
7. Oversight Committee Approval	CEO Notification to Oversight Committee	09/06/16	09/14/16
	COI indicated by Oversight Committee member	NONE	09/14/16
	COI recused from participation	N/A	09/14/16
	Donation(s) made to CPRIT/foundation	NO	09/14/16
	Presented to CPRIT Oversight Committee	09/14/16	09/14/16
	Award approved by Oversight Committee	YES	09/14/16
	Authority to advance funds requested	NO	09/14/16
Advance authority approved by Oversight Committee	NO	09/14/16	

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CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS

APPLICATION PEDIGREE

FY 2017  
 CYCLE 1  
 PROGRAM Research  
 AWARD MECHANISM Core Facility Support Awards-Competitive Renewal (CFS-A-CR)  
 APPLICATION ID RP170005  
 APPLICATION TITLE Proteomics and Metabolomics Core Facility  
 APPLICANT NAME Edwards, Dean  
 ORGANIZATION Baylor College of Medicine  
 PANEL NAME 16.2 Imaging Technology and Informatics (16.2 ITI)

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA approved by CSO	N/A	09/06/16
	RFA published in Texas Register	07/24/15	09/06/16
	CPRIT Application Receipt System (CARS) opened	08/11/15	04/07/16
	CPRIT Application Receipt System (CARS) closed	10/13/15	04/07/16
	Date application submitted	10/12/15	04/07/16
	Method of submission	CARS	04/07/16
	Within receipt period	YES	04/07/16
	Request for extension to submit application after CARS closed	N/A	04/07/16
2. Receipt, Referral, and Assignment	Request for extension for late application submission accepted	N/A	04/07/16
	Administrative review notification	N/A	04/07/16
	Donation(s) made to CPRIT/foundation	NO	04/07/16
	Assigned to primary reviewers	N/A	04/07/16
	Applicant notified of review panel assignment	11/25/15	04/07/16
	Primary Reviewer 1 COI signed	N/A	04/07/16
	Primary Reviewer 2 COI signed	N/A	04/07/16
3. Preliminary Evaluation	Primary Reviewer 3 COI signed	N/A	04/07/16
	Primary (Advocate) Reviewer 4 COI signed	N/A	04/07/16
	Primary Reviewer 1 critique submitted	N/A	04/07/16
	Primary Reviewer 2 critique submitted	N/A	04/07/16
	Primary Reviewer 3 critique submitted	N/A	04/07/16
	Primary (Advocate) Reviewer 4 critique submitted	N/A	04/07/16
	COI indicated by non-primary reviewer	N/A	04/07/16
	Preliminary Evaluation score summary sent to Chair	N/A	04/07/16
4. Peer Review Meeting	Recommended for full review	N/A	04/07/16
	Applicant notified of outcome	N/A	04/07/16
	Assigned to primary reviewers	12/21/15	04/07/16
	Primary Reviewer 1 COI signed	11/23/15	04/07/16
	Primary Reviewer 2 COI signed	12/10/15	04/07/16
	Primary Reviewer 3 COI signed	11/23/15	04/07/16
	Primary (Advocate) Reviewer 4 COI signed	11/20/15	04/07/16
	Primary Reviewer 1 critique submitted	03/02/16	04/07/16
	Primary Reviewer 2 critique submitted	03/02/16	04/07/16
	Primary Reviewer 3 critique submitted	03/02/16	04/07/16
	Primary (Advocate) Reviewer 4 critique submitted	03/03/16	04/07/16
	COI indicated by non-primary reviewer	NONE	04/07/16
	COI recused from participation	N/A	04/07/16
	Discussed at Peer Review Meeting	YES	04/07/16
	Peer Review Meeting	03/11/16	04/07/16
5. Final SRC Recommendation	Post review statements signed	03/12/16	04/07/16
	Third Party Observer Report	03/21/16	04/12/16
	Score report delivered to CSO	03/28/16	04/07/16
	Recommended for SRC Review	YES	04/07/16
	COI indicated by SRC member	NONE	09/02/16
	COI recused from participation	N/A	09/02/16
6. PIC Review	SRC Meeting	09/01/16	09/02/16
	Third Party Observer Report	09/04/16	09/06/16
	Recommended for grant award	YES	09/02/16
	SRC Chair Notification to PIC and OC	09/02/16	09/02/16
7. Oversight Committee Approval	COI indicated by PIC member	NONE	09/06/16
	COI recused from participation	N/A	09/06/16
	PIC review meeting	09/06/16	09/06/16
	Recommended for grant award	YES	09/06/16
7. Oversight Committee Approval	CEO Notification to Oversight Committee	09/06/16	09/14/16
	COI indicated by Oversight Committee member	NONE	09/14/16
	COI recused from participation	N/A	09/14/16
	Donation(s) made to CPRIT/foundation	NO	09/14/16
	Presented to CPRIT Oversight Committee	09/14/16	09/14/16
	Award approved by Oversight Committee	YES	09/14/16
	Authority to advance funds requested	NO	09/14/16
Advance authority approved by Oversight Committee	NO	09/14/16	

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**CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS**  
**APPLICATION PEDIGREE**

**FY** 2017  
**CYCLE** 1  
**PROGRAM** Research  
**AWARD MECHANISM** Core Facility Support Awards-Competitive Renewal (CFSA-CR)  
**APPLICATION ID** RP170006  
**APPLICATION TITLE** Investigational New Drug Production Core Facility at Scott and White  
 Cancer Research Institute  
**APPLICANT NAME** Woo, Jung  
**ORGANIZATION** Scott & White Healthcare  
**PANEL NAME** 16.2 Cancer Biology (16.2 CB)

Category	Compliance Requirement	Information	Attestation Date
<b>1. Pre-Receipt</b>	RFA approved by CSO	N/A	09/06/16
	RFA published in Texas Register	07/24/15	09/06/16
	CPRIT Application Receipt System (CARS) opened	08/11/15	04/09/16
	CPRIT Application Receipt System (CARS) closed	10/13/15	04/09/16
	Date application submitted	10/12/15	04/09/16
	Method of submission	CARS	04/09/16
	Within receipt period	YES	04/09/16
	Request for extension to submit application after CARS closed	N/A	04/09/16
	Request for extension for late application submission accepted	N/A	04/09/16
<b>2. Receipt, Referral, and Assignment</b>	Administrative review notification	N/A	04/09/16
	Donation(s) made to CPRIT/foundation	NO	04/09/16
	Assigned to primary reviewers	N/A	04/09/16
	Applicant notified of review panel assignment	11/25/15	04/09/16
	Primary Reviewer 1 COI signed	N/A	04/09/16
	Primary Reviewer 2 COI signed	N/A	04/09/16
	Primary Reviewer 3 COI signed	N/A	04/09/16
	Primary (Advocate) Reviewer 4 COI signed	N/A	04/09/16
<b>3. Preliminary Evaluation</b>	Primary Reviewer 1 critique submitted	N/A	04/09/16
	Primary Reviewer 2 critique submitted	N/A	04/09/16
	Primary Reviewer 3 critique submitted	N/A	04/09/16
	Primary (Advocate) Reviewer 4 critique submitted	N/A	04/09/16
	COI indicated by non-primary reviewer	N/A	04/09/16
	Preliminary Evaluation score summary sent to Chair	N/A	04/09/16
	Recommended for full review	N/A	04/09/16
	Applicant notified of outcome	N/A	04/09/16
<b>4. Peer Review Meeting</b>	Assigned to primary reviewers	12/23/15	04/09/16
	Primary Reviewer 1 COI signed	02/14/16	04/09/16
	Primary Reviewer 2 COI signed	12/18/15	04/09/16
	Primary Reviewer 3 COI signed	11/16/15	04/09/16
	Primary (Advocate) Reviewer 4 COI signed	11/09/15	04/09/16
	Primary Reviewer 1 critique submitted	03/01/16	04/09/16
	Primary Reviewer 2 critique submitted	03/06/16	04/09/16
	Primary Reviewer 3 critique submitted	02/05/16	04/09/16
	Primary (Advocate) Reviewer 4 critique submitted	01/10/16	04/09/16
	COI indicated by non-primary reviewer	NONE	04/09/16
	COI recused from participation	N/A	04/09/16
	Discussed at Peer Review Meeting	YES	04/09/16
	Peer Review Meeting	03/15/16	04/09/16
	Post review statements signed	03/24/16	04/09/16
	Third Party Observer Report	03/21/16	04/09/16
	Score report delivered to CSO	03/28/16	04/09/16
Recommended for SRC Review	YES	04/09/16	
<b>5. Final SRC Recommendation</b>	COI indicated by SRC member	NONE	09/02/16
	COI recused from participation	N/A	09/02/16
	SRC Meeting	09/01/16	09/02/16
	Third Party Observer Report	09/04/16	09/06/16
	Recommended for grant award	YES	09/02/16
	SRC Chair Notification to PIC and OC	09/02/16	09/02/16
<b>6. PIC Review</b>	COI indicated by PIC member	NONE	09/06/16
	COI recused from participation	N/A	09/06/16
	PIC review meeting	09/06/16	09/06/16
	Recommended for grant award	YES	09/06/16
<b>7. Oversight Committee Approval</b>	CEO Notification to Oversight Committee	09/06/16	09/14/16
	COI indicated by Oversight Committee member	NONE	09/14/16
	COI recused from participation	N/A	09/14/16
	Donation(s) made to CPRIT/foundation	NO	09/14/16
	Presented to CPRIT Oversight Committee	09/14/16	09/14/16
	Award approved by Oversight Committee	YES	09/14/16
	Authority to advance funds requested	NO	09/14/16
Advance authority approved by Oversight Committee	NO	09/14/16	

The identity of the attesting party is retained by CPRIT.

**CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS  
APPLICATION PEDIGREE**

**FY** 2016  
**CYCLE** 10  
**PROGRAM** Research  
**AWARD MECHANISM** Recruitment of Established Investigators (REI)  
**APPLICATION ID** RR160077  
**APPLICATION TITLE** Recruitment of Established Investigator- Michael F. Clarke, MD  
**NOMINATOR NAME** Dmitrovsky, Ethan  
**CANDIDATE NAME** Clarke, Michael  
**ORGANIZATION** The University of Texas M. D. Anderson Cancer Center  
**PANEL NAME** Recruitment FY16 Cycle 10 (REC 16.10)

Category	Compliance Requirement	Information	Attestation Date
<b>1. Pre-Receipt</b>	RFA Approved by CSO	09/18/15	09/06/16
	RFA published in Texas Register	09/11/15	09/06/16
	CPRIT Application Receipt Cycle opened	03/21/16	07/01/16
	CPRIT Application Receipt Cycle closed	04/20/16	07/01/16
	Date application submitted	04/19/16	07/01/16
	Method of submission	CARS	07/01/16
	Within receipt period	YES	07/01/16
<b>2. Receipt, Referral, and Assignment</b>	Administrative review notification	N/A	07/01/16
	Donation(s) made to CPRIT/foundation	NO	07/01/16
	Assigned to primary reviewers	05/01/16	07/01/16
	Applicant notified of review panel assignment	N/A	07/01/16
	Primary Reviewer 1 COI signed	05/26/16	07/01/16
	Primary Reviewer 2 COI signed	05/25/16	07/01/16
<b>3. Peer Review Meeting</b>	Primary Reviewer 1 critique submitted	05/13/16	07/01/16
	Primary Reviewer 2 critique submitted	05/20/16	07/01/16
	COI indicated by non-primary reviewer	NONE	07/01/16
	COI recused from participation	N/A	07/01/16
	Discussed at Peer Review Meeting	YES	07/01/16
	Peer Review Meeting	05/26/16	07/01/16
	Post review statements signed	06/22/16	07/01/16
	Third Party Observer Report	06/03/16	07/01/16
	Score report delivered to CSO	06/10/16	07/01/16
Recommended for SRC Review	YES	07/01/16	
<b>4. Final SRC Recommendation</b>	COI indicated by SRC member	NONE	07/01/16
	COI recused from participation	N/A	07/01/16
	SRC Meeting	05/26/16	07/01/16
	Third Party Observer Report	06/03/16	07/01/16
	Recommended for grant award	N/A*	09/02/16
	SRC Chair Notification to PIC and OC	N/A*	09/06/16
<b>4. Final SRC Recommendation</b>	COI indicated by SRC member	NONE	09/01/16
	COI recused from participation	N/A	09/01/16
	SRC Meeting	09/01/16	09/01/16
	Third Party Observer Report	09/04/16	09/06/16
	Recommended for grant award	YES	09/01/16
	SRC Chair Notification to PIC and OC	09/02/16	09/02/16
<b>5. PIC Review</b>	Applicant not employed by grantee prior to SRC date	YES	09/06/16
	COI indicated by PIC member	NONE	09/06/16
	COI recused from participation	N/A	09/06/16
	PIC review meeting	09/06/16	09/06/16
	Recommended for grant award	YES	09/06/16
<b>6. Oversight Committee Approval</b>	CEO Notification to Oversight Committee	09/06/16	09/14/16
	COI indicated by Oversight Committee member	NONE	09/14/16
	COI recused from participation	N/A	09/14/16
	Donation(s) made to CPRIT/foundation	NO	09/14/16
	Presented to CPRIT Oversight Committee	09/14/16	09/14/16
	Award approved by Oversight Committee	YES	09/14/16
	Authority to advance funds requested	NO	09/14/16
	Advance authority approved by Oversight Committee	NO	09/14/16

\*The SRC did not act upon this application.

Pursuant to 25 TAC 702.19, CPRIT CEO granted Dr. Willson a waiver from the general prohibition against communicating with grant applicant institutions submitting recruitment grant award applications between April-June

The identity of the attesting party is retained by CPRIT.

**CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS  
APPLICATION PEDIGREE**

**FY** 2016  
**CYCLE** 11  
**PROGRAM** Research  
**AWARD MECHANISM** Recruitment of First-Time Faculty Members (RFTFM)  
**APPLICATION ID** RR160080  
**APPLICATION TITLE** Nomination of Esra Akbay, Ph.D. for a First-Time, Tenure-Track Faculty Member Recruitment Award  
**NOMINATOR NAME** Fitz, John  
**CANDIDATE NAME** Akbay, Esra  
**ORGANIZATION** The University of Texas Southwestern Medical Center  
**PANEL NAME** Recruitment FY16 Cycle 11 (REC 16.11)

Category	Compliance Requirement	Information	Attestation Date
<b>1. Pre-Receipt</b>	RFA Approved by CSO	09/18/15	09/06/16
	RFA published in Texas Register	09/11/15	09/06/16
	CPRIT Application Receipt Cycle opened	04/21/16	07/01/16
	CPRIT Application Receipt Cycle closed	05/20/16	07/01/16
	Date application submitted	05/13/16	07/01/16
	Method of submission	CARS	07/01/16
	Within receipt period	YES	07/01/16
<b>2. Receipt, Referral, and Assignment</b>	Administrative review notification	N/A	07/01/16
	Donation(s) made to CPRIT/foundation	NO	07/01/16
	Assigned to primary reviewers	06/01/16	07/01/16
	Applicant notified of review panel assignment	N/A	07/01/16
	Primary Reviewer 1 COI signed	05/26/16	07/01/16
	Primary Reviewer 2 COI signed	06/01/16	07/01/16
<b>3. Peer Review Meeting</b>	Primary Reviewer 1 critique submitted	06/16/16	07/01/16
	Primary Reviewer 2 critique submitted	06/16/16	07/01/16
	COI indicated by non-primary reviewer	NONE	07/01/16
	COI recused from participation	N/A	07/01/16
	Discussed at Peer Review Meeting	YES	07/01/16
	Peer Review Meeting	06/16/16	07/01/16
	Post review statements signed	06/24/16	07/01/16
	Third Party Observer Report	06/21/16	07/01/16
	Score report delivered to CSO	06/23/16	07/01/16
Recommended for SRC Review	YES	07/01/16	
<b>4. Final SRC Recommendation</b>	COI indicated by SRC member	NONE	07/01/16
	COI recused from participation	N/A	07/01/16
	SRC Meeting	06/16/16	07/01/16
	Third Party Observer Report	06/21/16	07/01/16
	Recommended for grant award	N/A*	09/01/16
	SRC Chair Notification to PIC and OC	N/A*	09/06/16
<b>4. Final SRC Recommendation</b>	COI indicated by SRC member	NONE	09/01/16
	COI recused from participation	N/A	09/01/16
	SRC Meeting	09/01/16	09/01/16
	Third Party Observer Report	09/04/16	09/06/16
	Recommended for grant award	YES	09/01/16
	SRC Chair Notification to PIC and OC	09/02/16	09/02/16
<b>5. PIC Review</b>	Applicant not employed by grantee prior to SRC date	YES	09/06/16
	COI indicated by PIC member	NONE	09/06/16
	COI recused from participation	N/A	09/06/16
	PIC review meeting	09/06/16	09/06/16
	Recommended for grant award	YES	09/06/16
<b>6. Oversight Committee Approval</b>	CEO Notification to Oversight Committee	09/06/16	09/14/16
	COI indicated by Oversight Committee member	NONE	09/14/16
	COI recused from participation	N/A	09/14/16
	Donation(s) made to CPRIT/foundation	NO	09/14/16
	Presented to CPRIT Oversight Committee	09/14/16	09/14/16
	Award approved by Oversight Committee	YES	09/14/16
	Authority to advance funds requested	NO	09/14/16
Advance authority approved by Oversight Committee	NO	09/14/16	

\*The SRC did not act upon this application.

Pursuant to 25 TAC 702.19, CPRIT CEO granted Dr. Willson a waiver from the general prohibition against communicating with grant applicant institutions submitting recruitment grant award applications between April-June

The identity of the attesting party is retained by CPRIT.

**CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS  
APPLICATION PEDIGREE**

**FY** 2016  
**CYCLE** 11  
**PROGRAM** Research  
**AWARD MECHANISM** Recruitment of First-Time Faculty Members (RFTFM)  
**APPLICATION ID** RR160082  
**APPLICATION TITLE** Nomination of Xiao-chen Bai, Ph.D. for a CPRIT First-Time, Tenure-Track Faculty Member Award  
**NOMINATOR NAME** Fitz, John  
**CANDIDATE NAME** Bai, Xiao-chen  
**ORGANIZATION** The University of Texas Southwestern Medical Center  
**PANEL NAME** Recruitment FY16 Cycle 11 (REC 16.11)

Category	Compliance Requirement	Information	Attestation Date
<b>1. Pre-Receipt</b>	RFA Approved by CSO	09/18/15	09/06/16
	RFA published in Texas Register	09/11/15	09/06/16
	CPRIT Application Receipt Cycle opened	04/21/16	07/01/16
	CPRIT Application Receipt Cycle closed	05/20/16	07/01/16
	Date application submitted	05/16/16	07/01/16
	Method of submission	CARS	07/01/16
	Within receipt period	YES	07/01/16
<b>2. Receipt, Referral, and Assignment</b>	Administrative review notification	N/A	07/01/16
	Donation(s) made to CPRIT/foundation	NO	07/01/16
	Assigned to primary reviewers	06/01/16	07/01/16
	Applicant notified of review panel assignment	N/A	07/01/16
	Primary Reviewer 1 COI signed	05/26/16	07/01/16
	Primary Reviewer 2 COI signed	05/25/16	07/01/16
<b>3. Peer Review Meeting</b>	Primary Reviewer 1 critique submitted	06/12/16	07/01/16
	Primary Reviewer 2 critique submitted	06/16/16	07/01/16
	COI indicated by non-primary reviewer	NONE	07/01/16
	COI recused from participation	N/A	07/01/16
	Discussed at Peer Review Meeting	YES	07/01/16
	Peer Review Meeting	06/16/16	07/01/16
	Post review statements signed	06/24/16	07/01/16
	Third Party Observer Report	06/21/16	07/01/16
	Score report delivered to CSO	06/23/16	07/01/16
Recommended for SRC Review	YES	07/01/16	
<b>4. Final SRC Recommendation</b>	COI indicated by SRC member	NONE	07/01/16
	COI recused from participation	N/A	07/01/16
	SRC Meeting	06/16/16	07/01/16
	Third Party Observer Report	06/21/16	07/01/16
	Recommended for grant award	N/A*	09/02/16
	SRC Chair Notification to PIC and OC	N/A*	09/06/16
<b>4. Final SRC Recommendation</b>	COI indicated by SRC member	NONE	09/01/16
	COI recused from participation	N/A	09/01/16
	SRC Meeting	09/01/16	09/01/16
	Third Party Observer Report	09/04/16	09/06/16
	Recommended for grant award	YES	09/01/16
	SRC Chair Notification to PIC and OC	09/02/16	09/02/16
<b>5. PIC Review</b>	Applicant not employed by grantee prior to SRC date	YES	09/06/16
	COI indicated by PIC member	NONE	09/06/16
	COI recused from participation	N/A	09/06/16
	PIC review meeting	09/06/16	09/06/16
	Recommended for grant award	YES	09/06/16
<b>6. Oversight Committee Approval</b>	CEO Notification to Oversight Committee	09/06/16	09/14/16
	COI indicated by Oversight Committee member	NONE	09/14/16
	COI recused from participation	N/A	09/14/16
	Donation(s) made to CPRIT/foundation	NO	09/14/16
	Presented to CPRIT Oversight Committee	09/14/16	09/14/16
	Award approved by Oversight Committee	YES	09/14/16
	Authority to advance funds requested	NO	09/14/16
Advance authority approved by Oversight Committee	NO	09/14/16	

\*The SRC did not act upon this application.

Pursuant to 25 TAC 702.19, CPRIT CEO granted Dr. Willson a waiver from the general prohibition against communicating with grant applicant institutions submitting recruitment grant award applications between April-June

The identity of the attesting party is retained by CPRIT.

**CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS  
APPLICATION PEDIGREE**

**FY** 2016  
**CYCLE** 11  
**PROGRAM** Research  
**AWARD MECHANISM** Recruitment of First-Time Faculty Members (RFTFM)  
**APPLICATION ID** RR160083  
**APPLICATION TITLE** Recruitment of First-Time, Tenure-Track Faculty Members, Wenbo Li  
**NOMINATOR NAME** Stancel, George  
**CANDIDATE NAME** Li, Wenbo  
**ORGANIZATION** The University of Texas Health Science Center at Houston  
**PANEL NAME** Recruitment FY16 Cycle 11 (REC 16.11)

Category	Compliance Requirement	Information	Attestation Date
<b>1. Pre-Receipt</b>	RFA Approved by CSO	09/18/15	09/06/16
	RFA published in Texas Register	09/11/15	09/06/16
	CPRIT Application Receipt Cycle opened	04/21/16	07/01/16
	CPRIT Application Receipt Cycle closed	05/20/16	07/01/16
	Date application submitted	05/19/16	07/01/16
	Method of submission	CARS	07/01/16
	Within receipt period	YES	07/01/16
<b>2. Receipt, Referral, and Assignment</b>	Administrative review notification	N/A	07/01/16
	Donation(s) made to CPRIT/foundation	NO	07/01/16
	Assigned to primary reviewers	06/01/16	07/01/16
	Applicant notified of review panel assignment	N/A	07/01/16
	Primary Reviewer 1 COI signed	06/01/16	07/01/16
	Primary Reviewer 2 COI signed	05/27/16	07/01/16
<b>3. Peer Review Meeting</b>	Primary Reviewer 1 critique submitted	06/14/16	07/01/16
	Primary Reviewer 2 critique submitted	06/05/16	07/01/16
	COI indicated by non-primary reviewer	NONE	07/01/16
	COI recused from participation	N/A	07/01/16
	Discussed at Peer Review Meeting	YES	07/01/16
	Peer Review Meeting	06/16/16	07/01/16
	Post review statements signed	06/24/16	07/01/16
	Third Party Observer Report	06/21/16	07/01/16
	Score report delivered to CSO	06/23/16	07/01/16
Recommended for SRC Review	YES	07/01/16	
<b>4. Final SRC Recommendation</b>	COI indicated by SRC member	NONE	07/01/16
	COI recused from participation	N/A	07/01/16
	SRC Meeting	06/16/16	07/01/16
	Third Party Observer Report	06/21/16	07/01/16
	Recommended for grant award	N/A*	09/02/16
	SRC Chair Notification to PIC and OC	N/A*	09/06/16
<b>4. Final SRC Recommendation</b>	COI indicated by SRC member	NONE	09/01/16
	COI recused from participation	N/A	09/01/16
	SRC Meeting	09/01/16	09/01/16
	Third Party Observer Report	09/04/16	09/06/16
	Recommended for grant award	YES	09/01/16
	SRC Chair Notification to PIC and OC	09/02/16	09/02/16
<b>5. PIC Review</b>	Applicant not employed by grantee prior to SRC date	YES	09/06/16
	COI indicated by PIC member	NONE	09/06/16
	COI recused from participation	N/A	09/06/16
	PIC review meeting	09/06/16	09/06/16
	Recommended for grant award	YES	09/06/16
<b>6. Oversight Committee Approval</b>	CEO Notification to Oversight Committee	09/06/16	09/14/16
	COI indicated by Oversight Committee member	NONE	09/14/16
	COI recused from participation	N/A	09/14/16
	Donation(s) made to CPRIT/foundation	NO	09/14/16
	Presented to CPRIT Oversight Committee	09/14/16	09/14/16
	Award approved by Oversight Committee	YES	09/14/16
	Authority to advance funds requested	NO	09/14/16
Advance authority approved by Oversight Committee	NO	09/14/16	

\*The SRC did not act upon this application.

Pursuant to 25 TAC 702.19, CPRIT CEO granted Dr. Willson a waiver from the general prohibition against communicating with grant applicant institutions submitting recruitment grant award applications between April-June

The identity of the attesting party is retained by CPRIT.



**CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS  
APPLICATION PEDIGREE**

**FY** 2016  
**CYCLE** 11  
**PROGRAM** Research  
**AWARD MECHANISM** Recruitment of First-Time Faculty Members (RFTFM)  
**APPLICATION ID** RR160088  
**APPLICATION TITLE** Recruitment of First-Time, Tenure-Track Faculty Member -  
 Dr. David Taylor  
**NOMINATOR NAME** Appling, Dean  
**CANDIDATE NAME** Taylor, David  
**ORGANIZATION** The University of Texas at Austin  
**PANEL NAME** Recruitment FY16 Cycle 11 (REC 16.11)

Category	Compliance Requirement	Information	Attestation Date
<b>1. Pre-Receipt</b>	RFA Approved by CSO	09/18/15	09/06/16
	RFA published in Texas Register	09/11/15	09/06/16
	CPRIT Application Receipt Cycle opened	04/21/16	07/01/16
	CPRIT Application Receipt Cycle closed	05/20/16	07/01/16
	Date application submitted	05/20/16	07/01/16
	Method of submission	CARS	07/01/16
	Within receipt period	YES	07/01/16
<b>2. Receipt, Referral, and Assignment</b>	Administrative review notification	N/A	07/01/16
	Donation(s) made to CPRIT/foundation	NO	07/01/16
	Assigned to primary reviewers	06/01/16	07/01/16
	Applicant notified of review panel assignment	N/A	07/01/16
	Primary Reviewer 1 COI signed	06/01/16	07/01/16
	Primary Reviewer 2 COI signed	05/26/16	07/01/16
<b>3. Peer Review Meeting</b>	Primary Reviewer 1 critique submitted	06/15/16	07/01/16
	Primary Reviewer 2 critique submitted	06/12/16	07/01/16
	COI indicated by non-primary reviewer	NONE	07/01/16
	COI recused from participation	N/A	07/01/16
	Discussed at Peer Review Meeting	YES	07/01/16
	Peer Review Meeting	06/16/16	07/01/16
	Post review statements signed	06/24/16	07/01/16
	Third Party Observer Report	06/21/16	07/01/16
	Score report delivered to CSO	06/23/16	07/01/16
Recommended for SRC Review	YES	07/01/16	
<b>4. Final SRC Recommendation</b>	COI indicated by SRC member	NONE	07/01/16
	COI recused from participation	N/A	07/01/16
	SRC Meeting	06/16/16	07/01/16
	Third Party Observer Report	06/21/16	07/01/16
	Recommended for grant award	N/A*	09/02/16
	SRC Chair Notification to PIC and OC	N/A*	09/06/16
<b>4. Final SRC Recommendation</b>	COI indicated by SRC member	NONE	09/01/16
	COI recused from participation	N/A	09/01/16
	SRC Meeting	09/01/16	09/01/16
	Third Party Observer Report	09/04/16	09/06/16
	Recommended for grant award	YES	09/01/16
	SRC Chair Notification to PIC and OC	09/02/16	09/02/16
<b>5. PIC Review</b>	Applicant not employed by grantee prior to SRC date	YES	09/06/16
	COI indicated by PIC member	NONE	09/06/16
	COI recused from participation	N/A	09/06/16
	PIC review meeting	09/06/16	09/06/16
	Recommended for grant award	YES	09/06/16
<b>6. Oversight Committee Approval</b>	CEO Notification to Oversight Committee	09/06/16	09/14/16
	COI indicated by Oversight Committee member	A. Angelou	09/14/16
	COI recused from participation	YES	09/14/16
	Donation(s) made to CPRIT/foundation	NO	09/14/16
	Presented to CPRIT Oversight Committee	09/14/16	09/14/16
	Award approved by Oversight Committee	YES	09/14/16
	Authority to advance funds requested	NO	09/14/16
Advance authority approved by Oversight Committee	NO	09/14/16	

\*The SRC did not act upon this application.

Pursuant to 25 TAC 702.19, CPRIT CEO granted Dr. Willson a waiver from the general prohibition against communicating with grant applicant institutions submitting recruitment grant award applications between April-June

The identity of the attesting party is retained by CPRIT.

**CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS  
APPLICATION PEDIGREE**

**FY** 2016  
**CYCLE** 11  
**PROGRAM** Research  
**AWARD MECHANISM** Recruitment of Rising Stars (RRS)  
**APPLICATION ID** RR160089  
**APPLICATION TITLE** Recruitment of Rising Stars- Dr. Robert Jenq  
**NOMINATOR NAME** Dmitrovsky, Ethan  
**CANDIDATE NAME** Jenq, Robert  
**ORGANIZATION** The University of Texas M. D. Anderson Cancer Center  
**PANEL NAME** Recruitment FY16 Cycle 11 (REC 16.11)

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA Approved by CSO	09/18/15	09/06/16
	RFA published in Texas Register	09/11/15	09/06/16
	CPRIT Application Receipt Cycle opened	04/21/16	07/01/16
	CPRIT Application Receipt Cycle closed	05/20/16	07/01/16
	Date application submitted	05/19/16	07/01/16
	Method of submission	CARS	07/01/16
	Within receipt period	YES	07/01/16
2. Receipt, Referral, and Assignment	Administrative review notification	N/A	07/01/16
	Donation(s) made to CPRIT/foundation	NO	07/01/16
	Assigned to primary reviewers	06/01/16	07/01/16
	Applicant notified of review panel assignment	N/A	07/01/16
	Primary Reviewer 1 COI signed	05/31/16	07/01/16
	Primary Reviewer 2 COI signed	05/26/16	07/01/16
3. Peer Review Meeting	Primary Reviewer 1 critique submitted	06/16/16	07/01/16
	Primary Reviewer 2 critique submitted	06/12/16	07/01/16
	COI indicated by non-primary reviewer	O'Reilly, Richard	07/01/16
	COI recused from participation	YES	07/01/16
	Discussed at Peer Review Meeting	YES	07/01/16
	Peer Review Meeting	06/16/16	07/01/16
	Post review statements signed	06/24/16	07/01/16
	Third Party Observer Report	06/21/16	07/01/16
	Score report delivered to CSO	06/23/16	07/01/16
Recommended for SRC Review	YES	07/01/16	
4. Final SRC Recommendation	COI indicated by SRC member	O'Reilly, Richard	07/01/16
	COI recused from participation	YES	07/01/16
	SRC Meeting	06/16/16	07/01/16
	Third Party Observer Report	06/21/16	07/01/16
	Recommended for grant award	N/A*	09/02/16
4. Final SRC Recommendation	SRC Chair Notification to PIC and OC	N/A*	09/06/16
	COI indicated by SRC member	NONE	09/01/16
	COI recused from participation	N/A	09/01/16
	SRC Meeting	09/01/16	09/01/16
	Third Party Observer Report	09/04/16	09/06/16
5. PIC Review	Recommended for grant award	YES	09/01/16
	SRC Chair Notification to PIC and OC	09/02/16	09/02/16
	Applicant not employed by grantee prior to SRC date	YES	09/06/16
	COI indicated by PIC member	NONE	09/06/16
	COI recused from participation	N/A	09/06/16
6. Oversight Committee Approval	PIC review meeting	09/06/16	09/06/16
	Recommended for grant award	YES	09/06/16
	CEO Notification to Oversight Committee	09/06/16	09/14/16
	COI indicated by Oversight Committee member	NONE	09/14/16
	COI recused from participation	N/A	09/14/16
	Donation(s) made to CPRIT/foundation	NO	09/14/16
	Presented to CPRIT Oversight Committee	09/14/16	09/14/16
Award approved by Oversight Committee	YES	09/14/16	
Authority to advance funds requested	NO	09/14/16	
Advance authority approved by Oversight Committee	NO	09/14/16	

\*The SRC did not act upon this application.

Pursuant to 25 TAC 702.19, CPRIT CEO granted Dr. Willson a waiver from the general prohibition against communicating with grant applicant institutions submitting recruitment grant award applications between April-June

The identity of the attesting party is retained by CPRIT.

**CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS  
APPLICATION PEDIGREE**

**FY** 2016  
**CYCLE** 12  
**PROGRAM** Research  
**AWARD MECHANISM** Recruitment of Established Investigators (REI)  
**APPLICATION ID** RR160093  
**APPLICATION TITLE** REI Application for Dr. Gail Eckhardt, MD, as the Inaugural Director of the LiveStrong Cancer Institutes at UT Austin Dell Medical School  
**NOMINATOR NAME** Johnston, Sterling  
**CANDIDATE NAME** Eckhardt, Gail  
**ORGANIZATION** The University of Texas at Austin  
**PANEL NAME** Recruitment FY16 Cycle 12 (REC 16.12)

Category	Compliance Requirement	Information	Attestation Date
<b>1. Pre-Receipt</b>	RFA Approved by CSO	09/18/15	09/06/16
	RFA published in Texas Register	09/11/15	09/06/16
	CPRIT Application Receipt Cycle opened	05/21/16	08/12/16
	CPRIT Application Receipt Cycle closed	06/20/16	08/12/16
	Date application submitted	06/20/16	08/12/16
	Method of submission	CARS	08/12/16
	Within receipt period	YES	08/12/16
<b>2. Receipt, Referral, and Assignment</b>	Administrative review notification	N/A	08/12/16
	Donation(s) made to CPRIT/foundation	NO	08/12/16
	Assigned to primary reviewers	6/30/16	08/12/16
	Applicant notified of review panel assignment	N/A	08/12/16
	Primary Reviewer 1 COI signed	6/28/16	08/12/16
	Primary Reviewer 2 COI signed	6/24/16	08/12/16
	<b>3. Peer Review Meeting</b>	Primary Reviewer 1 critique submitted	7/13/16
Primary Reviewer 2 critique submitted		7/13/16	08/12/16
COI indicated by non-primary reviewer		NONE	08/12/16
COI recused from participation		N/A	08/12/16
Discussed at Peer Review Meeting		YES	08/12/16
Peer Review Meeting		07/14/16	08/12/16
Post review statements signed		07/15/16	08/12/16
Third Party Observer Report		07/25/16	09/06/16
Score report delivered to CSO		07/29/16	08/12/16
Recommended for SRC Review	YES	08/12/16	
<b>4. Final SRC Recommendation</b>	COI indicated by SRC member	NONE	08/12/16
	COI recused from participation	N/A	08/12/16
	SRC Meeting	07/14/16	08/12/16
	Third Party Observer Report	07/25/16	09/06/16
	Recommended for grant award	N/A*	09/02/16
	SRC Chair Notification to PIC and OC	N/A*	09/06/16
<b>4. Final SRC Recommendation</b>	COI indicated by SRC member	NONE	09/01/16
	COI recused from participation	N/A	09/01/16
	SRC Meeting	09/01/16	09/01/16
	Third Party Observer Report	09/04/16	09/06/16
	Recommended for grant award	YES	09/01/16
	SRC Chair Notification to PIC and OC	09/02/16	09/02/16
<b>5. PIC Review</b>	Applicant not employed by grantee prior to SRC date	YES	09/06/16
	COI indicated by PIC member	NONE	09/06/16
	COI recused from participation	N/A	09/06/16
	PIC review meeting	09/06/16	09/06/16
	Recommended for grant award	YES	09/06/16
<b>6. Oversight Committee Approval</b>	CEO Notification to Oversight Committee	09/06/16	09/14/16
	COI indicated by Oversight Committee member	A. Angelou	09/14/16
	COI recused from participation	YES	09/14/16
	Donation(s) made to CPRIT/foundation	NO	09/14/16
	Presented to CPRIT Oversight Committee	09/14/16	09/14/16
	Award approved by Oversight Committee	YES	09/14/16
	Authority to advance funds requested	NO	09/14/16
	Advance authority approved by Oversight Committee	NO	09/14/16

\*The SRC did not act upon this application.

Pursuant to 25 TAC 702.19, CPRIT CEO granted Dr. Willson a waiver from the general prohibition against communicating with grant applicant institutions submitting recruitment grant award applications between April-June

The identity of the attesting party is retained by CPRIT.

**CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS**  
**APPLICATION PEDIGREE**

**FY** 2016  
**CYCLE** 12  
**PROGRAM** Research  
**AWARD MECHANISM** Recruitment of First-Time Faculty Members (RFTFM)  
**APPLICATION ID** RR160096  
**APPLICATION TITLE** Recruitment of First-time, Tenure-Track Faculty - Dr. Xin Ye  
**NOMINATOR NAME** Dmitrovsky, Ethan  
**CANDIDATE NAME** Ye, Xin  
**ORGANIZATION** The University of Texas M. D. Anderson Cancer Center  
**PANEL NAME** Recruitment FY16 Cycle 12 (REC 16.12)

Category	Compliance Requirement	Information	Attestation Date
<b>1. Pre-Receipt</b>	RFA Approved by CSO	09/18/15	09/06/16
	RFA published in Texas Register	09/11/15	09/06/16
	CPRIT Application Receipt Cycle opened	05/21/16	08/12/16
	CPRIT Application Receipt Cycle closed	06/20/16	08/12/16
	Date application submitted	06/17/16	08/12/16
	Method of submission	CARS	08/12/16
	Within receipt period	YES	08/12/16
<b>2. Receipt, Referral, and Assignment</b>	Administrative review notification	N/A	08/12/16
	Donation(s) made to CPRIT/foundation	NO	08/12/16
	Assigned to primary reviewers	06/30/16	08/12/16
	Applicant notified of review panel assignment	N/A	08/12/16
	Primary Reviewer 1 COI signed	06/26/16	08/12/16
	Primary Reviewer 2 COI signed	06/29/16	08/12/16
<b>3. Peer Review Meeting</b>	Primary Reviewer 1 critique submitted	07/06/16	08/12/16
	Primary Reviewer 2 critique submitted	07/07/16	08/12/16
	COI indicated by non-primary reviewer	NONE	08/12/16
	COI recused from participation	N/A	08/12/16
	Discussed at Peer Review Meeting	YES	08/12/16
	Peer Review Meeting	07/14/16	08/12/16
	Post review statements signed	07/15/16	08/12/16
	Third Party Observer Report	07/25/16	09/06/16
	Score report delivered to CSO	07/29/16	08/12/16
Recommended for SRC Review	YES	08/12/16	
<b>4. Final SRC Recommendation</b>	COI indicated by SRC member	NONE	08/12/16
	COI recused from participation	N/A	08/12/16
	SRC Meeting	07/14/16	08/12/16
	Third Party Observer Report	07/25/16	09/06/16
	Recommended for grant award	N/A*	09/02/16
<b>4. Final SRC Recommendation</b>	SRC Chair Notification to PIC and OC	N/A*	09/06/16
	COI indicated by SRC member	NONE	09/01/16
	COI recused from participation	N/A	09/01/16
	SRC Meeting	09/01/16	09/01/16
	Third Party Observer Report	09/04/16	09/06/16
	Recommended for grant award	YES	09/01/16
<b>5. PIC Review</b>	SRC Chair Notification to PIC and OC	09/02/16	09/02/16
	Applicant not employed by grantee prior to SRC date	YES	09/06/16
	COI indicated by PIC member	NONE	09/06/16
	COI recused from participation	N/A	09/06/16
	PIC review meeting	09/06/16	09/06/16
<b>6. Oversight Committee Approval</b>	Recommended for grant award	YES	09/06/16
	CEO Notification to Oversight Committee	09/06/16	09/14/16
	COI indicated by Oversight Committee member	A. Angelou	09/14/16
	COI recused from participation	YES	09/14/16
	Donation(s) made to CPRIT/foundation	NO	09/14/16
	Presented to CPRIT Oversight Committee	09/14/16	09/14/16
	Award approved by Oversight Committee	YES	09/14/16
	Authority to advance funds requested	NO	09/14/16
Advance authority approved by Oversight Committee	NO	09/14/16	

\*The SRC did not act upon this application.

Pursuant to 25 TAC 702.19, CPRIT CEO granted Dr. Willson a waiver from the general prohibition against communicating with grant applicant institutions submitting recruitment grant award applications between April-June

The identity of the attesting party is retained by CPRIT.

**CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS  
APPLICATION PEDIGREE**

**FY** 2016  
**CYCLE** 12  
**PROGRAM** Research  
**AWARD MECHANISM** Recruitment of First-Time Faculty Members (RFTFM)  
**APPLICATION ID** RR160097  
**APPLICATION TITLE** Recruitment of First-time, Tenure-Track Faculty - Dr. Han Xu  
**NOMINATOR NAME** Dmitrovsky, Ethan  
**CANDIDATE NAME** Xu, Han  
**ORGANIZATION** The University of Texas M. D. Anderson Cancer Center  
**PANEL NAME** Recruitment FY16 Cycle 12 (REC 16.12)

Category	Compliance Requirement	Information	Attestation Date
<b>1. Pre-Receipt</b>	RFA Approved by CSO	09/18/15	09/06/16
	RFA published in Texas Register	09/11/15	09/06/16
	CPRIT Application Receipt Cycle opened	05/21/16	08/12/16
	CPRIT Application Receipt Cycle closed	06/20/16	08/12/16
	Date application submitted	06/17/16	08/12/16
	Method of submission	CARS	08/12/16
	Within receipt period	YES	08/12/16
<b>2. Receipt, Referral, and Assignment</b>	Administrative review notification	N/A	08/12/16
	Donation(s) made to CPRIT/foundation	NO	08/12/16
	Assigned to primary reviewers	06/30/16	08/12/16
	Applicant notified of review panel assignment	N/A	08/12/16
	Primary Reviewer 1 COI signed	06/26/16	08/12/16
	Primary Reviewer 2 COI signed	06/24/16	08/12/16
<b>3. Peer Review Meeting</b>	Primary Reviewer 1 critique submitted	07/06/16	08/12/16
	Primary Reviewer 2 critique submitted	07/10/16	08/12/16
	COI indicated by non-primary reviewer	NONE	08/12/16
	COI recused from participation	N/A	08/12/16
	Discussed at Peer Review Meeting	YES	08/12/16
	Peer Review Meeting	07/14/16	08/12/16
	Post review statements signed	07/15/16	08/12/16
	Third Party Observer Report	07/25/16	09/06/16
	Score report delivered to CSO	07/29/16	08/12/16
Recommended for SRC Review	YES	08/12/16	
<b>4. Final SRC Recommendation</b>	COI indicated by SRC member	NONE	08/12/16
	COI recused from participation	N/A	08/12/16
	SRC Meeting	07/14/16	08/12/16
	Third Party Observer Report	07/25/16	09/06/16
	Recommended for grant award	N/A*	09/02/16
<b>4. Final SRC Recommendation</b>	SRC Chair Notification to PIC and OC	N/A*	09/06/16
	COI indicated by SRC member	NONE	09/01/16
	COI recused from participation	N/A	09/01/16
	SRC Meeting	09/01/16	09/01/16
	Third Party Observer Report	09/04/16	09/06/16
	Recommended for grant award	YES	09/01/16
<b>5. PIC Review</b>	SRC Chair Notification to PIC and OC	09/02/16	09/02/16
	Applicant not employed by grantee prior to SRC date	YES	09/06/16
	COI indicated by PIC member	NONE	09/06/16
	COI recused from participation	N/A	09/06/16
	PIC review meeting	09/06/16	09/06/16
<b>6. Oversight Committee Approval</b>	Recommended for grant award	YES	09/06/16
	CEO Notification to Oversight Committee	09/06/16	09/14/16
	COI indicated by Oversight Committee member	A. Angelou	09/14/16
	COI recused from participation	YES	09/14/16
	Donation(s) made to CPRIT/foundation	NO	09/14/16
	Presented to CPRIT Oversight Committee	09/14/16	09/14/16
	Award approved by Oversight Committee	YES	09/14/16
	Authority to advance funds requested	NO	09/14/16
Advance authority approved by Oversight Committee	NO	09/14/16	

\*The SRC did not act upon this application.

Pursuant to 25 TAC 702.19, CPRIT CEO granted Dr. Willson a waiver from the general prohibition against communicating with grant applicant institutions submitting recruitment grant award applications between April-June

The identity of the attesting party is retained by CPRIT.

**CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS**  
**APPLICATION PEDIGREE**

**FY** 2016  
**CYCLE** 12  
**PROGRAM** Research  
**AWARD MECHANISM** Recruitment of Established Investigators (REI)  
**APPLICATION ID** RR160101  
**APPLICATION TITLE** Nomination of Guo-Min Li, Ph.D. for a CPRIT Established Investigator Award  
**NOMINATOR NAME** Fitz, John  
**CANDIDATE NAME** Li, Guo-Min  
**ORGANIZATION** The University of Texas Southwestern Medical Center  
**PANEL NAME** Recruitment FY16 Cycle 12 (REC 16.12)

Category	Compliance Requirement	Information	Attestation Date
<b>1. Pre-Receipt</b>	RFA Approved by CSO	09/18/15	09/06/16
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	CPRIT Application Receipt Cycle opened	05/21/16	08/12/16
	CPRIT Application Receipt Cycle closed	06/20/16	08/12/16
	Date application submitted	06/17/16	08/12/16
	Method of submission	CARS	08/12/16
	Within receipt period	YES	08/12/16
<b>2. Receipt, Referral, and Assignment</b>	Administrative review notification	N/A	08/12/16
	Donation(s) made to CPRIT/foundation	NO	08/12/16
	Assigned to primary reviewers	06/30/16	08/12/16
	Applicant notified of review panel assignment	N/A	08/12/16
	Primary Reviewer 1 COI signed	06/29/16	08/12/16
	Primary Reviewer 2 COI signed	06/28/16	08/12/16
<b>3. Peer Review Meeting</b>	Primary Reviewer 1 critique submitted	07/07/16	08/12/16
	Primary Reviewer 2 critique submitted	07/11/16	08/12/16
	COI indicated by non-primary reviewer	Jones, Peter	08/12/16
	COI recused from participation	YES	08/12/16
	Discussed at Peer Review Meeting	YES	08/12/16
	Peer Review Meeting	07/14/16	08/12/16
	Post review statements signed	07/15/16	08/12/16
	Third Party Observer Report	07/25/16	09/06/16
	Score report delivered to CSO	07/29/16	08/12/16
Recommended for SRC Review	YES	08/12/16	
<b>4. Final SRC Recommendation</b>	COI indicated by SRC member	Jones, Peter	08/12/16
	COI recused from participation	YES	08/12/16
	SRC Meeting	07/14/16	08/12/16
	Third Party Observer Report	07/25/16	09/06/16
	Recommended for grant award	N/A*	09/02/16
<b>4. Final SRC Recommendation</b>	SRC Chair Notification to PIC and OC	N/A*	09/06/16
	COI indicated by SRC member	NONE	09/01/16
	COI recused from participation	N/A	09/01/16
	SRC Meeting	09/01/16	09/01/16
	Third Party Observer Report	09/04/16	09/06/16
	Recommended for grant award	YES	09/01/16
<b>5. PIC Review</b>	SRC Chair Notification to PIC and OC	09/02/16	09/02/16
	Applicant not employed by grantee prior to SRC date	YES	09/06/16
	COI indicated by PIC member	NONE	09/06/16
	COI recused from participation	N/A	09/06/16
	PIC review meeting	09/06/16	09/06/16
<b>6. Oversight Committee Approval</b>	Recommended for grant award	YES	09/06/16
	CEO Notification to Oversight Committee	09/06/16	09/14/16
	COI indicated by Oversight Committee member	NONE	09/14/16
	COI recused from participation	N/A	09/14/16
	Donation(s) made to CPRIT/foundation	NO	09/14/16
	Presented to CPRIT Oversight Committee	09/14/16	09/14/16
	Award approved by Oversight Committee	YES	09/14/16
	Authority to advance funds requested	NO	09/14/16
Advance authority approved by Oversight Committee	NO	09/14/16	

\*The SRC did not act upon this application.

Pursuant to 25 TAC 702.19, CPRIT CEO granted Dr. Willson a waiver from the general prohibition against communicating with grant applicant institutions submitting recruitment grant award applications between April-June

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