FY 2017 **CYCLE** 2

PROGRAM Product Development Research

AWARD MECHANISM Texas Company Product Development Research Awards (TXCO)

APPLICATION ID DP170043

APPLICATION TITLE Improving the Outcome of Stem Cell Transplants for Cancer

Treatment Using Multi-Virus Specific T cells

APPLICANT NAME Leen, Ann **ORGANIZATION** ViraCyte LLC

PANEL NAME 17.2 Product Development Panel-1 (17.2 PDP-1)

| Category | Compliance Requirement | Information | Attestation Date |
|---------------------------------|---|------------------|------------------|
| | RFA approved by CPDO | 12/14/16 | 07/19/17 |
| | RFA published in Texas.gov eGrants | 12/30/16 | 07/19/17 |
| | CPRIT Application Receipt System (CARS) opened | 01/05/17 | 06/15/17 |
| | CPRIT Application Receipt System (CARS) closed | 02/09/17 | 06/15/17 |
| 1. Pre-Receipt | Date application submitted | 02/06/17 | 06/15/17 |
| 1. Pre-Receipt | Method of submission | CARS | 06/15/17 |
| | Within receipt period | YES | 06/15/17 |
| | Request for extension to submit application after CARS closed | N/A | 06/15/17 |
| | Request for extension for late application submission accepted | N/A | 06/15/17 |
| | Submission of application fee | YES | 07/19/17 |
| | Administrative review notification | 02/28/17 | 06/15/17 |
| | Donation(s) made to CPRIT/foundation | NO | 06/15/17 |
| | Assigned to primary reviewers | 03/07/17 | 06/15/17 |
| 2. Receipt, Referral, | Applicant notified of review panel assignment | 03/09/17 | 06/15/17 |
| and Assignment | Primary Reviewer 1 COI signed | 03/04/17 | 06/15/17 |
| | Primary (Advocate) Reviewer 2 COI signed | 03/06/17 | 06/15/17 |
| | Primary Reviewer 3 COI signed | 03/07/17 | 06/15/17 |
| | Primary Reviewer 4 COI signed | 03/01/17 | 06/15/17 |
| | Primary Reviewer 1 critique submitted | 03/22/17 | 06/15/17 |
| | Primary (Advocate) Reviewer 2 critique submitted | 04/03/17 | 06/15/17 |
| | Primary Reviewer 3 critique submitted | 04/07/17 | 06/15/17 |
| | Primary Reviewer 4 critique submitted | 04/03/17 | 06/15/17 |
| 3. Screening | COI indicated by non-primary reviewer | NONE | 06/15/17 |
| Teleconference | COI recused from participation | N/A | 06/15/17 |
| Meeting | Screening Teleconference Meeting | 03/28/17 | 06/15/17 |
| J | Post-Screening Teleconference score report | 03/28/17 | 06/15/17 |
| | Post review statements signed | 04/02/17 | 06/15/17 |
| | Third Party Observer Report | 03/28/17 | 06/15/17 |
| | Recommended for On-Site Meeting | YES | 06/15/17 |
| | COI indicated by non-primary reviewer | NONE | 06/15/17 |
| | COI recused from participation | N/A | 06/15/17 |
| | Peer Review Meeting | 04/25/17 | 06/15/17 |
| 4. Peer Review | Post review statements signed | 04/25/17 | 06/15/17 |
| Meeting | Third Party Observer Report | 04/25/17 | 06/15/17 |
| | Score report delivered to CPDO | 05/15/17 | 06/15/17 |
| | Recommended for due diligence and IP review | VES | 06/15/17 |
| | Final due diligence review submitted to PDRC | 06/26/17 | 07/19/17 |
| 5. Due Diligence and | Intellectual Property conflict check | 05/12/17 | 07/19/17 |
| P Review | Final intellectual property review submitted | 06/26/17 | 07/19/17 |
| | COI indicated by PDRC member | Lee Greenberger | 07/13/17 |
| | COI recused from participation | YES | |
| Final DDDC | | - | 07/13/17 |
| 5. Final PDRC Recommendation | Due Diligence Evaluation Meeting / PDRC Meeting | 07/12/17 | 07/13/17 |
| Recommendation | Third Party Observer Report | 07/24/17 YES | 07/24/17 |
| | Recommended for grant award PDRC Chair Notification to PIC and OC | | 07/13/17 |
| | | 07/24/17 NONE | 07/24/17 |
| | COI required from participation | N/A | 08/01/17 |
| 7. PIC Review | COI recused from participation | | 08/01/17 |
| | PIC review meeting | 08/01/17 YES | 08/01/17 |
| | Recommended for grant award | | 08/01/17 |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/16/17 |
| | COI recovered from participation | NONE | 08/16/17 |
| | COI recused from participation | N/A | 08/16/17 |
| 3. Oversight | Donation(s) made to CPRIT/foundation | NO | 08/16/17 |
| Committee Approval | Presented to CPRIT Oversight Committee | 08/16/17 | 08/16/17 |
| | Award approved by Oversight Committee | YES | 08/16/17 |
| | Authority to advance funds requested | YES | 08/16/17 |
| | Advance authority approved by Oversight Committee | YES | 08/16/17 |

FY 2017 **CYCLE** 1

PROGRAM Prevention

AWARD MECHANISM Competitive Continuation/Expansion (CCE)

APPLICATION ID PP170037

Continuation/Expansion of Texas A&M's Breast and Cervical Cancer

APPLICATION TITLE Prevention Program for Underserved Women through a Family

Medicine Residency

APPLICANT NAME McClellan, David

ORGANIZATION Texas A&M University System Health Science Center

PANEL NAME 17.1 Prevention Panel-2 (17.1 PP-2)

| Category | Compliance Requirement | Information | Attestation Date |
|-----------------------|--|-------------------|------------------|
| | RFA Approved by CPO | 05/18/16 | 01/27/17 |
| 1. Pre-Receipt | RFA published in Texas.gov eGrants | 05/26/16 | 01/27/17 |
| | CPRIT Application Receipt System (CARS) opened | 06/09/16 | 01/04/17 |
| | CPRIT Application Receipt System (CARS) closed | 08/30/16 | 01/04/17 |
| | Date application submitted | 08/30/16 | 01/04/17 |
| | Method of submission | CARS | 01/04/17 |
| | Within receipt period | YES | 01/04/17 |
| | Request for extension to submit application after CARS closed | N/A | 01/04/17 |
| | Request for extension for late application submission accepted | N/A | 01/04/17 |
| | Administrative review notification | N/A | 01/04/17 |
| | Donation(s) made to CPRIT/foundation | NO | 01/04/17 |
| | Assigned to primary reviewers | 09/14/16 | 01/04/17 |
| 2. Receipt, Referral, | Applicant notified of review panel assignment | 10/12/16 | 01/04/17 |
| and Assignment | Primary Reviewer 1 COI signed | 09/19/16 | 01/04/17 |
| | Primary (Advocate) Reviewer 2 COI signed | 09/14/16 | 01/04/17 |
| | Primary Reviewer 3 COI signed | 09/15/16 | 01/04/17 |
| | Primary Reviewer 4 COI signed | 09/14/16 | 01/04/17 |
| | Primary Reviewer 1 critique submitted | 11/15/16 | 01/04/17 |
| | Primary (Advocate) Reviewer 2 critique submitted | 11/13/16 | 01/04/17 |
| | Primary Reviewer 3 critique submitted | 11/13/16 | 01/04/17 |
| | Primary Reviewer 4 critique submitted | 11/14/16 | 01/04/17 |
| | COI indicated by non-primary reviewer | NONE | 01/04/17 |
| 3. Peer Review | COI recused from participation | N/A | 01/04/17 |
| Meeting | Discussed at Peer Review Meeting | YES | 01/04/17 |
| Wiceting | | 12/07/16-12/08/16 | 01/04/17 |
| | Peer Review Meeting | | 1 1 |
| | Post review statements signed | 12/08/16 | 01/04/17 |
| | Third Party Observer Report | 12/13/16 | 01/05/17 |
| | Score report delivered to CPO | 12/19/16 | 01/04/17 |
| | Recommended for PRC Review | YES | 01/04/17 |
| | COI indicated by PRC member | NONE | 01/24/17 |
| | COI recused from participation | N/A | 01/24/17 |
| 4. Final PRC | PRC Meeting | 01/20/17 | 01/24/17 |
| Recommendation | Third Party Observer Report | 01/23/17 | 01/27/17 |
| | Recommended for grant award | YES | 01/24/17 |
| | PRC Chair Notification to PIC and OC | 1/24/2017* | 01/27/17 |
| | COI indicated by PIC member | NONE | 01/31/17 |
| 5. PIC Review | COI recused from participation | N/A | 01/31/17 |
| | PIC review meeting | 01/31/17 | 01/31/17 |
| | Recommended for grant award | NO** | 01/31/17 |
| | COI indicated by PIC member | NONE | 08/01/17 |
| 5. PIC Review | COI recused from participation | N/A | 08/01/17 |
| 3. FIC REVIEW | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | YES | 08/01/17 |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/17/17 |
| | COI indicated by Oversight Committee member | NONE | 08/17/17 |
| | COI recused from participation | N/A | 08/17/17 |
| 6. Oversight | Donation(s) made to CPRIT/foundation | NO | 08/17/17 |
| Committee Approval | Presented to CPRIT Oversight Committee | 08/16/17 | 08/17/17 |
| | Award approved by Oversight Committee | YES | 08/17/17 |
| | Authority to advance funds requested | NO | 08/17/17 |
| | Advance authority approved by Oversight Committee | NO | 08/17/17 |

^{*}The Chair Notification was resent on 1/31/17 to correct a non-substantive issue. The award recommendations were not affected.

The identity of the attesting party is retained by CPRIT.

 $[\]ensuremath{^{**}}\xspace$ This application was deferred by the PIC.

FY 2017 **CYCLE** 2

PROGRAM Prevention

AWARD MECHANISM Tobacco Control and Lung Cancer Screening (TCL)

APPLICATION ID PP170070

Taking Texas Tobacco Free: Increasing Tobacco Cessation In

APPLICATION TITLE Substance Use Treatment Centers via an Evidence-based,

Comprehensive Tobacco-free Workplace Program

APPLICANT NAME Reitzel, Lorraine
ORGANIZATION University of Houston

PANEL NAME 17.2 Prevention Panel-1 (17.2 PP-1)

| Category | Compliance Requirement | Information | Attestation Date |
|-----------------------|--|---------------------|----------------------|
| | RFA Approved by CPO | 11/10/16 | 07/19/17 |
| | RFA published in Texas.gov eGrants | 11/17/16 | 07/19/17 |
| | CPRIT Application Receipt System (CARS) opened | 12/01/16 | 06/20/17 |
| | CPRIT Application Receipt System (CARS) closed | 03/02/17 | 06/20/17 |
| 1. Pre-Receipt | Date application submitted | 03/01/17 | 06/20/17 |
| | Method of submission | CARS | 06/20/17 |
| | Within receipt period | YES | 06/20/17 |
| | Request for extension to submit application after CARS closed | N/A | 06/20/17 |
| | Request for extension for late application submission accepted | N/A | 06/20/17 |
| | Administrative review notification | N/A | 06/20/17 |
| | Donation(s) made to CPRIT/foundation | NO | 06/20/17 |
| | Assigned to primary reviewers | 04/08/17 | 06/20/17 |
| 2. Receipt, Referral, | Applicant notified of review panel assignment | 04/13/17 | 06/20/17 |
| and Assignment | Primary Reviewer 1 COI signed | 03/20/17 | 06/20/17 |
| | Primary (Advocate) Reviewer 2 COI signed | 03/18/17 | 42906 |
| | Primary Reviewer 3 COI signed | 03/21/17 | 06/20/17 |
| | Primary Reviewer 4 COI signed | 03/20/17 | 06/20/17 |
| | Primary Reviewer 1 critique submitted | 05/13/17 | 06/20/17 |
| | Primary (Advocate) Reviewer 2 critique submitted | 05/15/17 | 06/20/17 |
| | Primary Reviewer 3 critique submitted | 05/15/17 | 06/20/17 |
| | Primary Reviewer 4 critique submitted | 05/09/17 | 06/20/17 |
| | COI indicated by non-primary reviewer | NONE | 06/20/17 |
| 3. Peer Review | COI recused from participation | N/A | 06/20/17 |
| Meeting | Discussed at Peer Review Meeting | YES | 06/20/17 |
| | Peer Review Meeting | 05/31/17 - 06/01/17 | 06/20/17 |
| | Post review statements signed | 06/06/17 | 06/20/17 |
| | Third Party Observer Report | 6/1/2017 | 07/19/17 |
| | Score report delivered to CPO | 06/23/17 | 07/10/17 |
| | Recommended for PRC Review | YES | 06/20/17 |
| | COI indicated by PRC member | NONE | 07/10/17 |
| | COI recused from participation | N/A | 07/10/17 |
| 4. Final PRC | PRC Meeting | 07/06/17 | 07/10/17 |
| Recommendation | Third Party Observer Report | 07/06/17 | 07/26/17 |
| | Recommended for grant award | YES | 07/10/17 |
| | PRC Chair Notification to PIC and OC | 07/10/17 | 07/19/17 |
| | COI indicated by PIC member | NONE | 08/01/17 |
| | COI recused from participation | N/A | 08/01/17 |
| 5. PIC Review | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | YES | 08/01/17 |
| | | | |
| | CEO Notification to Oversight Committee COI indicated by Oversight Committee member | 08/03/17 NONE | 08/16/17 08/16/17 |
| | | N/A | |
| 6 Oversiaht | COI recused from participation | NO NO | 08/16/17 |
| 6. Oversight | Donation(s) made to CPRIT/foundation | | 08/16/17 |
| Committee Approval | Presented to CPRIT Oversight Committee | 08/16/17 | 08/16/17 |
| | Award approved by Oversight Committee | YES | 08/16/17 |
| | Authority to advance funds requested | NO | 08/16/17 |
| | Advance authority approved by Oversight Committee | NO | 08/16/17 |

FY 2017 **CYCLE** 2

PROGRAM Prevention

AWARD MECHANISM Evidence-Based Cancer Prevention Services (EBP)

APPLICATION ID PP170094

Expanding a Community Network for Cancer Prevention to

APPLICATION TITLE Improve Cervical and Colorectal Screening and Follow-Up Among

an Urban Medically Underserved Population

APPLICANT NAME Jibaja-Weiss, Maria

ORGANIZATION Baylor College of Medicine

PANEL NAME 17.2 Prevention Panel-1 (17.2 PP-1)

| Category | Compliance Requirement | Information | Attestation Date |
|-----------------------|--|---------------------|------------------|
| | RFA Approved by CPO | 11/10/16 | 07/19/17 |
| | RFA published in Texas.gov eGrants | 11/17/16 | 07/19/17 |
| | CPRIT Application Receipt System (CARS) opened | 12/01/16 | 06/20/17 |
| | CPRIT Application Receipt System (CARS) closed | 03/02/17 | 06/20/17 |
| 1. Pre-Receipt | Date application submitted | 03/02/17 | 06/20/17 |
| | Method of submission | CARS | 06/20/17 |
| | Within receipt period | YES | 06/20/17 |
| | Request for extension to submit application after CARS closed | N/A | 06/20/17 |
| | Request for extension for late application submission accepted | N/A | 06/20/17 |
| | Administrative review notification | N/A | 06/20/17 |
| | Donation(s) made to CPRIT/foundation | NO | 06/20/17 |
| | Assigned to primary reviewers | 04/08/17 | 06/20/17 |
| 2. Receipt, Referral, | Applicant notified of review panel assignment | 04/13/17 | 06/20/17 |
| and Assignment | Primary Reviewer 1 COI signed | 03/20/17 | 06/20/17 |
| | Primary (Advocate) Reviewer 2 COI signed | 03/18/17 | 06/20/17 |
| | Primary Reviewer 3 COI signed | 03/21/17 | 06/20/17 |
| | Primary Reviewer 4 COI signed | 03/22/17 | 06/20/17 |
| | Primary Reviewer 1 critique submitted | 05/12/17 | 06/20/17 |
| | Primary (Advocate) Reviewer 2 critique submitted | 04/25/17 | 06/20/17 |
| | Primary Reviewer 3 critique submitted | 05/14/17 | 06/20/17 |
| | Primary Reviewer 4 critique submitted | 05/15/17 | 06/20/17 |
| | COI indicated by non-primary reviewer | NONE | 06/20/17 |
| 3. Peer Review | COI recused from participation | N/A | 06/20/17 |
| Meeting | Discussed at Peer Review Meeting | YES | 06/20/17 |
| | Peer Review Meeting | 05/31/17 - 06/01/17 | 06/20/17 |
| | Post review statements signed | 06/06/17 | 06/20/17 |
| | Third Party Observer Report | 6/1/2017 | 07/19/17 |
| | Score report delivered to CPO | 06/23/17 | 07/10/17 |
| | Recommended for PRC Review | YES | 06/20/17 |
| | COI indicated by PRC member | NONE | 07/10/17 |
| | COI recused from participation | N/A | 07/10/17 |
| 4. Final PRC | PRC Meeting | 07/06/17 | 07/10/17 |
| Recommendation | Third Party Observer Report | 07/06/17 | 07/26/17 |
| | Recommended for grant award | YES | 07/10/17 |
| | PRC Chair Notification to PIC and OC | 07/10/17 | 07/19/17 |
| | COI indicated by PIC member | NONE | 08/01/17 |
| | COI recused from participation | N/A | 08/01/17 |
| 5. PIC Review | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | YES | 08/01/17 |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/16/17 |
| | COI indicated by Oversight Committee member | NONE | 08/16/17 |
| | COI recused from participation | N/A | 08/16/17 |
| 6. Oversight | Donation(s) made to CPRIT/foundation | NO | 08/16/17 |
| Committee Approval | Presented to CPRIT Oversight Committee | 08/16/17 | 08/16/17 |
| | Award approved by Oversight Committee | YES | 08/16/17 |
| | Authority to advance funds requested | NO | 08/16/17 |
| | Advance authority approved by Oversight Committee | NO | 08/16/17 |

FY 2017 **CYCLE** 2

PROGRAM Prevention

AWARD MECHANISM Tobacco Control and Lung Cancer Screening (TCL)

APPLICATION ID PP170099

APPLICATION TITLE

Mobile Cessation Services for Young Adult Rural, Low-Income, and

Spanish-Speaking Smokers

APPLICANT NAME Ramirez, Amelie

ORGANIZATION The University of Texas Health Science Center at San Antonio

PANEL NAME 17.2 Prevention Panel-1 (17.2 PP-1)

| Category | Compliance Requirement | Information | Attestation Date |
|-----------------------|--|---------------------|------------------|
| | RFA Approved by CPO | 11/10/16 | 07/19/17 |
| | RFA published in Texas.gov eGrants | 11/17/16 | 07/19/17 |
| | CPRIT Application Receipt System (CARS) opened | 12/01/16 | 06/20/17 |
| | CPRIT Application Receipt System (CARS) closed | 03/02/17 | 06/20/17 |
| 1. Pre-Receipt | Date application submitted | 03/01/17 | 06/20/17 |
| | Method of submission | CARS | 06/20/17 |
| | Within receipt period | YES | 06/20/17 |
| | Request for extension to submit application after CARS closed | N/A | 06/20/17 |
| | Request for extension for late application submission accepted | N/A | 06/20/17 |
| | Administrative review notification | N/A | 06/20/17 |
| | Donation(s) made to CPRIT/foundation | NO | 06/20/17 |
| | Assigned to primary reviewers | 04/08/17 | 06/20/17 |
| 2. Receipt, Referral, | Applicant notified of review panel assignment | 04/13/17 | 06/20/17 |
| and Assignment | Primary Reviewer 1 COI signed | 05/15/17 | 06/20/17 |
| | Primary (Advocate) Reviewer 2 COI signed | 03/18/17 | 06/20/17 |
| | Primary Reviewer 3 COI signed | 03/20/17 | 06/20/17 |
| | Primary Reviewer 4 COI signed | 03/21/17 | 06/20/17 |
| | Primary Reviewer 1 critique submitted | 05/17/17 | 06/20/17 |
| | Primary (Advocate) Reviewer 2 critique submitted | 05/17/17 | 06/20/17 |
| | Primary Reviewer 3 critique submitted | 05/06/17 | 06/20/17 |
| | Primary Reviewer 4 critique submitted | 05/15/17 | 06/20/17 |
| | COI indicated by non-primary reviewer | Michael Eriksen | 06/20/17 |
| 3. Peer Review | COI recused from participation | YES | 06/20/17 |
| Meeting | Discussed at Peer Review Meeting | YES | 06/20/17 |
| | Peer Review Meeting | 05/31/17 - 06/01/17 | 06/20/17 |
| | Post review statements signed | 06/06/17 | 06/20/17 |
| | Third Party Observer Report | 6/1/2017 | 07/19/17 |
| | Score report delivered to CPO | 06/23/17 | 07/10/17 |
| | Recommended for PRC Review | YES | 06/20/17 |
| | COI indicated by PRC member | NONE | 07/10/17 |
| | COI recused from participation | N/A | 07/10/17 |
| 4. Final PRC | PRC Meeting | 07/06/17 | 07/10/17 |
| Recommendation | Third Party Observer Report | 07/06/17 | 07/26/17 |
| | Recommended for grant award | YES | 07/10/17 |
| | PRC Chair Notification to PIC and OC | 07/10/17 | 07/19/17 |
| | COI indicated by PIC member | NONE | 08/01/17 |
| | COI recused from participation | N/A | 08/01/17 |
| 5. PIC Review | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | YES | 08/01/17 |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/16/17 |
| | COI indicated by Oversight Committee member | NONE | 08/16/17 |
| | COI recused from participation | N/A | 08/16/17 |
| 6. Oversight | Donation(s) made to CPRIT/foundation | NO | 08/16/17 |
| Committee Approval | Presented to CPRIT Oversight Committee | 08/16/17 | 08/16/17 |
| | Award approved by Oversight Committee | YES | 08/16/17 |
| | Authority to advance funds requested | NO | 08/16/17 |
| | Advance authority approved by Oversight Committee | NO | 08/16/17 |

The identity of the attesting party is retained by CPRIT.

FY 2017 **CYCLE** 2

PROGRAM Prevention

AWARD MECHANISM Colorectal Cancer Prevention Coalition (CRC)

APPLICATION ID PP170068

APPLICATION TITLE Southwest Coalition for Colorectal Cancer Screening (SuCCCeS)

APPLICANT NAME Shokar, Navkiran

ORGANIZATION Texas Tech University Health Sciences Center at El Paso

PANEL NAME 17.2 Prevention Panel-2 (17.2 PP-2)

| Category | Compliance Requirement | Information | Attestation Date |
|-----------------------|--|-------------------|------------------|
| | RFA Approved by CPO | 11/10/16 | 07/19/17 |
| | RFA published in Texas.gov eGrants | 11/17/16 | 07/19/17 |
| | CPRIT Application Receipt System (CARS) opened | 12/01/16 | 06/20/17 |
| | CPRIT Application Receipt System (CARS) closed | 03/02/17 | 06/20/17 |
| 1. Pre-Receipt | Date application submitted | 03/01/17 | 06/20/17 |
| | Method of submission | CARS | 06/20/17 |
| | Within receipt period | YES | 06/20/17 |
| | Request for extension to submit application after CARS closed | N/A | 06/20/17 |
| | Request for extension for late application submission accepted | N/A | 06/20/17 |
| | Administrative review notification | 03/30/17 | 06/20/17 |
| | Donation(s) made to CPRIT/foundation | NO | 06/20/17 |
| | Assigned to primary reviewers | 03/16/17 | 06/20/17 |
| 2. Receipt, Referral, | Applicant notified of review panel assignment | 04/13/17 | 06/20/17 |
| and Assignment | Primary Reviewer 1 COI signed | 03/17/17 | 06/20/17 |
| | Primary (Advocate) Reviewer 2 COI signed | 03/21/17 | 06/20/17 |
| | Primary Reviewer 3 COI signed | 03/22/17 | 06/20/17 |
| | Primary Reviewer 4 COI signed | 03/25/17 | 06/20/17 |
| | Primary Reviewer 1 critique submitted | 05/07/17 | 06/20/17 |
| | Primary (Advocate) Reviewer 2 critique submitted | 05/12/17 | 06/20/17 |
| | Primary Reviewer 3 critique submitted | 05/15/17 | 06/20/17 |
| | Primary Reviewer 4 critique submitted | 05/06/17 | 06/20/17 |
| | COI indicated by non-primary reviewer | NONE | 06/20/17 |
| 3. Peer Review | COI recused from participation | N/A | 06/20/17 |
| Meeting | Discussed at Peer Review Meeting | YES | 06/20/17 |
| | Peer Review Meeting | 06/01/17-06/02/17 | 06/20/17 |
| | Post review statements signed | 06/02/17 | 06/20/17 |
| | Third Party Observer Report | 6/2/2017 | 07/19/17 |
| | Score report delivered to CPO | 06/23/17 | 07/11/17 |
| | Recommended for PRC Review | YES | 06/20/17 |
| | COI indicated by PRC member | NONE | 07/11/17 |
| | COI recused from participation | N/A | 07/11/17 |
| 4. Final PRC | PRC Meeting | 07/06/17 | 07/11/17 |
| Recommendation | Third Party Observer Report | 07/06/17 | 07/26/17 |
| | Recommended for grant award | YES | 07/11/17 |
| | PRC Chair Notification to PIC and OC | 07/10/17 | 07/19/17 |
| | COI indicated by PIC member | NONE | 08/01/17 |
| | COI recused from participation | N/A | 08/01/17 |
| 5. PIC Review | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | YES | 08/01/17 |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/16/17 |
| | COI indicated by Oversight Committee member | NONE | 08/16/17 |
| | COI recused from participation | N/A | 08/16/17 |
| 6. Oversight | Donation(s) made to CPRIT/foundation | NO | 08/16/17 |
| Committee Approval | Presented to CPRIT Oversight Committee | 08/16/17 | 08/16/17 |
| | Award approved by Oversight Committee | YES | 08/16/17 |
| | Authority to advance funds requested | NO | 08/16/17 |
| | | | |

FY 2017 **CYCLE** 2

PROGRAM Prevention

AWARD MECHANISM Colorectal Cancer Prevention Coalition (CRC)

APPLICATION ID PP170082

APPLICATION TITLE Improving Colorectal Cancer Screening in Vulnerable Populations in

Travis County

APPLICANT NAME Pignone, Michael

ORGANIZATION The University of Texas at Austin

PANEL NAME 17.2 Prevention Panel-2 (17.2 PP-2)

| Category | Compliance Requirement | Information | Attestation Date |
|---------------------------------|--|-------------------|------------------|
| | RFA Approved by CPO | 11/10/16 | 07/19/17 |
| | RFA published in Texas.gov eGrants | 11/17/16 | 07/19/17 |
| | CPRIT Application Receipt System (CARS) opened | 12/01/16 | 06/20/17 |
| | CPRIT Application Receipt System (CARS) closed | 03/02/17 | 06/20/17 |
| 1. Pre-Receipt | Date application submitted | 03/02/17 | 06/20/17 |
| | Method of submission | CARS | 06/20/17 |
| | Within receipt period | YES | 06/20/17 |
| | Request for extension to submit application after CARS closed | N/A | 06/20/17 |
| | Request for extension for late application submission accepted | N/A | 06/20/17 |
| | Administrative review notification | N/A | 06/20/17 |
| | Donation(s) made to CPRIT/foundation | NO | 06/20/17 |
| | Assigned to primary reviewers | 03/16/17 | 06/20/17 |
| 2. Receipt, Referral, | Applicant notified of review panel assignment | 04/13/17 | 06/20/17 |
| and Assignment | Primary Reviewer 1 COI signed | 03/17/17 | 06/20/17 |
| | Primary (Advocate) Reviewer 2 COI signed | 03/21/17 | 06/20/17 |
| | Primary Reviewer 3 COI signed | 03/22/17 | 06/20/17 |
| | Primary Reviewer 4 COI signed | 03/17/17 | 06/20/17 |
| | Primary Reviewer 1 critique submitted | 05/15/17 | 06/20/17 |
| | Primary (Advocate) Reviewer 2 critique submitted | 05/15/17 | 06/20/17 |
| | Primary Reviewer 3 critique submitted | 05/15/17 | 06/20/17 |
| | Primary Reviewer 4 critique submitted | 04/29/17 | 06/20/17 |
| | COI indicated by non-primary reviewer | Marcus Plescia | 06/20/17 |
| 3. Peer Review | COI recused from participation | YES | 06/20/17 |
| Meeting | Discussed at Peer Review Meeting | YES | 06/20/17 |
| | Peer Review Meeting | 06/01/17-06/02/17 | 06/20/17 |
| | Post review statements signed | 06/02/17 | 06/20/17 |
| | Third Party Observer Report | 6/2/2017 | 07/19/17 |
| | Score report delivered to CPO | 06/23/17 | 07/11/17 |
| | Recommended for PRC Review | YES | 06/20/17 |
| | COI indicated by PRC member | NONE | 07/11/17 |
| | COI recused from participation | N/A | 07/11/17 |
| 4. Final PRC | PRC Meeting | 07/06/17 | 07/11/17 |
| Recommendation | Third Party Observer Report | 07/06/17 | 07/26/17 |
| | Recommended for grant award | YES | 07/11/17 |
| | PRC Chair Notification to PIC and OC | 07/10/17 | 07/19/17 |
| | COI indicated by PIC member | NONE | 08/01/17 |
| | COI recused from participation | N/A | 08/01/17 |
| 5. PIC Review | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | YES | 08/01/17 |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/16/17 |
| | COI indicated by Oversight Committee member | A. Angelou | 08/15/17 |
| | COI recused from participation | YES | 08/15/17 |
| 6 Oversight | Donation(s) made to CPRIT/foundation | NO NO | |
| 6. Oversight Committee Approval | | | 08/16/17 |
| Committee Approval | Presented to CPRIT Oversight Committee | 08/16/17 | 08/16/07 |
| | Award approved by Oversight Committee | YES | 08/16/17 |
| | Authority to advance funds requested | NO | 08/16/17 |
| | Advance authority approved by Oversight Committee | NO | 08/16/17 |

The identity of the attesting party is retained by CPRIT.

FY 2017 **CYCLE** 2

PROGRAM Prevention

AWARD MECHANISM Evidence-Based Cancer Prevention Services (EBP)

APPLICATION ID PP170088

APPLICATION TITLE Access to Breast and Cervial Care for West Texas (ABC24WT)

APPLICANT NAME Layeequr Rahman, Rakhshanda

ORGANIZATION Texas Tech University Health Sciences Center

PANEL NAME 17.2 Prevention Panel-2 (17.2 PP-2)

| Category | Compliance Requirement | Information | Attestation Date |
|--|--|-------------------|------------------|
| | RFA Approved by CPO | 11/10/16 | 07/19/17 |
| | RFA published in Texas.gov eGrants | 11/17/16 | 07/19/17 |
| | CPRIT Application Receipt System (CARS) opened | 12/01/16 | 06/20/17 |
| | CPRIT Application Receipt System (CARS) closed | 03/02/17 | 06/20/17 |
| 1. Pre-Receipt | Date application submitted | 02/21/17 | 06/20/17 |
| | Method of submission | CARS | 06/20/17 |
| | Within receipt period | YES | 06/20/17 |
| | Request for extension to submit application after CARS closed | N/A | 06/20/17 |
| | Request for extension for late application submission accepted | N/A | 06/20/17 |
| | Administrative review notification | 03/30/17 | 06/20/17 |
| | Donation(s) made to CPRIT/foundation | NO | 06/20/17 |
| | Assigned to primary reviewers | 03/16/17 | 06/20/17 |
| 2. Receipt, Referral, | Applicant notified of review panel assignment | 04/13/17 | 06/20/17 |
| and Assignment | Primary Reviewer 1 COI signed | 03/16/17 | 06/20/17 |
| | Primary (Advocate) Reviewer 2 COI signed | 03/16/17 | 06/20/17 |
| | Primary Reviewer 3 COI signed | 03/17/17 | 06/20/17 |
| | Primary Reviewer 4 COI signed | 03/17/17 | 06/20/17 |
| | Primary Reviewer 1 critique submitted | 5/13/2017 | 06/20/17 |
| | Primary (Advocate) Reviewer 2 critique submitted | 5/8/2017 | 06/20/17 |
| | Primary Reviewer 3 critique submitted | 4/30/2017 | 06/20/17 |
| | Primary Reviewer 4 critique submitted | 5/7/2017 | 06/20/17 |
| | COI indicated by non-primary reviewer | NONE | 06/20/17 |
| 3. Peer Review | COI recused from participation | N/A | 06/20/17 |
| Meeting | Discussed at Peer Review Meeting | YES | 06/20/17 |
| Ü | Peer Review Meeting | 06/01/17-06/02/17 | 06/20/17 |
| | Post review statements signed | 06/02/17 | 06/20/17 |
| | Third Party Observer Report | 6/2/2017 | 07/19/17 |
| | Score report delivered to CPO | 06/23/17 | 07/11/17 |
| | Recommended for PRC Review | YES | 06/20/17 |
| | COI indicated by PRC member | NONE | 07/11/17 |
| | COI recused from participation | N/A | 07/11/17 |
| 4. Final PRC | PRC Meeting | 07/06/17 | 07/11/17 |
| Recommendation | Third Party Observer Report | 07/06/17 | 07/26/17 |
| | Recommended for grant award | YES | 07/11/17 |
| | PRC Chair Notification to PIC and OC | 07/10/17 | 07/19/17 |
| | COI indicated by PIC member | NONE | 08/01/17 |
| | COI recused from participation | N/A | 08/01/17 |
| 5. PIC Review | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | YES | 08/01/17 |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/16/17 |
| | COI indicated by Oversight Committee member | NONE | 08/16/17 |
| | COI recused from participation | N/A | 08/16/17 |
| 6. Oversight | Donation(s) made to CPRIT/foundation | NO | 08/16/17 |
| Committee Approval | Presented to CPRIT Oversight Committee | 08/16/17 | 08/16/17 |
| The state of the s | Award approved by Oversight Committee | YES | 08/16/17 |
| | IAWaru approved by Oversight Committee | ILJ | 00/10/1/ |
| | Authority to advance funds requested | NO | 08/16/17 |

The identity of the attesting party is retained by CPRIT.

FΥ 2017 **CYCLE**

PROGRAM Prevention

AWARD MECHANISM Evidence-Based Cancer Prevention Services (EBP)

APPLICATION ID PP170091

Empower Her To Care Expansion(EHC4):Increasing Access to Breast **APPLICATION TITLE**

Cancer Screening and the Continuum of Care for Underserved Texas

APPLICANT NAME Joseph, Bernice The Rose **ORGANIZATION**

PANEL NAME 17.2 Prevention Panel-2 (17.2 PP-2)

| Category | Compliance Requirement | Information | Attestation Date |
|--|--|-------------------|------------------|
| | RFA Approved by CPO | 11/10/16 | 07/19/17 |
| | RFA published in Texas.gov eGrants | 11/17/16 | 07/19/17 |
| | CPRIT Application Receipt System (CARS) opened | 12/01/16 | 06/20/17 |
| | CPRIT Application Receipt System (CARS) closed | 03/02/17 | 06/20/17 |
| 1. Pre-Receipt | Date application submitted | 03/02/17 | 06/20/17 |
| | Method of submission | CARS | 06/20/17 |
| | Within receipt period | YES | 06/20/17 |
| | Request for extension to submit application after CARS closed | N/A | 06/20/17 |
| | Request for extension for late application submission accepted | N/A | 06/20/17 |
| | Administrative review notification | N/A | 06/20/17 |
| | Donation(s) made to CPRIT/foundation | NO | 06/20/17 |
| | Assigned to primary reviewers | 03/16/17 | 06/20/17 |
| 2. Receipt, Referral, | Applicant notified of review panel assignment | 04/13/17 | 06/20/17 |
| and Assignment | Primary Reviewer 1 COI signed | 03/22/17 | 06/20/17 |
| | Primary (Advocate) Reviewer 2 COI signed | 03/16/17 | 06/20/17 |
| | Primary Reviewer 3 COI signed | 03/20/17 | 06/20/17 |
| | Primary Reviewer 4 COI signed | 03/16/17 | 06/20/17 |
| | Primary Reviewer 1 critique submitted | 05/14/17 | 06/20/17 |
| | Primary (Advocate) Reviewer 2 critique submitted | 05/13/17 | 06/20/17 |
| | Primary Reviewer 3 critique submitted | 05/15/17 | 06/20/17 |
| | Primary Reviewer 4 critique submitted | 05/13/17 | 06/20/17 |
| | COI indicated by non-primary reviewer | NONE | 06/20/17 |
| 3. Peer Review | COI recused from participation | N/A | 06/20/17 |
| Meeting | Discussed at Peer Review Meeting | YES | 06/20/17 |
| | Peer Review Meeting | 06/01/17-06/02/17 | 06/20/17 |
| | Post review statements signed | 06/02/17 | 06/20/17 |
| | Third Party Observer Report | 6/2/2017 | 07/19/17 |
| | Score report delivered to CPO | 06/23/17 | 07/11/17 |
| | Recommended for PRC Review | YES | 06/20/17 |
| | COI indicated by PRC member | NONE | 07/11/17 |
| | COI recused from participation | N/A | 07/11/17 |
| 4. Final PRC | PRC Meeting | 07/06/17 | 07/11/17 |
| Recommendation | Third Party Observer Report | 07/06/17 | 07/26/17 |
| | Recommended for grant award | YES | 07/11/17 |
| | PRC Chair Notification to PIC and OC | 07/10/17 | 07/19/17 |
| | COI indicated by PIC member | NONE | 08/01/17 |
| | COI recused from participation | N/A | 08/01/17 |
| 5. PIC Review | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | YES | 08/01/17 |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/16/17 |
| | COI indicated by Oversight Committee member | NONE | 08/16/17 |
| | COI recused from participation | N/A | 08/16/17 |
| 6. Oversight | Donation(s) made to CPRIT/foundation | NO | 08/16/17 |
| Committee Approval | Presented to CPRIT Oversight Committee | 08/16/17 | 08/16/17 |
| 1, 1, 2, 2, 2, 2, 2, 2, 2, 2, 2, 2, 2, 2, 2, | Award approved by Oversight Committee | YES | 08/16/17 |
| | Authority to advance funds requested | NO | 08/16/17 |
| | Advance authority approved by Oversight Committee | NO | |

FY 2017 **CYCLE** 10

PROGRAM Academic Research

AWARD MECHANISM Recruitment of First-Time Faculty Members (RFTFM)

APPLICATION ID RR170047

APPLICATION TITLE

Nomination of Dustin Hancks, Ph.D. for a CPRIT First-Time

Tenure Track Faculty Member Award

NOMINATOR NAME Fitz, John
CANDIDATE NAME Hancks, Dustin

ORGANIZATION The University of Texas Southwestern Medical Center

PANEL NAME Recruitment FY17 Cycle 10 (REC 17.10)

| Category | Compliance Requirement | Information | Attestation Date |
|-----------------------|--|-------------|------------------|
| | RFA Approved by CSO | 06/16/16 | 07/19/17 |
| | RFA published in Texas.gov eGrants | 06/29/16 | 07/19/17 |
| | CPRIT Application Receipt Cycle opened | 03/21/17 | 07/05/17 |
| 1. Pre-Receipt | CPRIT Application Receipt Cycle closed | 04/20/17 | 07/05/17 |
| | Date application submitted | 04/13/17 | 07/05/17 |
| | Method of submission | CARS | 07/05/17 |
| | Within receipt period | YES | 07/05/17 |
| | Administrative review notification | N/A | 07/05/17 |
| | Donation(s) made to CPRIT/foundation | NO | 07/05/17 |
| 2. Receipt, Referral, | Assigned to primary reviewers | 05/05/17 | 07/05/17 |
| and Assignment | Applicant notified of review panel assignment | N/A | 07/05/17 |
| | Primary Reviewer 1 COI signed | 04/28/17 | 07/05/17 |
| | Primary Reviewer 2 COI signed | 04/28/17 | 07/05/17 |
| | Primary Reviewer 1 critique submitted | 05/10/17 | 07/05/17 |
| | Primary Reviewer 2 critique submitted | 05/09/17 | 07/05/17 |
| | COI indicated by non-primary reviewer | NONE | 07/05/17 |
| | COI recused from participation | N/A | 07/05/17 |
| 3. Peer Review | Discussed at Peer Review Meeting | YES | 07/05/17 |
| Meeting | Peer Review Meeting | 05/11/17 | 07/05/17 |
| | Post review statements signed | 05/15/17 | 07/05/17 |
| | Third Party Observer Report | 05/11/17 | 07/05/17 |
| | Score report delivered to CSO | 05/22/17 | 07/05/17 |
| | Recommended for SRC Review | YES | 07/05/17 |
| | COI indicated by SRC member | NONE | 07/05/17 |
| | COI recused from participation | N/A | 07/05/17 |
| 4. Final SRC | SRC Meeting | 05/11/17 | 07/05/17 |
| Recommendation | Third Party Observer Report | 05/11/17 | 07/05/17 |
| | Recommended for grant award | YES | 07/05/17 |
| | SRC Chair Notification to PIC and OC | 07/17/17 | 07/19/17 |
| | Candidate not accepted asst. prof. tenure track position prior to SRC date | YES | 07/27/17 |
| | COI indicated by PIC member | NONE | 08/01/17 |
| 5. PIC Review | COI recused from participation | N/A | 08/01/17 |
| | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | YES | 08/01/17 |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/16/17 |
| | COI indicated by Oversight Committee member | NONE | 08/16/17 |
| | COI recused from participation | N/A | 08/16/17 |
| 6. Oversight | Donation(s) made to CPRIT/foundation | NO | 08/16/17 |
| Committee Approval | Presented to CPRIT Oversight Committee | 08/16/17 | 08/16/17 |
| 1, 1, 2, 2, 3, | Award approved by Oversight Committee | YES | 08/16/17 |
| | Authority to advance funds requested | NO | 08/16/17 |
| | Advance authority approved by Oversight Committee | NO | 08/16/17 |

FY 2017 **CYCLE** 10

PROGRAM Academic Research

AWARD MECHANISM Recruitment of Established Investigators (REI)

APPLICATION ID RR170048

APPLICATION TITLE Established Investigator Recruit: Christopher I. Amos, PhD

NOMINATOR NAME Kuspa, Adam

CANDIDATE NAME Amos, Christopher

ORGANIZATION Review College of Modice

ORGANIZATION Baylor College of Medicine

PANEL NAME Recruitment FY17 Cycle 10 (REC 17.10)

| Category | Compliance Requirement | Information | Attestation Date |
|-----------------------|---|-------------|------------------|
| | RFA Approved by CSO | 06/16/16 | 07/19/17 |
| | RFA published in Texas.gov eGrants | 06/29/16 | 07/19/17 |
| | CPRIT Application Receipt Cycle opened | 03/21/17 | 07/05/17 |
| 1. Pre-Receipt | CPRIT Application Receipt Cycle closed | 04/20/17 | 07/05/17 |
| | Date application submitted | 04/19/17 | 07/05/17 |
| | Method of submission | CARS | 07/05/17 |
| | Within receipt period | YES | 07/05/17 |
| | Administrative review notification | N/A | 07/05/17 |
| | Donation(s) made to CPRIT/foundation | NO | 07/05/17 |
| 2. Receipt, Referral, | Assigned to primary reviewers | 05/05/17 | 07/05/17 |
| and Assignment | Applicant notified of review panel assignment | N/A | 07/05/17 |
| | Primary Reviewer 1 COI signed | 04/29/17 | 07/05/17 |
| | Primary Reviewer 2 COI signed | 04/28/17 | 07/05/17 |
| | Primary Reviewer 1 critique submitted | 05/07/17 | 07/05/17 |
| | Primary Reviewer 2 critique submitted | 05/10/17 | 07/05/17 |
| | COI indicated by non-primary reviewer | NONE | 07/05/17 |
| | COI recused from participation | N/A | 07/05/17 |
| 3. Peer Review | Discussed at Peer Review Meeting | YES | 07/05/17 |
| Meeting | Peer Review Meeting | 05/11/17 | 07/05/17 |
| | Post review statements signed | 05/15/17 | 07/05/17 |
| | Third Party Observer Report | 05/11/17 | 07/05/17 |
| | Score report delivered to CSO | 05/22/17 | 07/05/17 |
| | Recommended for SRC Review | YES | 07/05/17 |
| | COI indicated by SRC member | NONE | 07/05/17 |
| | COI recused from participation | N/A | 07/05/17 |
| 4. Final SRC | SRC Meeting | 05/11/17 | 07/05/17 |
| Recommendation | Third Party Observer Report | 05/11/17 | 07/05/17 |
| | Recommended for grant award | YES | 07/05/17 |
| | SRC Chair Notification to PIC and OC | 07/17/17 | 07/19/17 |
| | Candidate not accepted position prior to SRC date | YES | 07/27/17 |
| | COI indicated by PIC member | NONE | 08/01/17 |
| 5. PIC Review | COI recused from participation | N/A | 08/01/17 |
| | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | YES | 08/01/17 |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/16/17 |
| | COI indicated by Oversight Committee member | NONE | 08/16/17 |
| | COI recused from participation | N/A | 08/16/17 |
| 6. Oversight | Donation(s) made to CPRIT/foundation | NO | 08/16/17 |
| Committee Approval | Presented to CPRIT Oversight Committee | 08/16/17 | 08/16/17 |
| | Award approved by Oversight Committee | YES | 08/16/17 |
| | Authority to advance funds requested | NO | 08/16/17 |
| | Advance authority approved by Oversight Committee | NO | 08/16/17 |

The identity of the attesting party is retained by CPRIT.

FY 2017 **CYCLE** 10

PROGRAM Academic Research

AWARD MECHANISM Recruitment of First-Time Faculty Members (RFTFM)

APPLICATION ID RR170050

APPLICATION TITLE

Nomination of Ping Mu, Ph.D. for a CPRIT First-Time,

Tenure Track Faculty Member Award

NOMINATOR NAME Fitz, John **CANDIDATE NAME** Mu, Ping

ORGANIZATION The University of Texas Southwestern Medical Center

PANEL NAME Recruitment FY17 Cycle 10 (REC 17.10)

| by CSO In Texas.gov eGrants tion Receipt Cycle opened tion Receipt Cycle closed on submitted omission t period tereview notification | 06/16/16 06/29/16 03/21/17 04/20/17 04/12/17 CARS YES | 07/19/17 07/19/17 07/05/17 07/05/17 07/05/17 07/05/17 |
|--|--|--|
| tion Receipt Cycle opened tion Receipt Cycle closed on submitted omission t period | 03/21/17 04/20/17 04/12/17 CARS | 07/05/17 07/05/17 07/05/17 |
| tion Receipt Cycle closed on submitted omission : period | 04/20/17 04/12/17 CARS | 07/05/17 07/05/17 |
| on submitted omission period | 04/12/17 CARS | 07/05/17 |
| omission period | CARS | |
| period | | 07/05/17 |
| | YES | / 55// |
| e review notification | | 07/05/17 |
| | N/A | 07/05/17 |
| ade to CPRIT/foundation | NO | 07/05/17 |
| imary reviewers | 05/05/17 | 07/05/17 |
| fied of review panel assignment | N/A | 07/05/17 |
| wer 1 COI signed | 05/01/17 | 07/05/17 |
| wer 2 COI signed | 04/30/17 | 07/05/17 |
| wer 1 critique submitted | 05/05/17 | 07/05/17 |
| wer 2 critique submitted | 05/11/17 | 07/05/17 |
| by non-primary reviewer | NONE | 07/05/17 |
| om participation | N/A | 07/05/17 |
| eer Review Meeting | YES | 07/05/17 |
| | 05/11/17 | 07/05/17 |
| atements signed | 05/15/17 | 07/05/17 |
| | 05/11/17 | 07/05/17 |
| | 05/22/17 | 07/05/17 |
| | YES | 07/05/17 |
| by SRC member | NONE | 07/05/17 |
| | N/A | 07/05/17 |
| | | 07/05/17 |
| oserver Report | | 07/05/17 |
| | YES | 07/05/17 |
| | 07/17/17 | 07/19/17 |
| accepted asst. prof. tenure track position | YES | 07/27/17 |
| by PIC member | NONE | 08/01/17 |
| | | 08/01/17 |
| | - | 08/01/17 |
| | | 08/01/17 |
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| | ified of review panel assignment wer 1 COI signed wer 2 COI signed wer 2 critique submitted by non-primary reviewer rom participation Peer Review Meeting Meeting Ratements signed Deserver Report Relivered to CSO d for SRC Review by SRC member rom participation Deserver Report d for grant award ification to PIC and OC t accepted asst. prof. tenure track position atte by PIC member rom participation Deserver Report Reting d for grant award Deserver Report Dese | wer 1 COI signed wer 2 COI signed 04/30/17 wer 2 COI signed 05/05/17 wer 2 critique submitted 05/05/17 wer 2 critique submitted 05/11/17 by non-primary reviewer NONE rom participation N/A Peer Review Meeting Meeting 05/11/17 catements signed 05/11/17 delivered to CSO 05/22/17 delivered to CSO 05/22/17 defor SRC Review YES by SRC member NONE rom participation N/A 05/11/17 defor grant award ification to PIC and OC t accepted asst. prof. tenure track position ate by PIC member NONE rom participation N/A peeting 08/01/17 defor grant award yes on to Oversight Committee by Oversight Committee NONE rom participation N/A peeting 08/03/17 by Oversight Committee 08/16/17 red by Oversight Committee VES dvance funds requested |

FY 2017 **CYCLE** 10

PROGRAM Academic Research

AWARD MECHANISM Recruitment of First-Time Faculty Members (RFTFM)

APPLICATION ID RR170051

APPLICATION TITLE

Nomination of Todd Aguilera, M.D., Ph.D. for a CPRIT First-

Time, Tenure Track Faculty Member Award

NOMINATOR NAME Fitz, John **CANDIDATE NAME** Aguilera, Todd

ORGANIZATION The University of Texas Southwestern Medical Center

PANEL NAME Recruitment FY17 Cycle 10 (REC 17.10)

| Category | Compliance Requirement | Information | Attestation Date |
|------------------------------------|--|------------------|----------------------|
| | RFA Approved by CSO | 06/16/16 | 07/19/17 |
| | RFA published in Texas.gov eGrants | 06/29/16 | 07/19/17 |
| | CPRIT Application Receipt Cycle opened | 03/21/17 | 07/05/17 |
| 1. Pre-Receipt | CPRIT Application Receipt Cycle obened | 04/20/17 | 07/05/17 |
| 1. The necespe | Date application submitted | 04/20/17 | 07/05/17 |
| | Method of submission | CARS | 07/05/17 |
| | Within receipt period | YES | 07/05/17 |
| | Administrative review notification | N/A | 07/05/17 |
| | Donation(s) made to CPRIT/foundation | NO | 07/05/17 |
| 2. Receipt, Referral, | Assigned to primary reviewers | 05/05/17 | 07/05/17 |
| and Assignment | Applicant notified of review panel assignment | N/A | 07/05/17 |
| | Primary Reviewer 1 COI signed | 05/04/17 | 07/05/17 |
| | Primary Reviewer 2 COI signed | 04/28/17 | 07/05/17 |
| | Primary Reviewer 1 critique submitted | 05/10/17 | 07/05/17 |
| | Primary Reviewer 2 critique submitted | 05/10/17 | 07/05/17 |
| | COI indicated by non-primary reviewer | NONE | 07/05/17 |
| | COI recused from participation | N/A | 07/05/17 |
| 3. Peer Review | Discussed at Peer Review Meeting | YES | 07/05/17 |
| Meeting | Peer Review Meeting | 05/11/17 | 07/05/17 |
| | Post review statements signed | 05/11/17 | 07/05/17 |
| | Third Party Observer Report | 05/13/17 | 07/05/17 |
| | Score report delivered to CSO | 05/22/17 | 07/05/17 |
| | Recommended for SRC Review | YES | 07/05/17 |
| | COI indicated by SRC member | NONE | 07/05/17 |
| | COI recused from participation | N/A | 07/05/17 |
| 4. Final SRC | SRC Meeting | 05/11/17 | 07/05/17 |
| Recommendation | Third Party Observer Report | 05/11/17 | 07/05/17 |
| | Recommended for grant award | YES | 07/05/17 |
| | SRC Chair Notification to PIC and OC | 07/17/17 | 07/19/17 |
| | Candidate not accepted asst. prof. tenure track position prior to SRC date | YES | 07/27/17 |
| | COI indicated by PIC member | NONE | 08/01/17 |
| 5. PIC Review | COI recused from participation | N/A | 08/01/17 |
| | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | YES | |
| | | | 08/01/17 |
| 6. Oversight Committee Approval | CEO Notification to Oversight Committee COI indicated by Oversight Committee member | 08/03/17 NONE | 08/16/17 08/16/17 |
| | COI recused from participation | N/A | 08/16/17 |
| | | NO NO | |
| | Donation(s) made to CPRIT/foundation | | 08/16/17 |
| Committee Approval | Presented to CPRIT Oversight Committee | 08/16/17 | 08/16/17 |
| | Award approved by Oversight Committee | YES | 08/16/17 |
| | Authority to advance funds requested | NO | 08/16/17 |
| | Advance authority approved by Oversight Committee | NO | 08/16/17 |

FY 2017 **CYCLE** 10

PROGRAM Academic Research

AWARD MECHANISM Recruitment of First-Time Faculty Members (RFTFM)

APPLICATION ID RR170054

APPLICATION TITLE

Recruitment of First-Time, Tenure-Track Faculty Member -

Dr. Daniel Dickinson Appling, Dean

NOMINATOR NAMEAppling, DeanCANDIDATE NAMEDickinson, Daniel

ORGANIZATION The University of Texas at Austin **PANEL NAME** Recruitment FY17 Cycle 10 (REC 17.10)

Reviewed at 17.11 meeting

| Category | Compliance Requirement | Information | Attestation Date |
|---------------------------------|--|-------------------|------------------|
| | RFA Approved by CSO | 06/16/16 | 07/19/17 |
| | RFA published in Texas.gov eGrants | 06/29/16 | 07/19/17 |
| | CPRIT Application Receipt Cycle opened | 03/21/17 | 07/10/17 |
| 1. Pre-Receipt | CPRIT Application Receipt Cycle closed | 04/20/17 | 07/10/17 |
| | Date application submitted | 04/20/17 | 07/10/17 |
| | Method of submission | CARS | 07/10/17 |
| | Within receipt period | YES | 07/10/17 |
| | Administrative review notification | 04/28/17 | 07/10/17 |
| | Donation(s) made to CPRIT/foundation | NO | 07/10/17 |
| 2. Receipt, Referral, | Assigned to primary reviewers | 05/05/17 | 07/10/17 |
| and Assignment | Applicant notified of review panel assignment | N/A | 07/10/17 |
| | Primary Reviewer 1 COI signed | 05/01/17 | 07/10/17 |
| | Primary Reviewer 2 COI signed | 04/28/17 | 07/10/17 |
| | Primary Reviewer 1 critique submitted | 06/09/17 | 07/10/17 |
| | Primary Reviewer 2 critique submitted | 06/12/17 | 07/10/17 |
| | COI indicated by non-primary reviewer | NONE | 07/10/17 |
| | COI recused from participation | N/A | 07/10/17 |
| 3. Peer Review | Discussed at Peer Review Meeting | YES | 07/10/17 |
| Meeting | Peer Review Meeting | 06/15/17 | 07/10/17 |
| | Post review statements signed | 06/21/17 | 07/10/17 |
| | Third Party Observer Report | 06/15/17 | 07/10/17 |
| | Score report delivered to CSO | 06/23/17 | 07/10/17 |
| | Recommended for SRC Review | YES | 07/10/17 |
| | COI indicated by SRC member | NONE | 07/10/17 |
| | COI recused from participation | N/A | 07/10/17 |
| 4. Final SRC | SRC Meeting | 06/15/17 | 07/10/17 |
| Recommendation | Third Party Observer Report | 06/15/17 | 07/10/17 |
| | Recommended for grant award | YES | 07/10/17 |
| | SRC Chair Notification to PIC and OC | 07/17/17 | 07/19/17 |
| | Candidate not accepted asst. prof. tenure track position prior to SRC date | YES | 07/27/17 |
| | COI indicated by PIC member | NONE | 08/01/17 |
| 5. PIC Review | COI recused from participation | N/A | 08/01/17 |
| | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | YES | 08/01/17 |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/16/17 |
| | COI indicated by Oversight Committee member | | |
| | | A. Angelou YES | 08/15/17 |
| 6 Oversight | COI recused from participation | | 08/16/17 |
| 6. Oversight Committee Approval | Donation(s) made to CPRIT/foundation | NO | 08/16/17 |
| | Presented to CPRIT Oversight Committee | 08/16/17 | 08/16/17 |
| | Award approved by Oversight Committee | YES | 08/16/17 |
| | Authority to advance funds requested | NO | 08/16/17 |
| | Advance authority approved by Oversight Committee | NO | 08/16/17 |

FY 2017 **CYCLE** 10

CYCLE 10
PROGRAM Academic Research

AWARD MECHANISM Recruitment of First-Time Faculty Members (RFTFM)

APPLICATION ID RR170055

APPLICATION TITLE First-Time Tenure Track for Siyuan Zheng

NOMINATOR NAMERodriguez, RonCANDIDATE NAMEZheng, Siyuan

ORGANIZATION The University of Texas Health Science Center at San

Antonio

PANEL NAME Recruitment FY17 Cycle 10 (REC 17.10)

| Category | Compliance Requirement | Information | Attestation Date |
|------------------------------------|--|-------------|------------------|
| | RFA Approved by CSO | 06/16/16 | 07/19/17 |
| | RFA published in Texas.gov eGrants | 06/29/16 | 07/19/17 |
| | CPRIT Application Receipt Cycle opened | 03/21/17 | 07/05/17 |
| 1. Pre-Receipt | CPRIT Application Receipt Cycle closed | 04/20/17 | 07/05/17 |
| | Date application submitted | 04/20/17 | 07/05/17 |
| | Method of submission | CARS | 07/05/17 |
| | Within receipt period | YES | 07/05/17 |
| | Administrative review notification | N/A | 07/05/17 |
| | Donation(s) made to CPRIT/foundation | NO | 07/05/17 |
| 2. Receipt, Referral, | Assigned to primary reviewers | 05/05/17 | 07/05/17 |
| and Assignment | Applicant notified of review panel assignment | N/A | 07/05/17 |
| | Primary Reviewer 1 COI signed | 04/29/17 | 07/05/17 |
| | Primary Reviewer 2 COI signed | 05/01/17 | 07/05/17 |
| | Primary Reviewer 1 critique submitted | 05/07/17 | 07/05/17 |
| | Primary Reviewer 2 critique submitted | 05/08/17 | 07/05/17 |
| | COI indicated by non-primary reviewer | NONE | 07/05/17 |
| | COI recused from participation | N/A | 07/05/17 |
| 3. Peer Review | Discussed at Peer Review Meeting | YES | 07/05/17 |
| Meeting | Peer Review Meeting | 05/11/17 | 07/05/17 |
| | Post review statements signed | 05/15/17 | 07/05/17 |
| | Third Party Observer Report | 05/11/17 | 07/05/17 |
| | Score report delivered to CSO | 05/22/17 | 07/05/17 |
| | Recommended for SRC Review | YES | 07/05/17 |
| | COI indicated by SRC member | NONE | 07/05/17 |
| | COI recused from participation | N/A | 07/05/17 |
| 4. Final SRC | SRC Meeting | 05/11/17 | 07/05/17 |
| Recommendation | Third Party Observer Report | 05/11/17 | 07/05/17 |
| | Recommended for grant award | YES | 07/05/17 |
| | SRC Chair Notification to PIC and OC | 07/17/17 | 07/19/17 |
| | Candidate not accepted asst. prof. tenure track position prior to SRC date | YES | 07/27/17 |
| F. DIC Davison | COI indicated by PIC member | NONE | 08/01/17 |
| 5. PIC Review | COI recused from participation | N/A | 08/01/17 |
| | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | YES | 08/01/17 |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/16/17 |
| | COI indicated by Oversight Committee member | NONE | 08/16/17 |
| 6. Oversight Committee Approval | COI recused from participation | N/A | 08/16/17 |
| | Donation(s) made to CPRIT/foundation | NO | 08/16/17 |
| | Presented to CPRIT Oversight Committee | 08/16/17 | 08/16/17 |
| | Award approved by Oversight Committee | YES | 08/16/17 |
| | Authority to advance funds requested | NO | 08/16/17 |
| | Advance authority approved by Oversight Committee | NO | 08/16/17 |

FY 17 CYCLE 11

PROGRAM Academic Research

AWARD MECHANISM Recruitment of First-Time Faculty Members (RFTFM)

APPLICATION ID RR170059

APPLICATION TITLE

Recruitment of First-Time, Tenure Track Faculty Member-

Dr. Kuang-Lei Tsai

NOMINATOR NAME

CANDIDATE NAME

Dr. Kuang-Lei Tsai

Blackburn, Michael

Tsai, Kuang-Lei

ORGANIZATION The University of Texas Health Science Center at Houston

PANEL NAME Recruitment FY17 Cycle 11 (REC 17.11)

| Category | Compliance Requirement | Information | Attestation Date |
|------------------------------------|--|-------------|------------------|
| | RFA Approved by CSO | 06/16/16 | 07/19/17 |
| | RFA published in Texas.gov eGrants | 06/29/16 | 07/19/17 |
| | CPRIT Application Receipt Cycle opened | 04/21/17 | 07/09/17 |
| 1. Pre-Receipt | CPRIT Application Receipt Cycle closed | 05/22/17 | 07/09/17 |
| | Date application submitted | 05/18/17 | 07/09/17 |
| | Method of submission | CARS | 07/09/17 |
| | Within receipt period | YES | 07/09/17 |
| | Administrative review notification | 05/30/17 | 07/09/17 |
| | Donation(s) made to CPRIT/foundation | NO | 07/09/17 |
| 2. Receipt, Referral, | Assigned to primary reviewers | 06/01/17 | 07/09/17 |
| and Assignment | Applicant notified of review panel assignment | N/A | 07/09/17 |
| | Primary Reviewer 1 COI signed | 05/26/17 | 07/09/17 |
| | Primary Reviewer 2 COI signed | 05/24/17 | 07/09/17 |
| | Primary Reviewer 1 critique submitted | 06/05/17 | 07/09/17 |
| | Primary Reviewer 2 critique submitted | 06/14/17 | 07/09/17 |
| | COI indicated by non-primary reviewer | NONE | 07/09/17 |
| | COI recused from participation | N/A | 07/09/17 |
| 3. Peer Review | Discussed at Peer Review Meeting | YES | 07/09/17 |
| Meeting | Peer Review Meeting | 06/15/17 | 07/09/17 |
| | Post review statements signed | 06/21/17 | 07/09/17 |
| | Third Party Observer Report | 06/15/17 | 07/09/17 |
| | Score report delivered to CSO | 06/23/17 | 07/09/17 |
| | Recommended for SRC Review | YES | 07/09/17 |
| | COI indicated by SRC member | NONE | 07/09/17 |
| | COI recused from participation | N/A | 07/09/17 |
| 4. Final SRC | SRC Meeting | 06/15/17 | 07/09/17 |
| Recommendation | Third Party Observer Report | 06/15/17 | 07/09/17 |
| | Recommended for grant award | YES | 07/09/17 |
| | SRC Chair Notification to PIC and OC | 07/17/17 | 07/19/17 |
| | Candidate not accepted asst. prof. tenure track position prior to SRC date | YES | 07/27/17 |
| F. DIC Pavious | COI indicated by PIC member | NONE | 08/01/17 |
| 5. PIC Review | COI recused from participation | N/A | 08/01/17 |
| | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | YES | 08/01/17 |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/16/17 |
| | COI indicated by Oversight Committee member | NONE | 08/16/17 |
| 6. Oversight Committee Approval | COI recused from participation | N/A | 08/16/17 |
| | Donation(s) made to CPRIT/foundation | NO | 08/16/17 |
| | Presented to CPRIT Oversight Committee | 08/16/17 | 08/16/17 |
| | Award approved by Oversight Committee | YES | 08/16/17 |
| | Authority to advance funds requested | NO | 08/16/17 |
| | Advance authority approved by Oversight Committee | NO | 08/16/17 |

FY 17 CYCLE 11

PROGRAM Academic Research

AWARD MECHANISM Recruitment of Established Investigators (REI)

APPLICATION ID RR170061

APPLICATION TITLENomination of Carlos L. Arteaga, M.D. for a CPRIT Recruitment of Established Investigators Award

NOMINATOR NAME Fitz, John
CANDIDATE NAME Arteaga, Carlos L.

ORGANIZATION The University of Texas Southwestern Medical Center

PANEL NAME Recruitment FY17 Cycle 11 (REC 17.11)

| Category | Compliance Requirement | Information | Attestation Date |
|---------------------------------|---|----------------|------------------|
| | RFA Approved by CSO | 06/16/16 | 07/19/17 |
| | RFA published in Texas.gov eGrants | 06/29/16 | 07/19/17 |
| | CPRIT Application Receipt Cycle opened | 04/21/17 | 07/09/17 |
| 1. Pre-Receipt | CPRIT Application Receipt Cycle closed | 05/22/17 | 07/09/17 |
| | Date application submitted | 05/11/17 | 07/09/17 |
| | Method of submission | CARS | 07/09/17 |
| | Within receipt period | YES | 07/09/17 |
| | Administrative review notification | N/A | 07/09/17 |
| | Donation(s) made to CPRIT/foundation | NO | 07/09/17 |
| 2. Receipt, Referral, | Assigned to primary reviewers | 06/01/17 | 07/09/17 |
| and Assignment | Applicant notified of review panel assignment | N/A | 07/09/17 |
| | Primary Reviewer 1 COI signed | 05/30/17 | 07/09/17 |
| | Primary Reviewer 2 COI signed | 05/30/17 | 07/09/17 |
| | Primary Reviewer 1 critique submitted | 06/13/17 | 07/09/17 |
| | Primary Reviewer 2 critique submitted | 06/13/17 | 07/09/17 |
| | COI indicated by non-primary reviewer | Thomas Sellers | 07/09/17 |
| | COI recused from participation | YES | 07/09/17 |
| 3. Peer Review | Discussed at Peer Review Meeting | YES | 07/09/17 |
| Meeting | Peer Review Meeting | 06/15/17 | 07/09/17 |
| | Post review statements signed | 06/21/17 | 07/09/17 |
| | Third Party Observer Report | 06/15/17 | 07/09/17 |
| | Score report delivered to CSO | 06/23/17 | 07/09/17 |
| | Recommended for SRC Review | YES | 07/09/17 |
| | COI indicated by SRC member | Thomas Sellers | 07/09/17 |
| | COI recused from participation | YES | 07/09/17 |
| 4. Final SRC | SRC Meeting | 06/15/17 | 07/09/17 |
| Recommendation | Third Party Observer Report | 06/15/17 | 07/09/17 |
| | Recommended for grant award | YES | 07/09/17 |
| | SRC Chair Notification to PIC and OC | 07/17/17 | 07/19/17 |
| | Candidate not accepted position prior to SRC date | YES | 07/27/17 |
| | COI indicated by PIC member | NONE | 08/01/17 |
| 5. PIC Review | COI recused from participation | N/A | 08/01/17 |
| | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | YES | 08/01/17 |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/16/17 |
| 6. Oversight Committee Approval | COI indicated by Oversight Committee member | NONE | 08/16/17 |
| | COI recused from participation | N/A | 08/16/17 |
| | Donation(s) made to CPRIT/foundation | NO | 08/16/17 |
| | Presented to CPRIT Oversight Committee | 08/16/17 | 08/16/17 |
| | Award approved by Oversight Committee | YES | 08/16/17 |
| | Authority to advance funds requested | NO | 08/16/17 |
| | Advance authority approved by Oversight Committee | NO | 08/16/17 |

FY 17 CYCLE 11

PROGRAM Academic Research

AWARD MECHANISM Recruitment of First-Time Faculty Members (RFTFM)

APPLICATION ID RR170062

APPLICATION TITLE

Recruitment of First-Time, Tenure-Track Faculty Member -

Dr. Rosa Uribe

NOMINATOR NAME

CANDIDATE NAME

ORGANIZATION

Dr. Rosa Uribe

Rossky, Peter

Uribe, Rosa

Rice University

PANEL NAME Recruitment FY17 Cycle 11 (REC 17.11)

| Category | Compliance Requirement | Information | Attestation Date |
|------------------------------------|--|-------------|------------------|
| | RFA Approved by CSO | 06/16/16 | 07/19/17 |
| | RFA published in Texas.gov eGrants | 06/29/16 | 07/19/17 |
| | CPRIT Application Receipt Cycle opened | 04/21/17 | 07/09/17 |
| 1. Pre-Receipt | CPRIT Application Receipt Cycle closed | 05/22/17 | 07/09/17 |
| | Date application submitted | 05/19/17 | 07/09/17 |
| | Method of submission | CARS | 07/09/17 |
| | Within receipt period | YES | 07/09/17 |
| | Administrative review notification | N/A | 07/09/17 |
| | Donation(s) made to CPRIT/foundation | NO | 07/09/17 |
| 2. Receipt, Referral, | Assigned to primary reviewers | 06/01/17 | 07/09/17 |
| and Assignment | Applicant notified of review panel assignment | N/A | 07/09/17 |
| | Primary Reviewer 1 COI signed | 05/24/17 | 07/09/17 |
| | Primary Reviewer 2 COI signed | 05/30/17 | 07/09/17 |
| | Primary Reviewer 1 critique submitted | 06/09/17 | 07/09/17 |
| | Primary Reviewer 2 critique submitted | 06/13/17 | 07/09/17 |
| | COI indicated by non-primary reviewer | NONE | 07/09/17 |
| | COI recused from participation | N/A | 07/09/17 |
| 3. Peer Review | Discussed at Peer Review Meeting | YES | 07/09/17 |
| Meeting | Peer Review Meeting | 06/15/17 | 07/09/17 |
| | Post review statements signed | 06/21/17 | 07/09/17 |
| | Third Party Observer Report | 06/15/17 | 07/09/17 |
| | Score report delivered to CSO | 06/23/17 | 07/09/17 |
| | Recommended for SRC Review | YES | 07/09/17 |
| | COI indicated by SRC member | NONE | 07/09/17 |
| | COI recused from participation | N/A | 07/09/17 |
| 4. Final SRC | SRC Meeting | 06/15/17 | 07/09/17 |
| Recommendation | Third Party Observer Report | 06/15/17 | 07/09/17 |
| | Recommended for grant award | YES | 07/09/17 |
| | SRC Chair Notification to PIC and OC | 07/17/17 | 07/19/17 |
| | Candidate not accepted asst. prof. tenure track position prior to SRC date | YES | 07/27/17 |
| E DIC Deview | COI indicated by PIC member | NONE | 08/01/17 |
| 5. PIC Review | COI recused from participation | N/A | 08/01/17 |
| | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | YES | 08/01/17 |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/16/17 |
| | COI indicated by Oversight Committee member | NONE | 08/16/17 |
| 6. Oversight Committee Approval | COI recused from participation | N/A | 08/16/17 |
| | Donation(s) made to CPRIT/foundation | NO | 08/16/17 |
| | Presented to CPRIT Oversight Committee | 08/16/17 | 08/16/17 |
| | Award approved by Oversight Committee | YES | 08/16/17 |
| | Authority to advance funds requested | NO | 08/16/17 |
| | Advance authority approved by Oversight Committee | NO | 08/16/17 |

The identity of the attesting party is retained by CPRIT.

FY 17 CYCLE 11

PROGRAM Academic Research

AWARD MECHANISM Recruitment of First-Time Faculty Members (RFTFM)

APPLICATION ID RR170063

APPLICATION TITLE

Nomination of Jeffrey B. Woodruff, Ph.D. for a CPRIT First-

Time, Tenure Track Faculty Member Award

NOMINATOR NAME Fitz, John

CANDIDATE NAME Woodruff, Jeffrey B.

ORGANIZATION The University of Texas Southwestern Medical Center

PANEL NAME Recruitment FY17 Cycle 11 (REC 17.11)

| Category | Compliance Requirement | Information | Attestation Date |
|------------------------------------|--|-------------|------------------|
| | RFA Approved by CSO | 06/16/16 | 07/19/17 |
| | RFA published in Texas.gov eGrants | 06/29/16 | 07/19/17 |
| | CPRIT Application Receipt Cycle opened | 04/21/17 | 07/09/17 |
| 1. Pre-Receipt | CPRIT Application Receipt Cycle closed | 05/22/17 | 07/09/17 |
| | Date application submitted | 05/10/17 | 07/09/17 |
| | Method of submission | CARS | 07/09/17 |
| | Within receipt period | YES | 07/09/17 |
| | Administrative review notification | N/A | 07/09/17 |
| | Donation(s) made to CPRIT/foundation | NO | 07/09/17 |
| 2. Receipt, Referral, | Assigned to primary reviewers | 06/01/17 | 07/09/17 |
| and Assignment | Applicant notified of review panel assignment | N/A | 07/09/17 |
| | Primary Reviewer 1 COI signed | 05/24/17 | 07/09/17 |
| | Primary Reviewer 2 COI signed | 05/26/17 | 07/09/17 |
| | Primary Reviewer 1 critique submitted | 06/14/17 | 07/09/17 |
| | Primary Reviewer 2 critique submitted | 06/06/17 | 07/09/17 |
| | COI indicated by non-primary reviewer | NONE | 07/09/17 |
| | COI recused from participation | N/A | 07/09/17 |
| 3. Peer Review | Discussed at Peer Review Meeting | YES | 07/09/17 |
| Meeting | Peer Review Meeting | 06/15/17 | 07/09/17 |
| | Post review statements signed | 06/21/17 | 07/09/17 |
| | Third Party Observer Report | 06/15/17 | 07/09/17 |
| | Score report delivered to CSO | 06/23/17 | 07/09/17 |
| | Recommended for SRC Review | YES | 07/09/17 |
| | COI indicated by SRC member | NONE | 07/09/17 |
| | COI recused from participation | N/A | 07/09/17 |
| 4. Final SRC | SRC Meeting | 06/15/17 | 07/09/17 |
| Recommendation | Third Party Observer Report | 06/15/17 | 07/09/17 |
| | Recommended for grant award | YES | 07/09/17 |
| | SRC Chair Notification to PIC and OC | 07/17/17 | 07/19/17 |
| | Candidate not accepted asst. prof. tenure track position prior to SRC date | YES | 07/27/17 |
| F. DIC Davison | COI indicated by PIC member | NONE | 08/01/17 |
| 5. PIC Review | COI recused from participation | N/A | 08/01/17 |
| | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | YES | 08/01/17 |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/16/17 |
| 6. Oversight Committee Approval | COI indicated by Oversight Committee member | NONE | 08/16/17 |
| | COI recused from participation | N/A | 08/16/17 |
| | Donation(s) made to CPRIT/foundation | NO | 08/16/17 |
| | Presented to CPRIT Oversight Committee | 08/16/17 | 08/16/17 |
| | Award approved by Oversight Committee | YES | 08/16/17 |
| | Authority to advance funds requested | NO | 08/16/17 |
| | Advance authority approved by Oversight Committee | NO | 08/16/17 |

The identity of the attesting party is retained by CPRIT.

FY 17 CYCLE 11

PROGRAM Academic Research

AWARD MECHANISM Recruitment of First-Time Faculty Members (RFTFM)

APPLICATION ID RR170068

Nomination of Daehwan Kim, Ph.D. for a CPRIT

APPLICATION TITLE Recruitment of First-Time, Tenure Track Faculty Member

Award

NOMINATOR NAME Fitz, John **CANDIDATE NAME** Kim, Daehwan

ORGANIZATION The University of Texas Southwestern Medical Center

PANEL NAME Recruitment FY17 Cycle 11 (REC 17.11)

| Category | Compliance Requirement | Information | Attestation Date |
|------------------------------------|---|-------------|------------------|
| | RFA Approved by CSO | 06/16/16 | 07/19/17 |
| | RFA published in Texas.gov eGrants | 06/29/16 | 07/19/17 |
| | CPRIT Application Receipt Cycle opened | 04/21/17 | 07/09/17 |
| 1. Pre-Receipt | CPRIT Application Receipt Cycle closed | 05/22/17 | 07/09/17 |
| 1. The Receipt | Date application submitted | 05/15/17 | 07/09/17 |
| | Method of submission | CARS | 07/09/17 |
| | | YES | 07/09/17 |
| | Within receipt period Administrative review notification | N/A | 07/09/17 |
| | | | |
| 20 1101 | Donation(s) made to CPRIT/foundation | NO | 07/09/17 |
| 2. Receipt, Referral, | Assigned to primary reviewers | 06/01/17 | 07/09/17 |
| and Assignment | Applicant notified of review panel assignment | N/A | 07/09/17 |
| | Primary Reviewer 1 COI signed | 05/29/17 | 07/09/17 |
| | Primary Reviewer 2 COI signed | 05/25/17 | 07/09/17 |
| | Primary Reviewer 1 critique submitted | 06/13/17 | 07/09/17 |
| | Primary Reviewer 2 critique submitted | 06/03/17 | 07/09/17 |
| | COI indicated by non-primary reviewer | NONE | 07/09/17 |
| | COI recused from participation | N/A | 07/09/17 |
| 3. Peer Review | Discussed at Peer Review Meeting | YES | 07/09/17 |
| Meeting | Peer Review Meeting | 06/15/17 | 07/09/17 |
| | Post review statements signed | 06/21/17 | 07/09/17 |
| | Third Party Observer Report | 06/15/17 | 07/09/17 |
| | Score report delivered to CSO | 06/23/17 | 07/09/17 |
| | Recommended for SRC Review | YES | 07/09/17 |
| | COI indicated by SRC member | NONE | 07/09/17 |
| | COI recused from participation | N/A | 07/09/17 |
| 4. Final SRC | SRC Meeting | 06/15/17 | 07/09/17 |
| Recommendation | Third Party Observer Report | 06/15/17 | 07/09/17 |
| | Recommended for grant award | YES | 07/09/17 |
| | SRC Chair Notification to PIC and OC | 07/17/17 | 07/19/17 |
| | Candidate not accepted asst. prof. tenure track position | 07/17/17 | 07/13/17 |
| | prior to SRC date | YES | 07/27/17 |
| | COI indicated by PIC member | NONE | 08/01/17 |
| 5. PIC Review | COI recused from participation | N/A | 08/01/17 |
| | PIC review meeting | 08/01/17 | |
| | | | 08/01/17 |
| | Recommended for grant award | YES | 08/01/17 |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/16/17 |
| 6. Oversight Committee Approval | COI indicated by Oversight Committee member | NONE | 08/16/17 |
| | COI recused from participation | N/A | 08/16/17 |
| | Donation(s) made to CPRIT/foundation | NO | 08/16/17 |
| | Presented to CPRIT Oversight Committee | 08/16/17 | 08/16/17 |
| | Award approved by Oversight Committee | YES | 08/16/17 |
| | Authority to advance funds requested | NO | 08/16/17 |
| | Advance authority approved by Oversight Committee | NO | 08/16/17 |

FY 17 CYCLE 12

PROGRAM Academic Research

AWARD MECHANISM Recruitment of First-Time Faculty Members (RFTFM)

APPLICATION ID RR170075

APPLICATION TITLE

Recruitment of First-Time, Tenure-Track Faculty Member
Dr. Bobith Boddy.

Dr. Rohith Reddy

NOMINATOR NAME

CANDIDATE NAME

ORGANIZATION

Dr. Rohith Reddy

Roysam, Badrinath

Reddy, Rohith

University of Houston

PANEL NAME Recruitment FY17 Cycle 12 (REC 17.12)

| Category | Compliance Requirement | Information | Attestation Date |
|-----------------------|--|-------------|------------------|
| | RFA Approved by CSO | 06/16/16 | 07/19/17 |
| | RFA published in Texas.gov eGrants | 06/29/16 | 07/19/17 |
| | CPRIT Application Receipt Cycle opened | 05/23/17 | 07/14/17 |
| 1. Pre-Receipt | CPRIT Application Receipt Cycle closed | 06/20/17 | 07/14/17 |
| | Date application submitted | 06/20/17 | 07/14/17 |
| | Method of submission | CARS | 07/14/17 |
| | Within receipt period | YES | 07/14/17 |
| | Administrative review notification | N/A | 07/14/17 |
| | Donation(s) made to CPRIT/foundation | NO | 07/14/17 |
| 2. Receipt, Referral, | Assigned to primary reviewers | 06/30/17 | 07/14/17 |
| and Assignment | Applicant notified of review panel assignment | N/A | 07/14/17 |
| | Primary Reviewer 1 COI signed | 06/27/17 | 07/14/17 |
| | Primary Reviewer 2 COI signed | 06/23/17 | 07/14/17 |
| | Primary Reviewer 1 critique submitted | 07/13/17 | 07/14/17 |
| | Primary Reviewer 2 critique submitted | 07/11/17 | 07/14/17 |
| | COI indicated by non-primary reviewer | NONE | 07/14/17 |
| | COI recused from participation | N/A | 07/14/17 |
| 3. Peer Review | Discussed at Peer Review Meeting | YES | 07/14/17 |
| Meeting | Peer Review Meeting | 07/13/17 | 07/14/17 |
| | Post review statements signed | 07/14/17 | 07/18/17 |
| | Third Party Observer Report | 7/13/2017 | 07/31/17 |
| | Score report delivered to CSO | 07/18/17 | 07/18/17 |
| | Recommended for SRC Review | YES | 07/14/17 |
| | COI indicated by SRC member | NONE | 07/14/17 |
| | COI recused from participation | N/A | 07/14/17 |
| 4. Final SRC | SRC Meeting | 07/13/17 | 07/14/17 |
| Recommendation | Third Party Observer Report | 07/13/17 | 07/31/17 |
| | Recommended for grant award | YES | 07/14/17 |
| | SRC Chair Notification to PIC and OC | 07/17/17 | 07/19/17 |
| | Candidate not accepted asst. prof. tenure track position prior to SRC date | YES | 07/27/17 |
| 5 DIO D : | COI indicated by PIC member | NONE | 08/01/17 |
| 5. PIC Review | COI recused from participation | N/A | 08/01/17 |
| | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | YES | 08/01/17 |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/16/17 |
| | COI indicated by Oversight Committee member | NONE | 08/16/17 |
| | COI recused from participation | N/A | 08/16/17 |
| 6. Oversight | Donation(s) made to CPRIT/foundation | NO | 08/16/17 |
| Committee Approval | Presented to CPRIT Oversight Committee | 08/16/17 | 08/16/17 |
| | Award approved by Oversight Committee | YES | 08/16/17 |
| | Authority to advance funds requested | NO | 08/16/17 |
| | Advance authority approved by Oversight Committee | NO | 08/16/17 |

FY 17 **CYCLE** 12

PROGRAM Academic Research

AWARD MECHANISM Recruitment of First-Time Faculty Members (RFTFM)

APPLICATION ID RR170076

APPLICATION TITLE

Nomination of Jun Wu, Ph.D. for Recruitment of a First-

Time Tenure Track Faculty Member Award

NOMINATOR NAME Fitz, John **CANDIDATE NAME** Wu, Jun

ORGANIZATION The University of Texas Southwestern Medical Center

PANEL NAME Recruitment FY17 Cycle 12 (REC 17.12)

| Category | Compliance Requirement | Information | Attestation Date |
|------------------------------------|--|-------------|------------------|
| | RFA Approved by CSO | 06/16/16 | 07/19/17 |
| | RFA published in Texas.gov eGrants | 06/29/16 | 07/19/17 |
| | CPRIT Application Receipt Cycle opened | 05/23/17 | 07/14/17 |
| 1. Pre-Receipt | CPRIT Application Receipt Cycle closed | 06/20/17 | 07/14/17 |
| | Date application submitted | 06/13/17 | 07/14/17 |
| | Method of submission | CARS | 07/14/17 |
| | Within receipt period | YES | 07/14/17 |
| | Administrative review notification | N/A | 07/14/17 |
| | Donation(s) made to CPRIT/foundation | NO | 07/14/17 |
| 2. Receipt, Referral, | Assigned to primary reviewers | 06/30/17 | 07/14/17 |
| and Assignment | Applicant notified of review panel assignment | N/A | 07/14/17 |
| | Primary Reviewer 1 COI signed | 06/26/17 | 07/14/17 |
| | Primary Reviewer 2 COI signed | 06/26/17 | 07/14/17 |
| | Primary Reviewer 1 critique submitted | 07/03/17 | 07/14/17 |
| | Primary Reviewer 2 critique submitted | 07/03/17 | 07/14/17 |
| | COI indicated by non-primary reviewer | NONE | 07/14/17 |
| | COI recused from participation | N/A | 07/14/17 |
| 3. Peer Review | Discussed at Peer Review Meeting | YES | 07/14/17 |
| Meeting | Peer Review Meeting | 07/13/17 | 07/14/17 |
| | Post review statements signed | 07/14/17 | 07/18/17 |
| | Third Party Observer Report | 7/13/2017 | 07/31/17 |
| | Score report delivered to CSO | 07/18/17 | 07/18/17 |
| | Recommended for SRC Review | YES | 07/14/17 |
| | COI indicated by SRC member | NONE | 07/14/17 |
| | COI recused from participation | N/A | 07/14/17 |
| 4. Final SRC | SRC Meeting | 07/13/17 | 07/14/17 |
| Recommendation | Third Party Observer Report | 07/13/17 | 07/31/17 |
| | Recommended for grant award | YES | 07/14/17 |
| | SRC Chair Notification to PIC and OC | 07/17/17 | 07/19/17 |
| | Candidate not accepted asst. prof. tenure track position prior to SRC date | YES | 07/27/17 |
| | COI indicated by PIC member | NONE | 08/01/17 |
| 5. PIC Review | COI recused from participation | N/A | 08/01/17 |
| | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | YES | 08/01/17 |
| 6. Oversight Committee Approval | CEO Notification to Oversight Committee | 08/03/17 | 08/16/17 |
| | COI indicated by Oversight Committee member | NONE | 08/16/17 |
| | COI recused from participation | N/A | 08/16/17 |
| | Donation(s) made to CPRIT/foundation | NO | 08/16/17 |
| | Presented to CPRIT Oversight Committee | 08/16/17 | 08/16/17 |
| | Award approved by Oversight Committee | YES | 08/16/17 |
| | Authority to advance funds requested | NO | 08/16/17 |
| | Advance authority approved by Oversight Committee | NO | 08/16/17 |

FY 17 **CYCLE** 12

PROGRAM Academic Research

AWARD MECHANISM Recruitment of First-Time Faculty Members (RFTFM)

APPLICATION ID RR170079

APPLICATION TITLE

Nomination of Bo Li, Ph.D. for a CPRIT Recruitment of a

First-Time, Tenure Track Faculty Member Award

NOMINATOR NAME Fitz, John **CANDIDATE NAME** Li, Bo

ORGANIZATION The University of Texas Southwestern Medical Center

PANEL NAME Recruitment FY17 Cycle 12 (REC 17.12)

| Category | Compliance Requirement | Information | Attestation Date |
|---------------------------------|--|-------------|------------------|
| | RFA Approved by CSO | 06/16/16 | 07/19/17 |
| | RFA published in Texas.gov eGrants | 06/29/16 | 07/19/17 |
| | CPRIT Application Receipt Cycle opened | 05/23/17 | 07/14/17 |
| 1. Pre-Receipt | CPRIT Application Receipt Cycle closed | 06/20/17 | 07/14/17 |
| | Date application submitted | 06/19/17 | 07/14/17 |
| | Method of submission | CARS | 07/14/17 |
| | Within receipt period | YES | 07/14/17 |
| | Administrative review notification | N/A | 07/14/17 |
| | Donation(s) made to CPRIT/foundation | NO | 07/14/17 |
| 2. Receipt, Referral, | Assigned to primary reviewers | 06/30/17 | 07/14/17 |
| and Assignment | Applicant notified of review panel assignment | N/A | 07/14/17 |
| | Primary Reviewer 1 COI signed | 06/23/17 | 07/14/17 |
| | Primary Reviewer 2 COI signed | 06/26/17 | 07/14/17 |
| | Primary Reviewer 1 critique submitted | 07/11/17 | 07/14/17 |
| | Primary Reviewer 2 critique submitted | 07/05/17 | 07/14/17 |
| | COI indicated by non-primary reviewer | NONE | 07/14/17 |
| | COI recused from participation | N/A | 07/14/17 |
| 3. Peer Review | Discussed at Peer Review Meeting | YES | 07/14/17 |
| Meeting | Peer Review Meeting | 07/13/17 | 07/14/17 |
| | Post review statements signed | 07/14/17 | 07/18/17 |
| | Third Party Observer Report | 7/13/2017 | 07/31/17 |
| | Score report delivered to CSO | 07/18/17 | 07/18/17 |
| | Recommended for SRC Review | YES | 07/14/17 |
| | COI indicated by SRC member | NONE | 07/14/17 |
| | COI recused from participation | N/A | 07/14/17 |
| 4. Final SRC | SRC Meeting | 07/13/17 | 07/14/17 |
| Recommendation | Third Party Observer Report | 07/13/17 | 07/31/17 |
| | Recommended for grant award | YES | 07/14/17 |
| | SRC Chair Notification to PIC and OC | 07/17/17 | 07/19/17 |
| | Candidate not accepted asst. prof. tenure track position prior to SRC date | YES | 07/27/17 |
| | COI indicated by PIC member | NONE | 08/01/17 |
| 5. PIC Review | COI recused from participation | N/A | 08/01/17 |
| | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | YES | 08/01/17 |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/16/17 |
| 6. Oversight Committee Approval | COI indicated by Oversight Committee member | NONE | 08/16/17 |
| | COI recused from participation | N/A | 08/16/17 |
| | Donation(s) made to CPRIT/foundation | NO | 08/16/17 |
| | Presented to CPRIT Oversight Committee | 08/16/17 | 08/16/17 |
| | Award approved by Oversight Committee | YES | 08/16/17 |
| | Authority to advance funds requested | NO | 08/16/17 |
| | Advance authority approved by Oversight Committee | NO | 08/16/17 |

FY 2017
CYCLE 1

PROGRAM Research

AWARD MECHANISM Individual Investigator Research Awards (IIRA)

APPLICATION ID RP170086

APPLICATION TITLE Tumor suppression, p53 and retrotransposons

APPLICANT NAME Abrams, John

ORGANIZATION The University of Texas Southwestern Medical Center

PANEL NAME 17.1 Basic Cancer Research-2 (17.1 BCR-2)

| Category | Compliance Requirement | Information | Attestation Date |
|--|--|---------------------|------------------|
| | RFA approved by CSO | 02/18/16 & 02/22/16 | 10/19/16 |
| | RFA published in Texas Register | 03/04/16 | 10/19/16 |
| | CPRIT Application Receipt System (CARS) opened | 03/21/16 | 10/17/16 |
| | CPRIT Application Receipt System (CARS) closed | 05/19/16 | 10/17/16 |
| 1. Pre-Receipt | Date application submitted | 05/18/16 | 10/17/16 |
| | Method of submission | CARS | 10/17/16 |
| | Within receipt period | YES | 10/17/16 |
| | Request for extension to submit application after CARS closed | N/A | 10/17/16 |
| | Request for extension for late application submission accepted | N/A | 10/17/16 |
| | Administrative review notification | N/A | 10/17/16 |
| | Donation(s) made to CPRIT/foundation | NO | 10/17/16 |
| | Assigned to primary reviewers | 07/25/16 | 10/17/16 |
| 2. Receipt, Referral, | Applicant notified of review panel assignment | 07/06/16 | 10/17/16 |
| and Assignment | Primary Reviewer 1 COI signed | 07/07/16 | 10/17/16 |
| | Primary Reviewer 2 COI signed | 07/05/16 | 10/17/16 |
| | Primary Reviewer 3 COI signed | 07/06/16 | 10/17/16 |
| | Primary (Advocate) Reviewer 4 COI signed | N/A | 10/17/16 |
| | Primary Reviewer 1 critique submitted | 08/14/16 | 10/17/16 |
| | Primary Reviewer 2 critique submitted | 08/17/16 | 10/17/16 |
| | Primary Reviewer 3 critique submitted | 08/08/16 | 10/17/16 |
| 3. Preliminary | Primary (Advocate) Reviewer 4 critique submitted | N/A | 10/17/16 |
| Evaluation | COI indicated by non-primary reviewer | NONE | 10/17/16 |
| | Preliminary Evaluation score summary sent to Chair | 08/16/16 | 10/17/16 |
| | Recommended for full review | YES | 10/17/16 |
| | Applicant notified of outcome | 09/14/16 | 10/17/16 |
| | Assigned to primary reviewers | 07/20/16 | 10/17/16 |
| | Primary Reviewer 1 COI signed | 07/07/16 | 10/17/16 |
| | Primary Reviewer 2 COI signed | 07/05/16 | 10/17/16 |
| | Primary Reviewer 3 COI signed | 07/06/16 | 10/17/16 |
| | Primary (Advocate) Reviewer 4 COI signed | 08/22/16 | 10/17/16 |
| | Primary Reviewer 1 critique submitted | 09/10/16 | 10/17/16 |
| | Primary Reviewer 2 critique submitted | 09/19/16 | 10/17/16 |
| | Primary Reviewer 3 critique submitted | 09/19/16 | 10/17/16 |
| 4. Peer Review | Primary (Advocate) Reviewer 4 critique submitted | 09/12/16 | 10/17/16 |
| Meeting | COI indicated by non-primary reviewer | NONE | 10/17/16 |
| | COI recused from participation | N/A | 10/17/16 |
| | Discussed at Peer Review Meeting | YES | 10/17/16 |
| | Peer Review Meeting | 09/22/16 | 10/17/16 |
| | Post review statements signed | 10/05/16 | 10/17/16 |
| | Third Party Observer Report | 09/28/16 | 10/17/16 |
| | Score report delivered to CSO | 10/10/16 | 10/17/16 |
| | Recommended for SRC Review | YES | 10/17/16 |
| | COI indicated by SRC member | NONE | 10/17/16 |
| | COI recused from participation | N/A | 10/17/16 |
| 5. Final SRC | SRC Meeting | 10/13/16 | 10/17/16 |
| Recommendation | Third Party Observer Report | 10/24/16 | 10/24/16 |
| | Recommended for grant award | YES | 10/17/16 |
| | SRC Chair Notification to PIC and OC | 10/24/16 | 10/24/16 |
| | COI indicated by PIC member | NONE | 10/28/16 |
| | COI recused from participation | N/A | 10/28/16 |
| 6. PIC Review | PIC review meeting | 10/28/16 | 10/28/16 |
| | Recommended for grant award | NO* | 10/28/16 |
| | COI indicated by PIC member | NONE | 08/01/17 |
| | COI recused from participation | N/A | 08/01/17 |
| 6. PIC Review | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | YES | 08/01/17 |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/16/17 |
| 7. Oversight Committee Approval | COI indicated by Oversight Committee member | NONE | 08/16/17 |
| | COI recused from participation | N/A | 08/16/17 |
| | Donation(s) made to CPRIT/foundation | NO NO | 08/16/17 |
| | Presented to CPRIT Oversight Committee | 08/16/17 | 08/16/17 |
| The state of the s | Award approved by Oversight Committee | YES | 08/16/17 |
| | Authority to advance funds requested | NO NO | 08/16/17 |
| | Advance authority approved by Oversight Committee | NO | 08/16/17 |
| | | | |

^{*}The PIC deferred this application to a future FY2017 meeting.

FY 2017 **CYCLE** 1

PROGRAM Research

AWARD MECHANISM Individual Investigator Research Awards (IIRA)

APPLICATION ID RP170090

APPLICATION TITLE

Novel Regulation and Function of TAK1 in Mutant Kras-driven

Development of Pancreatic Ductal Adenocarcinoma

APPLICANT NAME Chiao, Paul

ORGANIZATION The University of Texas M. D. Anderson Cancer Center

PANEL NAME 17.1 Basic Cancer Research-2 (17.1 BCR-2)

| Category | Compliance Requirement | Information | Attestation Date |
|-----------------------|--|----------------------|----------------------|
| | RFA approved by CSO | 02/18/16 & 02/22/16 | 10/19/16 |
| | RFA published in Texas Register | 03/04/16 | 10/19/16 |
| | CPRIT Application Receipt System (CARS) opened | 03/21/16 | 10/17/16 |
| | CPRIT Application Receipt System (CARS) closed | 05/19/16 | 10/17/16 |
| 1. Pre-Receipt | Date application submitted | 05/14/16 | 10/17/16 |
| | Method of submission | CARS | 10/17/16 |
| | Within receipt period | YES | 10/17/16 |
| | Request for extension to submit application after CARS closed | N/A | 10/17/16 |
| | Request for extension for late application submission accepted | N/A | 10/17/16 |
| | Administrative review notification | N/A | 10/17/16 |
| | Donation(s) made to CPRIT/foundation | NO | 10/17/16 |
| | Assigned to primary reviewers | 07/25/16 | 10/17/16 |
| 2. Receipt, Referral, | Applicant notified of review panel assignment | 07/06/16 | 10/17/16 |
| and Assignment | Primary Reviewer 1 COI signed | 06/20/16 | 10/17/16 |
| | Primary Reviewer 2 COI signed | 07/06/16 | 10/17/16 |
| | Primary Reviewer 3 COI signed | 06/20/16 | 10/17/16 |
| | Primary (Advocate) Reviewer 4 COI signed | N/A | 10/17/16 |
| | Primary Reviewer 1 critique submitted | 07/30/16 | 10/17/16 |
| | Primary Reviewer 2 critique submitted | 08/08/16 | 10/17/16 |
| a posti | Primary Reviewer 3 critique submitted | 08/03/16 | 10/17/16 |
| 3. Preliminary | Primary (Advocate) Reviewer 4 critique submitted | N/A | 10/17/16 |
| Evaluation | COI indicated by non-primary reviewer | NONE | 10/17/16 |
| | Preliminary Evaluation score summary sent to Chair | 08/16/16 | 10/17/16 |
| | Recommended for full review | YES | 10/17/16 |
| | Applicant notified of outcome | 09/14/16 | 10/17/16 |
| | Assigned to primary reviewers Primary Reviewer 1 COI signed | 07/20/16 06/20/16 | 10/17/16 10/17/16 |
| | Primary Reviewer 2 COI signed | 07/06/16 | 10/17/16 |
| | Primary Reviewer 2 COI signed | 06/20/16 | 10/17/16 |
| | Primary (Advocate) Reviewer 4 COI signed | 08/15/16 | 10/17/16 |
| | Primary Reviewer 1 critique submitted | 09/03/16 | 10/17/16 |
| | Primary Reviewer 2 critique submitted | 09/17/16 | 10/17/16 |
| | Primary Reviewer 3 critique submitted | 09/13/16 | 10/17/16 |
| 4. Peer Review | Primary (Advocate) Reviewer 4 critique submitted | 09/14/16 | 10/17/16 |
| Meeting | COI indicated by non-primary reviewer | NONE | 10/17/16 |
| | COI recused from participation | N/A | 10/17/16 |
| | Discussed at Peer Review Meeting | YES | 10/17/16 |
| | Peer Review Meeting | 09/22/16 | 10/17/16 |
| | Post review statements signed | 10/05/16 | 10/17/16 |
| | Third Party Observer Report | 09/28/16 | 10/17/16 |
| | Score report delivered to CSO | 10/10/16 | 10/17/16 |
| | Recommended for SRC Review | YES | 10/17/16 |
| | COI indicated by SRC member | NONE | 10/17/16 |
| | COI recused from participation | N/A | 10/17/16 |
| 5. Final SRC | SRC Meeting | 10/13/16 | 10/17/16 |
| Recommendation | Third Party Observer Report | 10/24/16 | 10/24/16 |
| | Recommended for grant award | YES | 10/17/16 |
| | SRC Chair Notification to PIC and OC | 10/24/16 | 10/24/16 |
| | COI reguest from participation | NONE | 10/28/16 |
| 6. PIC Review | COI recused from participation | N/A | 10/28/16 |
| | PIC review meeting | 10/28/16 | 10/28/16 |
| | Recommended for grant award | NO* NONE | 10/28/16 08/01/17 |
| | COI indicated by PIC member COI recused from participation | N/A | 08/01/17 |
| 6. PIC Review | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | YES | 08/01/17 |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/01/17 |
| | COI indicated by Oversight Committee member | NONE | 08/16/17 |
| 7. Oversight | COI recused from participation | N/A | 08/16/17 |
| | Donation(s) made to CPRIT/foundation | NO | 08/16/17 |
| Committee Approval | Presented to CPRIT Oversight Committee | 08/16/17 | 08/16/17 |
| P | Award approved by Oversight Committee | YES | 08/16/17 |
| | Authority to advance funds requested | NO | 08/16/17 |
| | Advance authority approved by Oversight Committee | NO | 08/16/17 |
| | *The PIC deferred this application to a future EY2017 meeting | | |

^{*}The PIC deferred this application to a future FY2017 meeting.

FY 2017 **CYCLE** 1

PROGRAM Research

AWARD MECHANISM Individual Investigator Research Awards (IIRA)

APPLICATION ID RP170172

Targeting Therapy Resistance using Epithelial to Mesenchymal
APPLICATION TITLE Transition (EMT) Pathways in Preclinical Claudin Low Breast

Cancer Models

APPLICANT NAME Rosen, Jeffrey

ORGANIZATIONBaylor College of MedicinePANEL NAME17.1 Cancer Biology (17.1 CB)

| Category | Compliance Requirement | Information | Attestation Date |
|-----------------------|---|---|----------------------|
| | RFA approved by CSO | 02/18/16 & 02/22/16 | 10/19/16 |
| | RFA published in Texas Register | 03/04/16 | 10/19/16 |
| | CPRIT Application Receipt System (CARS) opened | 03/21/16 | 10/19/16 |
| | CPRIT Application Receipt System (CARS) closed | 05/19/16 | 10/19/16 |
| 1. Pre-Receipt | Date application submitted | 05/16/16 | 10/19/16 |
| | Method of submission | CARS | 10/19/16 |
| | Within receipt period | YES | 10/19/16 |
| | Request for extension to submit application after CARS closed | N/A | 10/19/16 |
| | Request for extension for late application submission accepted | N/A | 10/19/16 |
| | Administrative review notification | N/A | 10/19/16 |
| | Donation(s) made to CPRIT/foundation | NO | 10/19/16 |
| | Assigned to primary reviewers | 08/16/16 | 10/19/16 |
| 2. Receipt, Referral, | Applicant notified of review panel assignment | 07/06/16 | 10/19/16 |
| and Assignment | Primary Reviewer 1 COI signed | 06/26/16 | 10/19/16 |
| | Primary Reviewer 2 COI signed | 06/23/16 | 10/19/16 |
| | Primary Reviewer 3 COI signed | 08/05/16 | 10/19/16 |
| | Primary (Advocate) Reviewer 4 COI signed | 07/06/16 | 10/19/16 |
| | Primary Reviewer 1 critique submitted | N/A | 10/19/16 |
| | Primary Reviewer 2 critique submitted | N/A | 10/19/16 |
| | Primary Reviewer 3 critique submitted | N/A | 10/19/16 |
| 3. Preliminary | Primary (Advocate) Reviewer 4 critique submitted | N/A | 10/19/16 |
| Evaluation | COI indicated by non-primary reviewer | N/A | 10/19/16 |
| | Preliminary Evaluation score summary sent to Chair | N/A | 10/19/16 |
| | Recommended for full review | N/A | 10/19/16 |
| | Applicant notified of outcome | N/A | 10/19/16 |
| | Assigned to primary reviewers | 08/16/16 | 10/19/16 |
| | Primary Reviewer 1 COI signed | 06/26/16 | 10/19/16 |
| | Primary Reviewer 2 COI signed | 06/23/16 | 10/19/16 |
| | Primary Reviewer 3 COI signed | 08/05/16 | 10/19/16 |
| | Primary (Advocate) Reviewer 4 COI signed | 07/06/16 | 10/19/16 |
| | Primary Reviewer 1 critique submitted | 09/04/16 | 10/19/16 |
| | Primary Reviewer 2 critique submitted | 08/26/16 | 10/19/16 |
| | Primary Reviewer 3 critique submitted | 09/14/16 | 10/19/16 |
| 4. Peer Review | Primary (Advocate) Reviewer 4 critique submitted | 09/01/16 | 10/19/16 |
| Meeting | COI indicated by non-primary reviewer | NONE | 10/19/16 |
| | COI recused from participation | N/A | 10/19/16 |
| | Discussed at Peer Review Meeting | YES | 10/19/16 |
| | Peer Review Meeting | 09/23/16 | 10/19/16 |
| | Post review statements signed | 09/26/16 | 10/19/16 |
| | Third Party Observer Report | 09/28/16 | 10/19/16 |
| | Score report delivered to CSO | 10/10/16 | 10/19/16 |
| | Recommended for SRC Review | YES | 10/19/16 |
| | COI indicated by SRC member | NONE | 10/19/16 |
| | COI recused from participation | N/A | 10/19/16 |
| 5. Final SRC | SRC Meeting | 10/13/16 | 10/19/16 |
| Recommendation | Third Party Observer Report | 10/13/16 | 10/19/16 |
| | Recommended for grant award | YES | 10/24/16 |
| | SRC Chair Notification to PIC and OC | 10/24/16 | 10/19/16 |
| | COI indicated by PIC member | NONE | 10/24/16 |
| | COI recused from participation | N/A | 10/28/16 |
| 6. PIC Review | PIC review meeting | 10/28/16 | 10/28/16 |
| | | NO* | |
| | Recommended for grant award | | 10/28/16 |
| | COI recused from participation | NONE N/A | 08/01/17 |
| 6. PIC Review | COI recused from participation | N/A | 08/01/17 |
| | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | YES AND | 08/01/17 |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/16/17 |
| | COI indicated by Oversight Committee member | NONE | 08/16/17 |
| | COI recused from participation | N/A | 08/16/17 |
| 7. Oversight | Donation(s) made to CPRIT/foundation | NO | 08/16/17 |
| Committee Approval | Presented to CPRIT Oversight Committee | 08/16/17 | 08/16/17 |
| | Award approved by Oversight Committee | YES | 08/16/17 |
| | | | |
| | Authority to advance funds requested Advance authority approved by Oversight Committee | NO NO | 08/16/17 08/16/17 |

^{*}The PIC deferred this application to a future FY2017 meeting.

FY 2017 **CYCLE** 1

PROGRAM Research

AWARD MECHANISM Early Translational Research Awards (ETRA)

APPLICATION ID RP170179

APPLICATION TITLE

Chemoablation of High-Risk Oral Premalignant Lesions for
Suctained Cancer Provention

Sustained Cancer Prevention

APPLICANT NAME Tsai, Robert

ORGANIZATION Texas A&M University System Health Science Center
PANEL NAME 17.1 Imaging Technology and Informatics (17.1 ITI)

| Category | Compliance Requirement | Information | Attestation Date |
|--------------------------------------|--|----------------------|----------------------|
| | RFA approved by CSO | 02/18/16 & 02/22/16 | 10/19/16 |
| | RFA published in Texas Register | 03/04/16 | 10/19/16 |
| | CPRIT Application Receipt System (CARS) opened | 03/21/16 | 10/19/16 |
| | CPRIT Application Receipt System (CARS) closed | 05/19/16 | 10/19/16 |
| 1. Pre-Receipt | Date application submitted | 05/19/16 | 10/19/16 |
| | Method of submission | CARS | 10/19/16 |
| | Within receipt period | YES | 10/19/16 |
| | Request for extension to submit application after CARS closed | N/A | 10/19/16 |
| | Request for extension for late application submission accepted | N/A | 10/19/16 |
| | Administrative review notification | N/A | 10/19/16 |
| | Donation(s) made to CPRIT/foundation | NO | 10/19/16 |
| 2 Descint Deferred | Assigned to primary reviewers | 08/17/16 | 10/19/16 |
| 2. Receipt, Referral, and Assignment | Applicant notified of review panel assignment Primary Reviewer 1 COI signed | 07/06/16 07/07/16 | 10/19/16 10/19/16 |
| and Assignment | Primary Reviewer 1 COI signed Primary Reviewer 2 COI signed | 06/24/16 | 10/19/16 |
| | Primary Reviewer 2 COI signed Primary Reviewer 3 COI signed | 07/05/16 | 10/19/16 |
| | Primary (Advocate) Reviewer 4 COI signed | 06/20/16 | 10/19/16 |
| | Primary Reviewer 1 critique submitted | N/A | 10/19/16 |
| | Primary Reviewer 1 critique submitted Primary Reviewer 2 critique submitted | N/A | 10/19/16 |
| | Primary Reviewer 2 critique submitted | N/A | 10/19/16 |
| 3. Preliminary | Primary (Advocate) Reviewer 4 critique submitted | N/A | 10/19/16 |
| Evaluation | COI indicated by non-primary reviewer | N/A | 10/19/16 |
| | Preliminary Evaluation score summary sent to Chair | N/A | 10/19/16 |
| | Recommended for full review | N/A | 10/19/16 |
| | Applicant notified of outcome | N/A | 10/19/16 |
| | Assigned to primary reviewers | 08/17/16 | 10/19/16 |
| | Primary Reviewer 1 COI signed | 07/07/16 | 10/19/16 |
| | Primary Reviewer 2 COI signed | 06/24/16 | 10/19/16 |
| | Primary Reviewer 3 COI signed | 07/05/16 | 10/19/16 |
| | Primary (Advocate) Reviewer 4 COI signed | 06/20/16 | 10/19/16 |
| | Primary Reviewer 1 critique submitted | 09/24/16 | 10/19/16 |
| | Primary Reviewer 2 critique submitted | 09/17/16 | 10/19/16 |
| | Primary Reviewer 3 critique submitted | 09/23/16 | 10/19/16 |
| 4. Peer Review | Primary (Advocate) Reviewer 4 critique submitted | 09/01/16 | 10/19/16 |
| Meeting | COI indicated by non-primary reviewer | NONE | 10/19/16 |
| | COI recused from participation | N/A | 10/19/16 |
| | Discussed at Peer Review Meeting | YES | 10/19/16 |
| | Peer Review Meeting | 09/26/16 | 10/19/16 |
| | Post review statements signed | 10/05/16 | 10/19/16 |
| | Third Party Observer Report | 10/05/16 | 10/19/16 |
| | Score report delivered to CSO | 10/10/16 | 10/19/16 |
| | Recommended for SRC Review | YES | 10/19/16 |
| | COI indicated by SRC member | NONE | 10/19/16 |
| | COI recused from participation | N/A | 10/19/16 |
| 5. Final SRC | SRC Meeting | 10/13/16 | 10/19/16 |
| Recommendation | Third Party Observer Report | 10/24/16 | 10/24/16 |
| | Recommended for grant award | YES | 10/19/16 |
| | SRC Chair Notification to PIC and OC | 10/24/16 | 10/24/16 |
| | COI indicated by PIC member | NONE | 10/28/16 |
| 6. PIC Review | COI recused from participation | N/A | 10/28/16 |
| | PIC review meeting | 10/28/16 | 10/28/16 |
| | Recommended for grant award | NO* | 10/28/16 |
| | COI reguest from participation | NONE | 08/01/17 |
| 6. PIC Review | COI recused from participation | N/A | 08/01/17 |
| | PIC review meeting Recommended for grant award | 08/01/17 YES | 08/01/17 |
| | Recommended for grant award CEO Notification to Oversight Committee | 08/03/17 | 08/01/17 08/16/17 |
| | | NONE | |
| 7 Oversight | COI indicated by Oversight Committee member COI recused from participation | N/A | 08/16/17 08/16/17 |
| | Donation(s) made to CPRIT/foundation | NO NO | 08/16/17 |
| 7. Oversight Committee Approval | Presented to CPRIT Oversight Committee | 08/16/17 | 08/16/17 |
| Committee Approval | Award approved by Oversight Committee | YES | 08/16/17 |
| | Authority to advance funds requested | NO NO | 08/16/17 |
| | Advance authority approved by Oversight Committee | NO | 08/16/17 |
| | *The PIC deferred this application to a future FY2017 meeting | | 00/10/1/ |

^{*}The PIC deferred this application to a future FY2017 meeting.

FY 2017 **CYCLE** 1

PROGRAM Research

AWARD MECHANISM Individual Investigator Research Awards (IIRA)

APPLICATION ID RP170180

APPLICATION TITLE

Mechanistic Roles of Long Non-Coding RNA in Glioblastoma

Development and Treatment

APPLICANT NAME Huang, Suyun

ORGANIZATION The University of Texas M. D. Anderson Cancer Center

PANEL NAME 17.1 Basic Cancer Research-1 (17.1 BCR-1)

| Category | Compliance Requirement | Information | Attestation Date |
|------------------------------------|---|---------------------|----------------------|
| | RFA approved by CSO | 02/18/16 & 02/22/16 | 10/19/16 |
| | RFA published in Texas Register | 03/04/16 | 10/19/16 |
| | CPRIT Application Receipt System (CARS) opened | 03/21/16 | 10/17/16 |
| | CPRIT Application Receipt System (CARS) closed | 05/19/16 | 10/17/16 |
| 1. Pre-Receipt | Date application submitted | 05/18/16 | 10/17/16 |
| | Method of submission | CARS | 10/17/16 |
| | Within receipt period | YES | 10/17/16 |
| | Request for extension to submit application after CARS closed | N/A | 10/17/16 |
| | Request for extension for late application submission accepted | N/A | 10/17/16 |
| | Administrative review notification | N/A | 10/17/16 |
| | Donation(s) made to CPRIT/foundation | NO | 10/17/16 |
| | Assigned to primary reviewers | 07/19/16 | 10/17/16 |
| 2. Receipt, Referral, | Applicant notified of review panel assignment | 07/06/16 | 10/17/16 |
| and Assignment | Primary Reviewer 1 COI signed | 06/30/16 | 10/17/16 |
| | Primary Reviewer 2 COI signed | 06/20/16 | 10/17/16 |
| | Primary Reviewer 3 COI signed | 07/11/16 | 10/17/16 |
| | Primary (Advocate) Reviewer 4 COI signed | N/A | 10/17/16 |
| | Primary Reviewer 1 critique submitted | 08/05/16 | 10/17/16 |
| | Primary Reviewer 2 critique submitted | 08/04/16 | 10/17/16 |
| | Primary Reviewer 3 critique submitted | 08/08/16 | 10/17/16 |
| 3. Preliminary | Primary (Advocate) Reviewer 4 critique submitted | N/A | 10/17/16 |
| Evaluation | COI indicated by non-primary reviewer | Swanson, Kristin | 10/17/16 |
| | Preliminary Evaluation score summary sent to Chair | 08/10/16 | 10/17/16 |
| | Recommended for full review | YES | 10/17/16 |
| | Applicant notified of outcome | 09/14/16 | 10/17/16 |
| | Assigned to primary reviewers | 08/19/16 | 10/17/16 |
| | Primary Reviewer 1 COI signed | 06/20/16 | 10/17/16 |
| | Primary Reviewer 2 COI signed | 07/05/16 | 10/17/16 |
| | Primary Reviewer 3 COI signed | 07/11/16 | 10/17/16 |
| | Primary (Advocate) Reviewer 4 COI signed | 06/20/16 | 10/17/16 |
| | Primary Reviewer 1 critique submitted | 08/30/16 | 10/17/16 |
| | Primary Reviewer 2 critique submitted | 09/07/16 | 10/17/16 |
| | Primary Reviewer 3 critique submitted | 09/20/16 | 10/17/16 |
| 4. Peer Review | Primary (Advocate) Reviewer 4 critique submitted | 09/02/16 | 10/17/16 |
| Meeting | COI indicated by non-primary reviewer | Swanson, Kristin | 10/17/16 |
| | COI recused from participation | YES | 10/17/16 |
| | Discussed at Peer Review Meeting | YES | 10/17/16 |
| | Peer Review Meeting | 09/21/16 | 10/17/16 |
| | Post review statements signed | 09/21/16 | 10/17/16 |
| | Third Party Observer Report | 09/28/16 | 10/17/16 |
| | Score report delivered to CSO | 10/10/16 | 10/17/16 |
| | Recommended for SRC Review | YES | 10/17/16 |
| | COI indicated by SRC member | NONE | 10/17/16 |
| | COI recused from participation | N/A | 10/17/16 |
| 5. Final SRC | SRC Meeting | 10/13/16 | 10/17/16 |
| Recommendation | Third Party Observer Report | 10/24/16 | 10/24/16 |
| | Recommended for grant award | YES | 10/17/16 |
| | SRC Chair Notification to PIC and OC | 10/24/16 | 10/24/16 |
| | COI indicated by PIC member | NONE | 10/28/16 |
| 6. PIC Review | COI recused from participation | N/A | 10/28/16 |
| 6. PIC Review | PIC review meeting | 10/28/16 | 10/28/16 |
| | Recommended for grant award | NO* | 10/28/16 |
| | COI indicated by PIC member | NONE | 08/01/17 |
| 6 DIC Davis | COI recused from participation | N/A | 08/01/17 |
| 6. PIC Review | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | YES | 08/01/17 |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/17/17 |
| | COI indicated by Oversight Committee member | NONE | 08/17/17 |
| 7. Oversight Committee Approval | COI recused from participation | N/A | 08/17/17 |
| | Donation(s) made to CPRIT/foundation | NO | 08/17/17 |
| | Presented to CPRIT Oversight Committee | 08/16/17 | 08/17/17 |
| | | | |
| committee Approva | Award approved by Oversight Committee | YES | 08/17/17 |
| committee Approva | Award approved by Oversight Committee Authority to advance funds requested | YES NO | 08/17/17 08/17/17 |

^{*}The PIC deferred this application to a future FY2017 meeting.

FY 2017 **CYCLE** 1

PROGRAM Research

AWARD MECHANISM Individual Investigator Research Awards (IIRA)

APPLICATION ID RP170267

APPLICATION TITLE

Chemically Based Disruption of Oncogenic Beta-Catenin Activity in

APPLICANT NAME Liver Tissue
Liver Tissue
Lum, Lawrence

ORGANIZATION The University of Texas Southwestern Medical Center

PANEL NAME 17.1 Basic Cancer Research-1 (17.1 BCR-1)

| Category | Compliance Requirement | Information | Attestation Date |
|---------------------------|---|---------------------|----------------------|
| | RFA approved by CSO | 02/18/16 & 02/22/16 | 10/19/16 |
| | RFA published in Texas Register | 03/04/16 | 10/19/16 |
| | CPRIT Application Receipt System (CARS) opened | 03/21/16 | 10/17/16 |
| | CPRIT Application Receipt System (CARS) closed | 05/19/16 | 10/17/16 |
| 1. Pre-Receipt | Date application submitted | 05/18/16 | 10/17/16 |
| | Method of submission | CARS | 10/17/16 |
| | Within receipt period | YES | 10/17/16 |
| | Request for extension to submit application after CARS closed | N/A | 10/17/16 |
| | Request for extension for late application submission accepted | N/A | 10/17/16 |
| | Administrative review notification | N/A | 10/17/16 |
| | Donation(s) made to CPRIT/foundation | NO | 10/17/16 |
| | Assigned to primary reviewers | 07/19/16 | 10/17/16 |
| 2. Receipt, Referral, | Applicant notified of review panel assignment | 07/06/16 | 10/17/16 |
| and Assignment | Primary Reviewer 1 COI signed | 06/20/16 | 10/17/16 |
| | Primary Reviewer 2 COI signed | 07/11/16 | 10/17/16 |
| | Primary Reviewer 3 COI signed | 06/27/16 | 10/17/16 |
| | Primary (Advocate) Reviewer 4 COI signed | N/A | 10/17/16 |
| | Primary Reviewer 1 critique submitted | 08/01/16 | 10/17/16 |
| | Primary Reviewer 2 critique submitted Primary Reviewer 3 critique submitted | 08/08/16 | 10/17/16 |
| 2 Proliminary | Primary (Advocate) Reviewer 4 critique submitted | 08/01/16 N/A | 10/17/16 10/17/16 |
| 3. Preliminary Evaluation | | • | |
| Evaluation | COI indicated by non-primary reviewer Preliminary Evaluation score summary sent to Chair | NONE 08/10/16 | 10/17/16 10/17/16 |
| | Recommended for full review | YES | 10/17/16 |
| | Applicant notified of outcome | 09/14/16 | 10/17/16 |
| | Assigned to primary reviewers | 08/19/16 | 10/17/16 |
| | Primary Reviewer 1 COI signed | 06/20/16 | 10/17/16 |
| | Primary Reviewer 2 COI signed | 07/11/16 | 10/17/16 |
| | Primary Reviewer 3 COI signed | 08/01/16 | 10/17/16 |
| | Primary (Advocate) Reviewer 4 COI signed | 06/20/16 | 10/17/16 |
| | Primary Reviewer 1 critique submitted | 09/08/16 | 10/17/16 |
| | Primary Reviewer 2 critique submitted | 09/20/16 | 10/17/16 |
| | Primary Reviewer 3 critique submitted | 09/06/16 | 10/17/16 |
| 4. Peer Review | Primary (Advocate) Reviewer 4 critique submitted | 09/09/16 | 10/17/16 |
| Meeting | COI indicated by non-primary reviewer | NONE | 10/17/16 |
| | COI recused from participation | N/A | 10/17/16 |
| | Discussed at Peer Review Meeting | YES | 10/17/16 |
| | Peer Review Meeting | 09/21/16 | 10/17/16 |
| | Post review statements signed | 09/21/16 | 10/17/16 |
| | Third Party Observer Report | 09/28/16 | 10/17/16 |
| | Score report delivered to CSO | 10/10/16 | 10/17/16 |
| | Recommended for SRC Review | YES | 10/17/16 |
| | COI indicated by SRC member | NONE | 10/17/16 |
| | COI recused from participation | N/A | 10/17/16 |
| 5. Final SRC | SRC Meeting | 10/13/16 | 10/17/16 |
| Recommendation | Third Party Observer Report | 10/24/16 | 10/24/16 |
| | Recommended for grant award | YES | 10/17/16 |
| | SRC Chair Notification to PIC and OC | 10/24/16 | 10/24/16 |
| | COI indicated by PIC member | NONE | 10/28/16 |
| 6. PIC Review | COI recused from participation | N/A | 10/28/16 |
| | PIC review meeting | 10/28/16 | 10/28/16 |
| | Recommended for grant award | NO* | 10/28/16 |
| 6. PIC Review | COI indicated by PIC member COI recused from participation | NONE N/A | 08/01/17 08/01/17 |
| | | · · | 1 1 |
| | PIC review meeting Recommended for grant award | 08/01/17 YES | 08/01/17 08/01/17 |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/01/17 |
| | COI indicated by Oversight Committee | NONE | 08/16/17 |
| | COI recused from participation | N/A | 08/16/17 |
| 7. Oversight | Donation(s) made to CPRIT/foundation | NO | 08/16/17 |
| Committee Approval | Presented to CPRIT Oversight Committee | 08/16/17 | 08/16/17 |
| - April 1 | Award approved by Oversight Committee | YES | 08/16/17 |
| | Authority to advance funds requested | NO | 08/16/17 |
| | Advance authority approved by Oversight Committee | NO | 08/16/17 |
| | *The DIC deferred this application to a future EV2017 repeting | | ,, |

^{*}The PIC deferred this application to a future FY2017 meeting.

FY 2017 **CYCLE** 1

PROGRAM Research

AWARD MECHANISM Early Translational Research Awards (ETRA)

APPLICATION ID RP170333

APPLICATION TITLE Targeting ubiquitination for cancer therapy

APPLICANT NAME Zhang, Shuxing

ORGANIZATION The University of Texas M. D. Anderson Cancer Center

PANEL NAME 17.1 Cancer Biology (17.1 CB)

| Category | Compliance Requirement | Information | Attestation Date |
|-----------------------------|--|---------------------|------------------|
| | RFA approved by CSO | 02/18/16 & 02/22/16 | 10/19/16 |
| | RFA published in Texas Register | 03/04/16 | 10/19/16 |
| | CPRIT Application Receipt System (CARS) opened | 03/21/16 | 10/19/16 |
| | CPRIT Application Receipt System (CARS) closed | 05/19/16 | 10/19/16 |
| 1. Pre-Receipt | Date application submitted | 05/18/16 | 10/19/16 |
| | Method of submission | CARS | 10/19/16 |
| | Within receipt period | YES | 10/19/16 |
| | Request for extension to submit application after CARS closed | N/A | 10/19/16 |
| | Request for extension for late application submission accepted | N/A | 10/19/16 |
| | Administrative review notification | N/A | 10/19/16 |
| | Donation(s) made to CPRIT/foundation | NO | 10/19/16 |
| | Assigned to primary reviewers | 08/16/16 | 10/19/16 |
| 2. Receipt, Referral, | Applicant notified of review panel assignment | 07/06/16 | 10/19/16 |
| and Assignment | Primary Reviewer 1 COI signed | 08/05/16 | 10/19/16 |
| | Primary Reviewer 2 COI signed | 06/27/16 | 10/19/16 |
| | Primary Reviewer 3 COI signed | 06/23/16 | 10/19/16 |
| | Primary (Advocate) Reviewer 4 COI signed | 06/20/16 | 10/19/16 |
| | Primary Reviewer 1 critique submitted | N/A | 10/19/16 |
| | Primary Reviewer 2 critique submitted | N/A | 10/19/16 |
| | Primary Reviewer 3 critique submitted | N/A | 10/19/16 |
| 3. Preliminary | Primary (Advocate) Reviewer 4 critique submitted | N/A | 10/19/16 |
| Evaluation | COI indicated by non-primary reviewer | N/A | 10/19/16 |
| | Preliminary Evaluation score summary sent to Chair | N/A | 10/19/16 |
| | Recommended for full review | N/A | 10/19/16 |
| | Applicant notified of outcome | N/A | 10/19/16 |
| | Assigned to primary reviewers | 08/16/16 | 10/19/16 |
| | Primary Reviewer 1 COI signed | 08/05/16 | 10/19/16 |
| | Primary Reviewer 2 COI signed | 06/27/16 | 10/19/16 |
| | Primary Reviewer 3 COI signed | 06/23/16 | 10/19/16 |
| | Primary (Advocate) Reviewer 4 COI signed | 06/20/16 | 10/19/16 |
| | Primary Reviewer 1 critique submitted | 09/13/16 | 10/19/16 |
| | Primary Reviewer 2 critique submitted | 09/17/16 | 10/19/16 |
| | Primary Reviewer 3 critique submitted | 08/29/16 | 10/19/16 |
| 4. Peer Review | Primary (Advocate) Reviewer 4 critique submitted | 09/19/16 | 10/19/16 |
| Meeting | COI indicated by non-primary reviewer | NONE | 10/19/16 |
| | COI recused from participation | N/A | 10/19/16 |
| | Discussed at Peer Review Meeting | YES | 10/19/16 |
| | Peer Review Meeting | 09/23/16 | 10/19/16 |
| | | 09/23/16 | 10/19/16 |
| | Post review statements signed | | |
| | Third Party Observer Report | 09/28/16 | 10/19/16 |
| | Score report delivered to CSO Recommended for SRC Review | 10/10/16 YES | 10/19/16 |
| | | | 10/19/16 |
| | COI indicated by SRC member | NONE N/A | 10/19/16 |
| E Final CDC | COI recused from participation | N/A | 10/19/16 |
| 5. Final SRC Recommendation | SRC Meeting Third Party Observer Penert | 10/13/16 | 10/19/16 |
| Recommendation | Third Party Observer Report | 10/24/16 | 10/24/16 |
| | Recommended for grant award | YES | 10/19/16 |
| | SRC Chair Notification to PIC and OC | 10/24/16 | 10/24/16 |
| | COI indicated by PIC member | NONE | 10/28/16 |
| 6. PIC Review | COI recused from participation | N/A | 10/28/16 |
| | PIC review meeting | 10/28/16 | 10/28/16 |
| | Recommended for grant award | NO* | 10/28/16 |
| | COI indicated by PIC member | NONE | 08/01/17 |
| 6. PIC Review | COI recused from participation | N/A | 08/01/17 |
| | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | YES | 08/01/17 |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/16/17 |
| | COI indicated by Oversight Committee member | NONE | 08/16/17 |
| | COI recused from participation | N/A | 08/16/17 |
| 7. Oversight | Donation(s) made to CPRIT/foundation | NO | 08/16/17 |
| Committee Approval | Presented to CPRIT Oversight Committee | 08/16/17 | 08/16/17 |
| | Award approved by Oversight Committee | YES | 08/16/17 |
| | Authority to advance funds requested | NO | 08/16/17 |
| | Advance authority approved by Oversight Committee | NO | 08/16/17 |

^{*}The PIC deferred this application to a future FY2017 meeting.

FY 2017 **CYCLE** 1

PROGRAM Research

AWARD MECHANISM Individual Investigator Research Awards (IIRA)

APPLICATION ID RP170373

APPLICATION TITLE HTS for Covalent GTP-Competitive Inhibitors of KRAS G12C

APPLICANT NAME Westover, Kenneth

ORGANIZATION The University of Texas Southwestern Medical Center

PANEL NAME 17.1 Basic Cancer Research-1 (17.1 BCR-1)

| Compliance Requirement | Information | Attestation Date |
|---|--|--|
| RFA approved by CSO | 02/18/16 & 02/22/16 | 10/19/16 |
| RFA published in Texas Register | 03/04/16 | 10/19/16 |
| CPRIT Application Receipt System (CARS) opened | 03/21/16 | 10/17/16 |
| CPRIT Application Receipt System (CARS) closed | 05/19/16 | 10/17/16 |
| Date application submitted | 05/19/16 | 10/17/16 |
| Method of submission | CARS | 10/17/16 |
| Within receipt period | YES | 10/17/16 |
| Request for extension to submit application after CARS closed | N/A | 10/17/16 |
| Request for extension for late application submission accepted | N/A | 10/17/16 |
| Administrative review notification | N/A | 10/17/16 |
| Donation(s) made to CPRIT/foundation | NO | 10/17/16 |
| Assigned to primary reviewers | 07/19/16 | 10/17/16 |
| Applicant notified of review panel assignment | 07/06/16 | 10/17/16 |
| Primary Reviewer 1 COI signed | 06/20/16 | 10/17/16 |
| Primary Reviewer 2 COI signed | 06/22/16 | 10/17/16 |
| Primary Reviewer 3 COI signed | 06/27/16 | 10/17/16 |
| Primary (Advocate) Reviewer 4 COI signed | N/A | 10/17/16 |
| Primary Reviewer 1 critique submitted | 07/19/16 | 10/17/16 |
| Primary Reviewer 2 critique submitted | 08/02/16 | 10/17/16 |
| Primary Reviewer 3 critique submitted | 08/01/16 | 10/17/16 |
| Primary (Advocate) Reviewer 4 critique submitted | N/A | 10/17/16 |
| COI indicated by non-primary reviewer | NONE | 10/17/16 |
| Preliminary Evaluation score summary sent to Chair | 08/10/16 | 10/17/16 |
| Recommended for full review | YES | 10/17/16 |
| Applicant notified of outcome | 09/14/16 | 10/17/16 |
| Assigned to primary reviewers | 08/19/16 | 10/17/16 |
| Primary Reviewer 1 COI signed | 06/20/16 | 10/17/16 |
| Primary Reviewer 2 COI signed | 07/01/16 | 10/17/16 |
| Primary Reviewer 3 COI signed | 08/01/16 | 10/17/16 |
| Primary (Advocate) Reviewer 4 COI signed | 06/20/16 | 10/17/16 |
| Primary Reviewer 1 critique submitted | 09/12/16 | 10/17/16 |
| Primary Reviewer 2 critique submitted | 09/07/16 | 10/17/16 |
| Primary Reviewer 3 critique submitted | 09/06/16 | 10/17/16 |
| Primary (Advocate) Reviewer 4 critique submitted | 09/05/16 | 10/17/16 |
| COI indicated by non-primary reviewer | NONE | 10/17/16 |
| COI recused from participation | N/A | 10/17/16 |
| Discussed at Peer Review Meeting | YES | 10/17/16 |
| Peer Review Meeting | 09/21/16 | 10/17/16 |
| Post review statements signed | 09/21/16 | 10/17/16 |
| Third Party Observer Report | 09/28/16 | 10/17/16 |
| Score report delivered to CSO | 10/10/16 | 10/17/16 |
| Recommended for SRC Review | YES | 10/17/16 |
| COI indicated by SRC member | NONE | 10/17/16 |
| COI recused from participation | N/A | 10/17/16 |
| SRC Meeting | 10/13/16 | 10/17/16 |
| Third Party Observer Report | 10/24/16 | 10/24/16 |
| Recommended for grant award | YES | 10/17/16 |
| SRC Chair Notification to PIC and OC | 10/24/16 | 10/24/16 |
| COI indicated by PIC member | NONE | 10/28/16 |
| COI recused from participation | N/A | 10/28/16 |
| PIC review meeting | 10/28/16 | 10/28/16 |
| Recommended for grant award | NO* | 10/28/16 |
| COI indicated by PIC member | NONE | 08/01/17 |
| COI recused from participation | N/A | 08/01/17 |
| correctised from participation | | |
| PIC review meeting | 08/01/17 | 08/01/17 |
| | | 08/01/17 08/01/17 |
| PIC review meeting | 08/01/17 | |
| PIC review meeting Recommended for grant award | 08/01/17 YES | 08/01/17 |
| PIC review meeting Recommended for grant award CEO Notification to Oversight Committee | 08/01/17 YES 08/03/17 | 08/01/17 08/16/17 |
| PIC review meeting Recommended for grant award CEO Notification to Oversight Committee COI indicated by Oversight Committee member | 08/01/17 YES 08/03/17 NONE | 08/01/17 08/16/17 08/16/17 |
| PIC review meeting Recommended for grant award CEO Notification to Oversight Committee COI indicated by Oversight Committee member COI recused from participation | 08/01/17 YES 08/03/17 NONE N/A | 08/01/17 08/16/17 08/16/17 08/16/17 |
| PIC review meeting Recommended for grant award CEO Notification to Oversight Committee COI indicated by Oversight Committee member COI recused from participation Donation(s) made to CPRIT/foundation | 08/01/17 YES 08/03/17 NONE N/A NO | 08/01/17 08/16/17 08/16/17 08/16/17 08/16/17 |
| PIC review meeting Recommended for grant award CEO Notification to Oversight Committee COI indicated by Oversight Committee member COI recused from participation Donation(s) made to CPRIT/foundation Presented to CPRIT Oversight Committee | 08/01/17 YES 08/03/17 NONE N/A NO 08/16/17 | 08/01/17 08/16/17 08/16/17 08/16/17 08/16/17 |
| | RFA approved by CSO RFA published in Texas Register CPRIT Application Receipt System (CARS) opened CPRIT Application Receipt System (CARS) closed Date application submitted Method of submission Within receipt period Request for extension to submit application after CARS closed Request for extension for late application submission accepted Administrative review notification Donation(s) made to CPRIT/foundation Assigned to primary reviewers Applicant notified of review panel assignment Primary Reviewer 1 COI signed Primary Reviewer 3 COI signed Primary Reviewer 3 COI signed Primary Reviewer 1 critique submitted Primary Reviewer 2 critique submitted Primary Reviewer 3 critique submitted Primary Reviewer 1 critique submitted Primary Reviewer 2 critique submitted Primary Reviewer 3 critique submitted Primary Reviewer 1 critique submitted Primary Reviewer 2 critique submitted Primary Reviewer 1 col signed Primary Reviewer 1 col signed Primary Reviewer 2 cOI signed Primary Reviewer 2 cOI signed Primary Reviewer 3 COI signed Primary Reviewer 3 cOI signed Primary Reviewer 3 col signed Primary Reviewer 5 critique submitted Primary Reviewer 6 critique submitted Primary Reviewer 7 critique submitted Primary Reviewer 8 critique submitted Primary Reviewer 9 critique 9 critique 9 critique 9 critique 9 critique 9 | RFA approved by CSO 02/18/16 & 02/22/16 RFA published in Texas Register 03/04/16 CPRIT Application Receipt System (CARS) opened 03/21/16 CPRIT Application Receipt System (CARS) closed 05/19/16 Date application submitted 05/19/16 Method of submission CARS Within receipt period Request for extension to submit application after CARS closed N/A Request for extension for late application submission accepted N/A Administrative review notification NO Assigned to primary reviewers 07/19/16 Applicant notified of review panel assignment 07/06/16 Primary Reviewer 1 COI signed 06/22/16 Primary Reviewer 2 COI signed 06/22/16 Primary Reviewer 3 COI signed 06/22/16 Primary Reviewer 2 COI signed 06/22/16 Primary Reviewer 2 critique submitted 07/19/16 Primary Reviewer 2 critique submitted 07/19/16 Primary Reviewer 3 critique submitted 08/01/16 Primary Reviewer 3 critique submitted 08/01/16 Primary Reviewer 4 critique submitted 08/01/16 Primary Reviewer 3 critique submitted 08/01/16 Primary Reviewer 3 critique submitted 08/01/16 Primary Reviewer 1 COI signed 06/20/16 Primary Reviewer 3 critique submitted 09/14/16 Assigned to primary reviewer NONE Preliminary Evaluation score summary sent to Chair 08/10/16 Primary Reviewer 1 COI signed 06/20/16 Primary Reviewer 1 COI signed 09/14/16 Primary Reviewer 1 COI signed 09/14/16 Primary Reviewer 2 COI signed 09/14/16 Primary Reviewer 1 COI signed 09/14/16 Primary Reviewer 2 COI signed 09/05/16 Primary Reviewer 3 COI signed 09/05/16 Primary Reviewer 1 COI signed 09/05/16 Primary Reviewer 2 COI signed 09/05/16 Primary Reviewer 3 COI signed 09/05/16 Primary Reviewer 4 COI signed 09/05/16 Primary Reviewer 5 COI signed 09/05/16 Primary Revie |

^{*}The PIC deferred this application to a future FY2017 meeting.

FY 2017 **CYCLE** 1

PROGRAM Research

AWARD MECHANISM Individual Investigator Research Awards (IIRA)

APPLICATION ID RP170407

Role of HDAC8 and Higher Order Chromatin Structure in

APPLICATION TITLE

Melanoma Metastasis and Therapy

APPLICANT NAME Rai, Kunal

ORGANIZATION The University of Texas M. D. Anderson Cancer Center

PANEL NAME 17.1 Cancer Biology (17.1 CB)

| Category | Compliance Requirement | Information | Attestation Date |
|-----------------------|--|----------------------|----------------------|
| | RFA approved by CSO | 02/18/16 & 02/22/16 | 10/19/16 |
| | RFA published in Texas Register | 03/04/16 | 10/19/16 |
| | CPRIT Application Receipt System (CARS) opened | 03/21/16 | 10/19/16 |
| | CPRIT Application Receipt System (CARS) closed | 05/19/16 | 10/19/16 |
| 1. Pre-Receipt | Date application submitted | 05/17/16 | 10/19/16 |
| | Method of submission | CARS | 10/19/16 |
| | Within receipt period | YES | 10/19/16 |
| | Request for extension to submit application after CARS closed | N/A | 10/19/16 |
| | Request for extension for late application submission accepted | N/A | 10/19/16 |
| | Administrative review notification | N/A | 10/19/16 |
| | Donation(s) made to CPRIT/foundation | NO | 10/19/16 |
| | Assigned to primary reviewers | 07/19/16 | 10/19/16 |
| 2. Receipt, Referral, | Applicant notified of review panel assignment | 07/06/16 | 10/19/16 |
| and Assignment | Primary Reviewer 1 COI signed | 07/10/16 | 10/19/16 |
| | Primary Reviewer 2 COI signed | 06/23/16 | 10/19/16 |
| | Primary Reviewer 3 COI signed | 07/07/16 | 10/19/16 |
| | Primary (Advocate) Reviewer 4 COI signed | N/A | 10/19/16 |
| | Primary Reviewer 1 critique submitted Primary Reviewer 2 critique submitted | 08/05/16 08/06/16 | 10/19/16 10/19/16 |
| | Primary Reviewer 2 critique submitted Primary Reviewer 3 critique submitted | | |
| 3. Preliminary | Primary (Advocate) Reviewer 4 critique submitted | 08/05/16 N/A | 10/19/16 10/19/16 |
| Evaluation | COI indicated by non-primary reviewer | NONE | 10/19/16 |
| Liudulion | Preliminary Evaluation score summary sent to Chair | 08/08/16 | 10/19/16 |
| | Recommended for full review | YES | 10/19/16 |
| | Applicant notified of outcome | 09/14/16 | 10/19/16 |
| | Assigned to primary reviewers | 08/16/16 | 10/19/16 |
| | Primary Reviewer 1 COI signed | 07/10/16 | 10/19/16 |
| | Primary Reviewer 2 COI signed | 06/26/16 | 10/19/16 |
| | Primary Reviewer 3 COI signed | 06/27/16 | 10/19/16 |
| | Primary (Advocate) Reviewer 4 COI signed | 07/06/16 | 10/19/16 |
| | Primary Reviewer 1 critique submitted | 09/14/16 | 10/19/16 |
| | Primary Reviewer 2 critique submitted | 09/13/16 | 10/19/16 |
| | Primary Reviewer 3 critique submitted | 09/17/16 | 10/19/16 |
| 4. Peer Review | Primary (Advocate) Reviewer 4 critique submitted | 09/03/16 | 10/19/16 |
| Meeting | COI indicated by non-primary reviewer | NONE | 10/19/16 |
| | COI recused from participation | N/A | 10/19/16 |
| | Discussed at Peer Review Meeting | YES | 10/19/16 |
| | Peer Review Meeting | 09/23/16 | 10/19/16 |
| | Post review statements signed | 09/26/16 | 10/19/16 |
| | Third Party Observer Report | 09/28/16 | 10/19/16 |
| | Score report delivered to CSO | 10/10/16 | 10/19/16 |
| | Recommended for SRC Review | YES | 10/19/16 |
| | COI indicated by SRC member | NONE | 10/19/16 |
| | COI recused from participation | N/A | 10/19/16 |
| 5. Final SRC | SRC Meeting | 10/13/16 | 10/19/16 |
| Recommendation | Third Party Observer Report | 10/24/16 | 10/24/16 |
| | Recommended for grant award | YES | 10/19/16 |
| | SRC Chair Notification to PIC and OC | 10/24/16 | 10/24/16 |
| | COI indicated by PIC member | NONE | 10/28/16 |
| 6. PIC Review | COI recused from participation | N/A | 10/28/16 |
| | PIC review meeting | 10/28/16 | 10/28/16 |
| | Recommended for grant award | NO* | 10/28/16 |
| | COI indicated by PIC member | NONE | 08/01/17 |
| 6. PIC Review | COI recused from participation | N/A | 08/01/17 |
| | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | YES | 08/01/17 |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/16/17 |
| | COI indicated by Oversight Committee member | NONE | 08/16/17 |
| 7.0 | COI recused from participation | N/A | 08/16/17 |
| 7. Oversight | Donation(s) made to CPRIT/foundation | NO | 08/16/17 |
| Committee Approval | Presented to CPRIT Oversight Committee | 08/16/17 | 08/16/17 |
| | Award approved by Oversight Committee | YES | 08/16/17 |
| | Advance outbority approved by Oversight Committee | NO NO | 08/16/17 |
| | Advance authority approved by Oversight Committee | NO | 08/16/17 |

^{*}The PIC deferred this application to a future FY2017 meeting.

FY 2017 **CYCLE** 1

PROGRAM Research

AWARD MECHANISM Early Translational Research Awards (ETRA)

APPLICATION ID RP170500

Development of next generation steroid receptor coactivator

APPLICATION TITLE small molecule inhibitors as novel agents to target

therapy-resistant breast cancer

APPLICANT NAME O'Malley, Bert

ORGANIZATIONBaylor College of MedicinePANEL NAME17.1 Cancer Biology (17.1 CB)

| Category | Compliance Requirement | Information | Attestation Date |
|---------------------------|--|---------------------|------------------|
| | RFA approved by CSO | 02/18/16 & 02/22/16 | 10/19/16 |
| | RFA published in Texas Register | 03/04/16 | 10/19/16 |
| | CPRIT Application Receipt System (CARS) opened | 03/21/16 | 10/19/16 |
| | CPRIT Application Receipt System (CARS) closed | 05/19/16 | 10/19/16 |
| 1. Pre-Receipt | Date application submitted | 05/18/16 | 10/19/16 |
| | Method of submission | CARS | 10/19/16 |
| | Within receipt period | YES | 10/19/16 |
| | Request for extension to submit application after CARS closed | N/A | 10/19/16 |
| | Request for extension for late application submission accepted | N/A | 10/19/16 |
| | Administrative review notification | N/A | 10/19/16 |
| | Donation(s) made to CPRIT/foundation | NO | 10/19/16 |
| | Assigned to primary reviewers | 08/16/16 | 10/19/16 |
| 2. Receipt, Referral, | Applicant notified of review panel assignment | 07/06/16 | 10/19/16 |
| and Assignment | Primary Reviewer 1 COI signed | 07/01/16 | 10/19/16 |
| | Primary Reviewer 2 COI signed | 06/20/16 | 10/19/16 |
| | Primary Reviewer 3 COI signed | 06/20/16 | 10/19/16 |
| | Primary (Advocate) Reviewer 4 COI signed | 06/20/16 | 10/19/16 |
| | Primary Reviewer 1 critique submitted | N/A | 10/19/16 |
| | Primary Reviewer 2 critique submitted | N/A | 10/19/16 |
| | Primary Reviewer 3 critique submitted | N/A | 10/19/16 |
| 3. Preliminary | Primary (Advocate) Reviewer 4 critique submitted | N/A | 10/19/16 |
| Evaluation | COI indicated by non-primary reviewer | N/A | 10/19/16 |
| | Preliminary Evaluation score summary sent to Chair | N/A | 10/19/16 |
| | Recommended for full review | N/A | 10/19/16 |
| | Applicant notified of outcome | N/A | 10/19/16 |
| | Assigned to primary reviewers | 08/16/16 | 10/19/16 |
| | Primary Reviewer 1 COI signed | 07/01/16 | 10/19/16 |
| | Primary Reviewer 2 COI signed | 06/20/16 | 10/19/16 |
| | Primary Reviewer 3 COI signed | 06/20/16 | 10/19/16 |
| | Primary (Advocate) Reviewer 4 COI signed | 06/20/16 | 10/19/16 |
| | Primary Reviewer 1 critique submitted | 09/13/16 | 10/19/16 |
| | Primary Reviewer 2 critique submitted | 09/14/16 | 10/19/16 |
| 4. Danie Davieni | Primary Reviewer 3 critique submitted | 09/07/16 | 10/19/16 |
| 4. Peer Review Meeting | Primary (Advocate) Reviewer 4 critique submitted | 09/18/16 | 10/19/16 |
| ivieeting | COI indicated by non-primary reviewer | NONE | 10/19/16 |
| | COI recused from participation | N/A | 10/19/16 |
| | Discussed at Peer Review Meeting | YES | 10/19/16 |
| | Peer Review Meeting | 09/23/16 | 10/19/16 |
| | Post review statements signed | 09/26/16 | 10/19/16 |
| | Third Party Observer Report | 09/28/16 | 10/19/16 |
| | Score report delivered to CSO | 10/10/16 | 10/19/16 |
| | Recommended for SRC Review | YES | 10/19/16 |
| | COI indicated by SRC member | NONE | 10/19/16 |
| | COI recused from participation | N/A | 10/19/16 |
| 5. Final SRC | SRC Meeting | 10/13/16 | 10/19/16 |
| Recommendation | Third Party Observer Report | 10/24/16 | 10/24/16 |
| | Recommended for grant award | YES | 10/19/16 |
| | SRC Chair Notification to PIC and OC | 10/24/16 | 10/24/16 |
| | COI indicated by PIC member | NONE | 10/28/16 |
| 6. PIC Review | COI recused from participation | N/A | 10/28/16 |
| o. I ic neview | PIC review meeting | 10/28/16 | 10/28/16 |
| | Recommended for grant award | NO* | 10/28/16 |
| | COI indicated by PIC member | NONE | 08/01/17 |
| 6. PIC Review | COI recused from participation | N/A | 08/01/17 |
| o. I ic neview | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | YES | 08/01/17 |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/16/17 |
| | COI indicated by Oversight Committee member | NONE | 08/16/17 |
| | COI recused from participation | N/A | 08/16/17 |
| 7. Oversight | Donation(s) made to CPRIT/foundation | NO | 08/16/17 |
| Committee Approval | Presented to CPRIT Oversight Committee | 08/16/17 | 08/16/17 |
| | Award approved by Oversight Committee | YES | 08/16/17 |
| | Authority to advance funds requested | NO | 08/16/17 |
| | Advance authority approved by Oversight Committee | NO | 08/16/17 |
| | *The PIC deferred this application to a future FY2017 meetin | g. | |

^{*}The PIC deferred this application to a future FY2017 meeting.

FY 2017 **CYCLE** 1

PROGRAM Research

AWARD MECHANISM Individual Investigator Research Awards (IIRA)

APPLICATION ID RP170572

APPLICATION TITLEProbing Novel Concepts of the NF-KappaB Transcriptional Program

in Human Cancer

APPLICANT NAME

D'Orso, Ivan

ORGANIZATION The University of Texas Southwestern Medical Center

PANEL NAME 17.1 Basic Cancer Research-2 (17.1 BCR-2)

| Category | Compliance Requirement | Information | Attestation Dat |
|--|--|---------------------|-----------------|
| | RFA approved by CSO | 02/18/16 & 02/22/16 | 10/19/16 |
| | RFA published in Texas Register | 03/04/16 | 10/19/16 |
| | CPRIT Application Receipt System (CARS) opened | 03/21/16 | 10/17/16 |
| | CPRIT Application Receipt System (CARS) closed | 05/19/16 | 10/17/16 |
| 1. Pre-Receipt | Date application submitted | 05/17/16 | 10/17/16 |
| | Method of submission | CARS | 10/17/16 |
| | Within receipt period | YES | 10/17/16 |
| | Request for extension to submit application after CARS closed | N/A | 10/17/16 |
| | Request for extension for late application submission accepted | N/A | 10/17/16 |
| | Administrative review notification | 06/17/16 & 06/20/16 | 10/17/16 |
| | Donation(s) made to CPRIT/foundation | NO | 10/17/16 |
| | Assigned to primary reviewers | 07/25/16 | 10/17/16 |
| 2. Receipt, Referral, | Applicant notified of review panel assignment | 07/06/16 | 10/17/16 |
| and Assignment | Primary Reviewer 1 COI signed | 07/08/16 | 10/17/16 |
| | Primary Reviewer 2 COI signed | 06/20/16 | 10/17/16 |
| | Primary Reviewer 3 COI signed | 07/06/16 | 10/17/16 |
| | Primary (Advocate) Reviewer 4 COI signed | N/A | 10/17/16 |
| | Primary Reviewer 1 critique submitted | 08/15/16 | 10/17/16 |
| | Primary Reviewer 2 critique submitted | 07/30/16 | 10/17/16 |
| | Primary Reviewer 3 critique submitted | 08/08/16 | 10/17/16 |
| 3. Preliminary | Primary (Advocate) Reviewer 4 critique submitted | N/A | 10/17/16 |
| Evaluation | COI indicated by non-primary reviewer | NONE | 10/17/16 |
| | Preliminary Evaluation score summary sent to Chair | 08/16/16 | 10/17/16 |
| | Recommended for full review | YES | 10/17/16 |
| | Applicant notified of outcome | 09/14/16 | 10/17/16 |
| | Assigned to primary reviewers | 07/20/16 | 10/17/16 |
| | Primary Reviewer 1 COI signed | 07/08/16 | 10/17/16 |
| | Primary Reviewer 2 COI signed | 06/20/16 | 10/17/16 |
| | Primary Reviewer 3 COI signed | 07/06/16 | 10/17/16 |
| | Primary (Advocate) Reviewer 4 COI signed | 08/22/16 | 10/17/16 |
| | Primary Reviewer 1 critique submitted | 09/18/16 | 10/17/16 |
| | Primary Reviewer 2 critique submitted | 09/11/16 | 10/17/16 |
| | Primary Reviewer 3 critique submitted | 09/17/16 | 10/17/16 |
| 4. Peer Review | Primary (Advocate) Reviewer 4 critique submitted | 09/12/16 | 10/17/16 |
| Meeting | COI indicated by non-primary reviewer | NONE | 10/17/16 |
| | COI recused from participation | N/A | 10/17/16 |
| | Discussed at Peer Review Meeting | YES | 10/17/16 |
| | Peer Review Meeting | 09/22/16 | 10/17/16 |
| | Post review statements signed | 10/05/16 | 10/17/16 |
| | Third Party Observer Report | 09/28/16 | 10/17/16 |
| | Score report delivered to CSO | 10/10/16 | 10/17/16 |
| | Recommended for SRC Review | YES | 10/17/16 |
| | COI indicated by SRC member | NONE | 10/17/16 |
| | COI recused from participation | N/A | 10/17/16 |
| 5. Final SRC | SRC Meeting | 10/13/16 | 10/17/16 |
| Recommendation | Third Party Observer Report | 10/24/16 | 10/24/16 |
| | Recommended for grant award | YES | 10/17/16 |
| | SRC Chair Notification to PIC and OC | 10/24/16 | 10/24/16 |
| | COI indicated by PIC member | NONE | 10/28/16 |
| | COI recused from participation | N/A | 10/28/16 |
| 6. PIC Review | PIC review meeting | 10/28/16 | 10/28/16 |
| | Recommended for grant award | NO* | 10/28/16 |
| | COI indicated by PIC member | NONE | 08/01/17 |
| | COI recused from participation | N/A | 08/01/17 |
| 6. PIC Review | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | YES | 08/01/17 |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/16/17 |
| | COI indicated by Oversight Committee member | NONE | 08/16/17 |
| 7. Oversight Committee Approval | COI recused from participation | N/A | 08/16/17 |
| | Donation(s) made to CPRIT/foundation | NO | 08/16/17 |
| | Presented to CPRIT Oversight Committee | 08/16/17 | 08/16/17 |
| The state of the s | Award approved by Oversight Committee | YES | 08/16/17 |
| | Authority to advance funds requested | NO | 08/16/17 |
| | Advance authority approved by Oversight Committee | NO | 08/16/17 |
| | *The PIC deferred this application to a future EY2017 meeting | | 00/10/1/ |

^{*}The PIC deferred this application to a future FY2017 meeting.

FY 2017 **CYCLE** 2

PROGRAM Academic Research

AWARD MECHANISM High-Impact/High-Risk Research Awards (HIHRRA)

APPLICATION ID RP170633

APPLICATION TITLE The Role of the CACNA1D Calcium Channel in Melanoma

APPLICANT NAME Morrison, Sean

ORGANIZATION The University of Texas Southwestern Medical Center

PANEL NAME 17.2 Basic Cancer Research-1 (17.2 BCR-1)

| Category | Compliance Requirement | Information | Attestation Date |
|-----------------------|--|-------------|------------------|
| | RFA approved by CSO | 07/20/16 | 07/19/17 |
| | RFA published in Texas.gov eGrants | 08/23/16 | 07/19/17 |
| | CPRIT Application Receipt System (CARS) opened | 10/17/16 | 06/01/17 |
| | CPRIT Application Receipt System (CARS) closed | 01/17/17 | 06/01/17 |
| 1. Pre-Receipt | Date application submitted | 01/16/17 | 06/01/17 |
| | Method of submission | CARS | 06/01/17 |
| | Within receipt period | YES | 06/01/17 |
| | Request for extension to submit application after CARS closed | N/A | 06/01/17 |
| | Request for extension for late application submission accepted | N/A | 06/01/17 |
| | Administrative review notification | N/A | 06/01/17 |
| | Donation(s) made to CPRIT/foundation | NO | 06/01/17 |
| | Assigned to primary reviewers | 03/08/17 | 06/01/17 |
| 2. Receipt, Referral, | Applicant notified of review panel assignment | 02/21/17 | 06/01/17 |
| and Assignment | Primary Reviewer 1 COI signed | 02/17/17 | 06/01/17 |
| | Primary Reviewer 2 COI signed | 02/20/17 | 06/01/17 |
| | Primary Reviewer 3 COI signed | 02/17/17 | 06/01/17 |
| | Primary (Advocate) Reviewer 4 COI signed | 02/17/17 | 06/01/17 |
| | Primary Reviewer 1 critique submitted | N/A | 06/01/17 |
| | Primary Reviewer 2 critique submitted | N/A | 06/01/17 |
| | Primary Reviewer 3 critique submitted | N/A | 06/01/17 |
| 3. Preliminary | Primary (Advocate) Reviewer 4 critique submitted | N/A | 06/01/17 |
| Evaluation | COI indicated by non-primary reviewer | N/A | 06/01/17 |
| | Preliminary Evaluation score summary sent to Chair | N/A | 06/01/17 |
| | Recommended for full review | N/A | 06/01/17 |
| | Applicant notified of outcome | N/A | 06/01/17 |
| | Assigned to primary reviewers | 03/08/17 | 06/01/17 |
| | Primary Reviewer 1 COI signed | 02/17/17 | 06/01/17 |
| | Primary Reviewer 2 COI signed | 02/20/17 | 06/01/17 |
| | Primary Reviewer 3 COI signed | 02/17/17 | 06/01/17 |
| | Primary (Advocate) Reviewer 4 COI signed | 02/17/17 | 06/01/17 |
| | Primary Reviewer 1 critique submitted | 04/05/17 | 06/01/17 |
| | Primary Reviewer 2 critique submitted | 03/20/17 | 06/01/17 |
| 4. Peer Review | Primary Reviewer 3 critique submitted | 04/11/17 | 06/01/17 |
| Meeting | Primary (Advocate) Reviewer 4 critique submitted | 04/01/17 | 06/01/17 |
| Wiceting | COI indicated by non-primary reviewer | NONE | 06/01/17 |
| | COI recused from participation | N/A | 06/01/17 |
| | Discussed at Peer Review Meeting | YES | 06/01/17 |
| | Peer Review Meeting | 04/20/17 | 06/01/17 |
| | Post review statements signed | 04/20/17 | 06/01/17 |
| | Third Party Observer Report | 04/20/17 | 06/01/17 |
| | Score report delivered to CSO | 05/10/17 | 06/01/17 |
| | Recommended for SRC Review | YES | 06/01/17 |
| | COI indicated by SRC member | NONE | 07/17/17 |
| | COI recused from participation | N/A | 07/17/17 |
| 5. Final SRC | SRC Meeting | 07/13/17 | 07/17/17 |
| Recommendation | Third Party Observer Report | 07/13/17 | 07/31/17 |
| | Recommended for grant award | YES | 07/17/17 |
| | SRC Chair Notification to PIC and OC | 07/17/17 | 07/19/17 |
| | COI indicated by PIC member | NONE | 08/01/17 |
| 6. PIC Review | COI recused from participation | N/A | 08/01/17 |
| o. Fic neview | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | YES | 08/01/17 |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/16/17 |
| | COI indicated by Oversight Committee member | NONE | 08/16/17 |
| | COI recused from participation | N/A | 08/16/17 |
| 7. Oversight | Donation(s) made to CPRIT/foundation | NO | 08/16/17 |
| Committee Approval | Presented to CPRIT Oversight Committee | 08/16/17 | 08/16/17 |
| | Award approved by Oversight Committee | YES | 08/16/17 |
| | Authority to advance funds requested | NO | 08/16/17 |
| | | | |

FY 2017 **CYCLE** 2

PROGRAM Academic Research

AWARD MECHANISM Core Facility Support Awards (CFSA)

APPLICATION ID RP170668

APPLICATION TITLE Data Science and Informatics Core for Cancer Research

APPLICANT NAME Zheng, Wenjin

ORGANIZATION The University of Texas Health Science Center at Houston

PANEL NAME 17.2 Basic Cancer Research-1 (17.2 BCR-1)

| Category | Compliance Requirement | Information | Attestation Date |
|-----------------------|---|----------------------|----------------------|
| | RFA approved by CSO | 07/20/16 | 07/19/17 |
| | RFA published in Texas.gov eGrants | 08/23/16 | 07/19/17 |
| | CPRIT Application Receipt System (CARS) opened | 10/17/16 | 06/01/17 |
| | CPRIT Application Receipt System (CARS) closed | 01/17/17 | 06/01/17 |
| 1. Pre-Receipt | Date application submitted | 01/13/17 | 06/01/17 |
| | Method of submission | CARS | 06/01/17 |
| | Within receipt period | YES | 06/01/17 |
| | Request for extension to submit application after CARS closed | N/A | 06/01/17 |
| | Request for extension for late application submission accepted | N/A | 06/01/17 |
| | Administrative review notification | N/A | 06/01/17 |
| | Donation(s) made to CPRIT/foundation | NO | 06/01/17 |
| | Assigned to primary reviewers | 03/08/17 | 06/01/17 |
| 2. Receipt, Referral, | Applicant notified of review panel assignment | 02/21/17 | 06/01/17 |
| and Assignment | Primary Reviewer 1 COI signed | 02/17/17 | 06/01/17 |
| | Primary Reviewer 2 COI signed | 02/24/17 | 06/01/17 |
| | Primary Reviewer 3 COI signed | 02/17/17 | 06/01/17 |
| | Primary (Advocate) Reviewer 4 COI signed | 02/17/17 | 06/01/17 |
| | Primary Reviewer 1 critique submitted | N/A | 06/01/17 |
| | Primary Reviewer 2 critique submitted | N/A | 06/01/17 |
| | Primary Reviewer 3 critique submitted | N/A | 06/01/17 |
| 3. Preliminary | Primary (Advocate) Reviewer 4 critique submitted | N/A | 06/01/17 |
| Evaluation | COI indicated by non-primary reviewer | N/A | 06/01/17 |
| | Preliminary Evaluation score summary sent to Chair | N/A | 06/01/17 |
| | Recommended for full review | N/A | 06/01/17 |
| | Applicant notified of outcome | N/A | 06/01/17 |
| | Assigned to primary reviewers | 03/08/17 | 06/01/17 |
| | Primary Reviewer 1 COI signed | 02/17/17 | 06/01/17 |
| | Primary Reviewer 2 COI signed | 02/24/17 | 06/01/17 |
| | Primary Reviewer 3 COI signed | 02/17/17 | 06/01/17 |
| | Primary (Advocate) Reviewer 4 COI signed | 02/17/17 | 06/01/17 |
| | Primary Reviewer 1 critique submitted | 04/11/17 | 06/01/17 |
| | Primary Reviewer 2 critique submitted | 04/10/17 | 06/01/17 |
| 4. Peer Review | Primary Reviewer 3 critique submitted | 04/10/17 | 06/01/17 |
| Meeting | Primary (Advocate) Reviewer 4 critique submitted | 04/01/17 | 06/01/17 |
| | COI indicated by non-primary reviewer | NONE | 06/01/17 |
| | COI recused from participation | N/A | 06/01/17 |
| | Discussed at Peer Review Meeting | YES | 06/01/17 |
| | Peer Review Meeting | 04/20/17 | 06/01/17 |
| | Post review statements signed | 04/20/17 | 06/01/17 |
| | Third Party Observer Report | 04/20/17 | 06/01/17 |
| | Score report delivered to CSO | 05/10/17 | 06/01/17 |
| | Recommended for SRC Review | YES NONE | 06/01/17 |
| | COI indicated by SRC member | | 07/17/17 |
| 5. Final SRC | COI recused from participation | N/A | 07/17/17 |
| Recommendation | SRC Meeting Third Party Observer Report | 07/13/17 07/13/17 | 07/17/17 07/31/17 |
| Recommendation | Recommended for grant award | YES | 07/17/17 |
| | SRC Chair Notification to PIC and OC | 07/17/17 | 07/19/17 |
| | | NONE | 08/01/17 |
| | COI indicated by PIC member COI recused from participation | N/A | 08/01/17 |
| 6. PIC Review | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | YES | 08/01/17 |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/16/17 |
| | COI indicated by Oversight Committee member | NONE | 08/16/17 |
| | COI recused from participation | N/A | 08/16/17 |
| 7. Oversight | Donation(s) made to CPRIT/foundation | NO NO | 08/16/17 |
| Committee Approval | Presented to CPRIT Oversight Committee | 08/16/17 | 08/16/17 |
| Committee Approval | Award approved by Oversight Committee | VES | |
| | Award approved by Oversight Committee Authority to advance funds requested | NO NO | 08/16/17 08/16/17 |
| | Authority to advance funds requested Advance authority approved by Oversight Committee | NO | 08/16/17 |
| | Advance authority approved by Oversight Committee | INU | 00/10/1/ |

FY 2017 **CYCLE** 2

PROGRAM Academic Research

AWARD MECHANISM Core Facility Support Awards (CFSA)

APPLICATION ID RP170675

APPLICATION TITLE Individualized Pediatric Tumor Analysis Center of Texas (INPACT)

APPLICANT NAME Allen, Carl

ORGANIZATION Baylor College of Medicine

| Category | Compliance Requirement | Information | Attestation Date |
|-----------------------|--|-------------|------------------|
| | RFA approved by CSO | 07/20/16 | 07/19/17 |
| | RFA published in Texas.gov eGrants | 08/23/16 | 07/19/17 |
| | CPRIT Application Receipt System (CARS) opened | 10/17/16 | 06/01/17 |
| | CPRIT Application Receipt System (CARS) closed | 01/17/17 | 06/01/17 |
| 1. Pre-Receipt | Date application submitted | 01/17/17 | 06/01/17 |
| | Method of submission | CARS | 06/01/17 |
| | Within receipt period | YES | 06/01/17 |
| | Request for extension to submit application after CARS closed | N/A | 06/01/17 |
| | Request for extension for late application submission accepted | N/A | 06/01/17 |
| | Administrative review notification | N/A | 06/01/17 |
| | Donation(s) made to CPRIT/foundation | NO | 06/01/17 |
| | Assigned to primary reviewers | 03/08/17 | 06/01/17 |
| 2. Receipt, Referral, | Applicant notified of review panel assignment | 02/21/17 | 06/01/17 |
| and Assignment | Primary Reviewer 1 COI signed | 02/24/17 | 06/01/17 |
| | Primary Reviewer 2 COI signed | 02/20/17 | 06/01/17 |
| | Primary Reviewer 3 COI signed | 02/24/17 | 06/01/17 |
| | Primary (Advocate) Reviewer 4 COI signed | 02/17/17 | 06/01/17 |
| | Primary Reviewer 1 critique submitted | N/A | 06/01/17 |
| | Primary Reviewer 2 critique submitted | N/A | 06/01/17 |
| | Primary Reviewer 3 critique submitted | N/A | 06/01/17 |
| 3. Preliminary | Primary (Advocate) Reviewer 4 critique submitted | N/A | 06/01/17 |
| Evaluation | COI indicated by non-primary reviewer | N/A | 06/01/17 |
| | Preliminary Evaluation score summary sent to Chair | N/A | 06/01/17 |
| | Recommended for full review | N/A | 06/01/17 |
| | Applicant notified of outcome | N/A | 06/01/17 |
| | Assigned to primary reviewers | 03/08/17 | 06/01/17 |
| | Primary Reviewer 1 COI signed | 02/24/17 | 06/01/17 |
| | Primary Reviewer 2 COI signed | 02/20/17 | 06/01/17 |
| | Primary Reviewer 3 COI signed | 02/24/17 | 06/01/17 |
| | Primary (Advocate) Reviewer 4 COI signed | 02/17/17 | 06/01/17 |
| | Primary Reviewer 1 critique submitted | 04/11/17 | 06/01/17 |
| | Primary Reviewer 2 critique submitted | 04/11/17 | 06/01/17 |
| | Primary Reviewer 3 critique submitted | 04/19/17 | 06/01/17 |
| 4. Peer Review | Primary (Advocate) Reviewer 4 critique submitted | 03/19/17 | 06/01/17 |
| Meeting | COI indicated by non-primary reviewer | NONE | 06/01/17 |
| | COI recused from participation | N/A | 06/01/17 |
| | Discussed at Peer Review Meeting | YES | 06/01/17 |
| | Peer Review Meeting | 04/20/17 | 06/01/17 |
| | Post review statements signed | 04/20/17 | 06/01/17 |
| | Third Party Observer Report | 04/20/17 | 06/01/17 |
| | Score report delivered to CSO | 05/10/17 | 06/01/17 |
| | Recommended for SRC Review | YES | 06/01/17 |
| | COI indicated by SRC member | NONE | 07/17/17 |
| | COI recused from participation | N/A | 07/17/17 |
| 5. Final SRC | SRC Meeting | 07/13/17 | 07/17/17 |
| Recommendation | Third Party Observer Report | 07/13/17 | 07/31/17 |
| | Recommended for grant award | YES | 07/17/17 |
| | SRC Chair Notification to PIC and OC | 07/17/17 | 07/19/17 |
| | COI indicated by PIC member | NONE | 08/01/17 |
| | COI recused from participation | N/A | 08/01/17 |
| 5. PIC Review | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | YES | 08/01/17 |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/16/17 |
| | COI indicated by Oversight Committee member | NONE | 08/16/17 |
| | COI recused from participation | N/A | 08/16/17 |
| 7. Oversight | Donation(s) made to CPRIT/foundation | NO | 08/16/17 |
| Committee Approval | Presented to CPRIT Oversight Committee | 08/16/17 | 08/16/17 |
| | Award approved by Oversight Committee | YES | 08/16/17 |
| | Authority to advance funds requested | NO | 08/16/17 |
| | Advance authority approved by Oversight Committee | NO | 08/16/17 |

FY 2017 **CYCLE** 2

PROGRAM Academic Research

AWARD MECHANISM High-Impact/High-Risk Research Awards (HIHRRA)

APPLICATION ID RP170797

APPLICATION TITLE

The Preparation of Novel Phage-Displayed Macrocyclic Peptide

Libraries for the Identification of April 2007 Apri

Libraries for the Identification of Anticancer Agents

APPLICANT NAME Liu, Wenshe
ORGANIZATION Texas A&M University

| Category | Compliance Requirement | Information | Attestation Date |
|-----------------------|--|-------------|------------------|
| | RFA approved by CSO | 07/20/16 | 07/19/17 |
| | RFA published in Texas.gov eGrants | 08/23/16 | 07/19/17 |
| | CPRIT Application Receipt System (CARS) opened | 10/17/16 | 06/01/17 |
| | CPRIT Application Receipt System (CARS) closed | 01/17/17 | 06/01/17 |
| 1. Pre-Receipt | Date application submitted | 01/17/17 | 06/01/17 |
| | Method of submission | CARS | 06/01/17 |
| | Within receipt period | YES | 06/01/17 |
| | Request for extension to submit application after CARS closed | N/A | 06/01/17 |
| | Request for extension for late application submission accepted | N/A | 06/01/17 |
| | Administrative review notification | N/A | 06/01/17 |
| | Donation(s) made to CPRIT/foundation | NO | 06/01/17 |
| | Assigned to primary reviewers | 03/08/17 | 06/01/17 |
| 2. Receipt, Referral, | Applicant notified of review panel assignment | 02/21/17 | 06/01/17 |
| and Assignment | Primary Reviewer 1 COI signed | 02/24/17 | 06/01/17 |
| | Primary Reviewer 2 COI signed | 02/18/17 | 06/01/17 |
| | Primary Reviewer 3 COI signed | 02/24/17 | 06/01/17 |
| | Primary (Advocate) Reviewer 4 COI signed | 02/17/17 | 06/01/17 |
| | Primary Reviewer 1 critique submitted | N/A | 06/01/17 |
| | Primary Reviewer 2 critique submitted | N/A | 06/01/17 |
| | Primary Reviewer 3 critique submitted | N/A | 06/01/17 |
| 3. Preliminary | Primary (Advocate) Reviewer 4 critique submitted | N/A | 06/01/17 |
| Evaluation . | COI indicated by non-primary reviewer | N/A | 06/01/17 |
| | Preliminary Evaluation score summary sent to Chair | N/A | 06/01/17 |
| | Recommended for full review | N/A | 06/01/17 |
| | Applicant notified of outcome | N/A | 06/01/17 |
| | Assigned to primary reviewers | 03/08/17 | 06/01/17 |
| | Primary Reviewer 1 COI signed | 02/24/17 | 06/01/17 |
| | Primary Reviewer 2 COI signed | 02/18/17 | 06/01/17 |
| | Primary Reviewer 3 COI signed | 02/24/17 | 06/01/17 |
| | Primary (Advocate) Reviewer 4 COI signed | 02/17/17 | 06/01/17 |
| | Primary Reviewer 1 critique submitted | 5/10/17* | 06/01/17 |
| | Primary Reviewer 2 critique submitted | 04/08/17 | 06/01/17 |
| | Primary Reviewer 3 critique submitted | 04/12/17 | 06/01/17 |
| 4. Peer Review | Primary (Advocate) Reviewer 4 critique submitted | 04/01/17 | 06/01/17 |
| Meeting | COI indicated by non-primary reviewer | NONE | 06/01/17 |
| | COI recused from participation | N/A | 06/01/17 |
| | Discussed at Peer Review Meeting | YES | 06/01/17 |
| | Peer Review Meeting | 04/20/17 | 06/01/17 |
| | Post review statements signed | 04/20/17 | 06/01/17 |
| | Third Party Observer Report | 04/20/17 | 06/01/17 |
| | Score report delivered to CSO | 05/10/17 | 06/01/17 |
| | Recommended for SRC Review | YES | 06/01/17 |
| | COI indicated by SRC member | NONE | 07/17/17 |
| | COI recused from participation | N/A | 07/17/17 |
| 5. Final SRC | SRC Meeting | 07/13/17 | 07/17/17 |
| Recommendation | Third Party Observer Report | 07/13/17 | 07/31/17 |
| | Recommended for grant award | YES | 07/17/17 |
| | SRC Chair Notification to PIC and OC | 07/17/17 | 07/19/17 |
| | COI indicated by PIC member | NONE | 08/01/17 |
| | COI recused from participation | N/A | 08/01/17 |
| 6. PIC Review | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | YES | 08/01/17 |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/16/17 |
| | COI indicated by Oversight Committee | NONE | 08/16/17 |
| | COI recused from participation | N/A | 08/16/17 |
| 7. Oversight | Donation(s) made to CPRIT/foundation | NO NO | 08/16/17 |
| | Presented to CPRIT Oversight Committee | | |
| Committee Approval | | 08/16/17 | 08/16/17 |
| | Award approved by Oversight Committee | YES | 08/16/17 |
| | Advance gutbority approved by Oversight Committee | NO | 08/16/17 |
| | Advance authority approved by Oversight Committee | NO | 08/16/17 |

^{*}Reviewer submitted critiques after the meeting

FY 2017 **CYCLE** 2

PROGRAM Academic Research

AWARD MECHANISM High-Impact/High-Risk Research Awards (HIHRRA)

APPLICATION ID RP170619

APPLICATION TITLE

An Unexpected Oncometabolic Axis: Exposing Novel Regulators of

Cardiac Remodeling in Leukemia

APPLICANT NAME Taegtmeyer, Heinrich

ORGANIZATION The University of Texas Health Science Center at Houston

| Category | Compliance Requirement | Information | Attestation Date |
|---------------------------|--|------------------|------------------|
| | RFA approved by CSO | 07/20/16 | 07/19/17 |
| | RFA published in Texas.gov eGrants | 08/23/16 | 07/19/17 |
| 1. Pre-Receipt | CPRIT Application Receipt System (CARS) opened | 10/17/16 | 06/12/17 |
| | CPRIT Application Receipt System (CARS) closed | 01/17/17 | 06/12/17 |
| | Date application submitted | 01/17/17 | 06/12/17 |
| | Method of submission | CARS | 06/12/17 |
| | Within receipt period | YES | 06/12/17 |
| | Request for extension to submit application after CARS closed | N/A | 06/12/17 |
| | Request for extension for late application submission accepted | N/A | 06/12/17 |
| | Administrative review notification | N/A | 06/12/17 |
| | Donation(s) made to CPRIT/foundation | NO | 06/12/17 |
| | Assigned to primary reviewers | 03/09/17 | 06/12/17 |
| 2. Receipt, Referral, | Applicant notified of review panel assignment | 02/21/17 | 06/12/17 |
| and Assignment | Primary Reviewer 1 COI signed | 02/22/17 | 06/12/17 |
| _ | Primary Reviewer 2 COI signed | 02/27/17 | 06/12/17 |
| | Primary Reviewer 3 COI signed | 02/22/17 | 06/12/17 |
| | Primary (Advocate) Reviewer 4 COI signed | 02/24/17 | 06/12/17 |
| | Primary Reviewer 1 critique submitted | N/A | 06/12/17 |
| | Primary Reviewer 2 critique submitted | N/A | 06/12/17 |
| | Primary Reviewer 2 critique submitted | N/A | 06/12/17 |
| 3. Preliminary | Primary (Advocate) Reviewer 4 critique submitted | N/A N/A | 06/12/17 |
| S. Preliminary Evaluation | COI indicated by non-primary reviewer | N/A N/A | 06/12/17 |
| Lvaluation | | | |
| | Preliminary Evaluation score summary sent to Chair | N/A | 06/12/17 |
| | Recommended for full review | N/A | 06/12/17 |
| | Applicant notified of outcome | N/A | 06/12/17 |
| | Assigned to primary reviewers | 03/09/17 | 06/12/17 |
| | Primary Reviewer 1 COI signed | 02/22/17 | 06/12/17 |
| | Primary Reviewer 2 COI signed | 02/27/17 | 06/12/17 |
| | Primary Reviewer 3 COI signed | 02/22/17 | 06/12/17 |
| | Primary (Advocate) Reviewer 4 COI signed | 02/24/17 | 06/12/17 |
| | Primary Reviewer 1 critique submitted | 04/17/17 | 06/12/17 |
| | Primary Reviewer 2 critique submitted | 04/10/17 | 06/12/17 |
| 4. Peer Review | Primary Reviewer 3 critique submitted | 04/17/17 | 06/12/17 |
| Meeting | Primary (Advocate) Reviewer 4 critique submitted | 04/16/17 | 06/12/17 |
| | COI indicated by non-primary reviewer | NONE | 06/12/17 |
| | COI recused from participation | N/A | 06/12/17 |
| | Discussed at Peer Review Meeting | YES | 06/12/17 |
| | Peer Review Meeting | 04/24/17 | 06/12/17 |
| | Post review statements signed | 04/24/17 | 06/12/17 |
| | Third Party Observer Report | 04/24/17 | 06/12/17 |
| | Score report delivered to CSO | 05/10/17 | 06/12/17 |
| | Recommended for SRC Review | YES | 06/12/17 |
| | COI indicated by SRC member | NONE | 07/17/17 |
| | COI recused from participation | N/A | 07/17/17 |
| 5. Final SRC | SRC Meeting | 07/13/17 | 07/17/17 |
| Recommendation | Third Party Observer Report | 07/13/17 | 07/31/17 |
| | Recommended for grant award | YES | 07/17/17 |
| | SRC Chair Notification to PIC and OC | 07/17/17 | 07/19/17 |
| | COI indicated by PIC member | NONE | 08/01/17 |
| | COI recused from participation | N/A | 08/01/17 |
| 6. PIC Review | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | YES | 08/01/17 |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/16/17 |
| | - | 08/03/17 NONE | |
| | COI regulated by Oversight Committee member | | 08/16/17 |
| 7.0 | COI recused from participation | N/A | 08/16/17 |
| 7. Oversight | Donation(s) made to CPRIT/foundation | NO | 08/16/17 |
| Committee Approval | Presented to CPRIT Oversight Committee | 08/16/17 | 08/16/17 |
| | Award approved by Oversight Committee | YES | 08/16/17 |
| | Authority to advance funds requested | NO | 08/16/17 |
| | Advance authority approved by Oversight Committee | NO | 08/16/17 |

FY 2017 **CYCLE** 2

PROGRAM Academic Research

AWARD MECHANISM High-Impact/High-Risk Research Awards (HIHRRA)

APPLICATION ID RP170640

APPLICATION TITLE Capitalizing on Therapeutic Liabilities in RAS-Mutant Cancers With a Rational Combination Therapy With PARP and MEK Inhibitors

APPLICANT NAME Mills, Gordon

ORGANIZATION The University of Texas M. D. Anderson Cancer Center

| Category | Compliance Requirement | Information | Attestation Date |
|------------------------------------|---|-----------------|----------------------|
| | RFA approved by CSO | 07/20/16 | 07/19/17 |
| | RFA published in Texas.gov eGrants | 08/23/16 | 07/19/17 |
| | CPRIT Application Receipt System (CARS) opened | 10/17/16 | 06/12/17 |
| | CPRIT Application Receipt System (CARS) closed | 01/17/17 | 06/12/17 |
| 1. Pre-Receipt | Date application submitted | 01/17/17 | 06/12/17 |
| · | Method of submission | CARS | 06/12/17 |
| | Within receipt period | YES | 06/12/17 |
| | Request for extension to submit application after CARS closed | N/A | 06/12/17 |
| | Request for extension for late application submission accepted | N/A | 06/12/17 |
| | Administrative review notification | N/A | 06/12/17 |
| | Donation(s) made to CPRIT/foundation | NO | 06/12/17 |
| | Assigned to primary reviewers | 03/09/17 | 06/12/17 |
| 2. Receipt, Referral, | Applicant notified of review panel assignment | 02/21/17 | 06/12/17 |
| and Assignment | Primary Reviewer 1 COI signed | 02/26/17 | 06/12/17 |
| | Primary Reviewer 2 COI signed | 02/27/17 | 06/12/17 |
| | Primary Reviewer 3 COI signed | 02/23/17 | 06/12/17 |
| | Primary (Advocate) Reviewer 4 COI signed | 02/24/17 | 06/12/17 |
| | Primary Reviewer 1 critique submitted | N/A | 06/12/17 |
| | Primary Reviewer 2 critique submitted | N/A | 06/12/17 |
| | Primary Reviewer 3 critique submitted | N/A | 06/12/17 |
| 3. Preliminary | Primary (Advocate) Reviewer 4 critique submitted | N/A | 06/12/17 |
| Evaluation | COI indicated by non-primary reviewer | N/A | 06/12/17 |
| | Preliminary Evaluation score summary sent to Chair | N/A | 06/12/17 |
| | Recommended for full review | N/A | 06/12/17 |
| | Applicant notified of outcome | N/A | 06/12/17 |
| | Assigned to primary reviewers | 03/09/17 | 06/12/17 |
| | Primary Reviewer 1 COI signed | 02/26/17 | 06/12/17 |
| | Primary Reviewer 2 COI signed | 02/27/17 | 06/12/17 |
| | Primary Reviewer 3 COI signed | 02/23/17 | 06/12/17 |
| | Primary (Advocate) Reviewer 4 COI signed | 02/24/17 | 06/12/17 |
| | Primary Reviewer 1 critique submitted | 04/17/17 | 06/12/17 |
| | Primary Reviewer 2 critique submitted | 04/10/17 | 06/12/17 |
| | Primary Reviewer 3 critique submitted | 04/16/17 | 06/12/17 |
| 4. Peer Review | Primary (Advocate) Reviewer 4 critique submitted | 04/17/17 | 06/12/17 |
| Meeting | COI indicated by non-primary reviewer | NONE | 06/12/17 |
| | COI recused from participation | N/A | 06/12/17 |
| | Discussed at Peer Review Meeting | YES | 06/12/17 |
| | Peer Review Meeting | 04/24/17 | 06/12/17 |
| | Post review statements signed | 04/24/17 | 06/12/17 |
| | Third Party Observer Report | 04/24/17 | 06/12/17 |
| | Score report delivered to CSO | 05/10/17 | 06/12/17 |
| | Recommended for SRC Review | YES | 06/12/17 |
| | COI indicated by SRC member | NONE | 07/17/17 |
| | COI recused from participation | N/A | 07/17/17 |
| 5. Final SRC | SRC Meeting | 07/13/17 | 07/17/17 |
| Recommendation | Third Party Observer Report | 07/13/17 | 07/31/17 |
| | Recommended for grant award | YES | 07/17/17 |
| | SRC Chair Notification to PIC and OC | 07/17/17 | 07/19/17 |
| | COI indicated by PIC member | NONE | 08/01/17 |
| | COI recused from participation | N/A | 08/01/17 |
| 6. PIC Review | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | YES | 08/01/17 |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/16/17 |
| | COI indicated by Oversight Committee member | NONE | 08/16/17 |
| 7. Oversight Committee Approval | COI recused from participation | N/A | 08/16/17 |
| | Donation(s) made to CPRIT/foundation | NO NO | |
| | | 08/16/17 | 08/16/17 08/16/17 |
| Committee Approval | Presented to CPRIT Oversight Committee | 08/16/17 YES | |
| | Award approved by Oversight Committee Authority to advance funds requested | NO YES | 08/16/17 08/16/17 |
| | TRUITION OF THE AUTOMOTE THE STREET | TIME / | 100/10/1/ |

FY 2017 **CYCLE** 2

PROGRAM Academic Research

AWARD MECHANISM High-Impact/High-Risk Research Awards (HIHRRA)

APPLICATION ID RP170671

APPLICATION TITLE Targeting a Novel Nuclease PAAN in Triple-Negative Breast Cancer

APPLICANT NAME Wang, Yingfei

ORGANIZATION The University of Texas Southwestern Medical Center

| Category | Compliance Requirement | Information | Attestation Date |
|-----------------------|--|-------------|------------------|
| | RFA approved by CSO | 07/20/16 | 07/19/17 |
| | RFA published in Texas.gov eGrants | 08/23/16 | 07/19/17 |
| | CPRIT Application Receipt System (CARS) opened | 10/17/16 | 06/12/17 |
| | CPRIT Application Receipt System (CARS) closed | 01/17/17 | 06/12/17 |
| 1. Pre-Receipt | Date application submitted | 01/17/17 | 06/12/17 |
| | Method of submission | CARS | 06/12/17 |
| | Within receipt period | YES | 06/12/17 |
| | Request for extension to submit application after CARS closed | N/A | 06/12/17 |
| | Request for extension for late application submission accepted | N/A | 06/12/17 |
| | Administrative review notification | N/A | 06/12/17 |
| | Donation(s) made to CPRIT/foundation | NO | 06/12/17 |
| | Assigned to primary reviewers | 03/09/17 | 06/12/17 |
| 2. Receipt, Referral, | Applicant notified of review panel assignment | 02/21/17 | 06/12/17 |
| and Assignment | Primary Reviewer 1 COI signed | 02/24/17 | 06/12/17 |
| | Primary Reviewer 2 COI signed | 02/24/17 | 06/12/17 |
| | Primary Reviewer 3 COI signed | 02/17/17 | 06/12/17 |
| | Primary (Advocate) Reviewer 4 COI signed | 02/24/17 | 06/12/17 |
| | Primary Reviewer 1 critique submitted | N/A | 06/12/17 |
| | Primary Reviewer 2 critique submitted | N/A | 06/12/17 |
| | Primary Reviewer 3 critique submitted | N/A | 06/12/17 |
| 3. Preliminary | Primary (Advocate) Reviewer 4 critique submitted | N/A | 06/12/17 |
| Evaluation | COI indicated by non-primary reviewer | N/A | 06/12/17 |
| | Preliminary Evaluation score summary sent to Chair | N/A | 06/12/17 |
| | Recommended for full review | N/A | 06/12/17 |
| | Applicant notified of outcome | N/A | 06/12/17 |
| | Assigned to primary reviewers | 03/09/17 | 06/12/17 |
| | Primary Reviewer 1 COI signed | 02/24/17 | 06/12/17 |
| | Primary Reviewer 2 COI signed | 02/24/17 | 06/12/17 |
| | Primary Reviewer 3 COI signed | 02/17/17 | 06/12/17 |
| | Primary (Advocate) Reviewer 4 COI signed | 02/24/17 | 06/12/17 |
| | Primary Reviewer 1 critique submitted | 04/15/17 | 06/12/17 |
| | Primary Reviewer 2 critique submitted | 04/19/17 | 06/12/17 |
| 4. Peer Review | Primary Reviewer 3 critique submitted | 04/16/17 | 06/12/17 |
| Meeting | Primary (Advocate) Reviewer 4 critique submitted | 04/17/17 | 06/12/17 |
| | COI indicated by non-primary reviewer | NONE | 06/12/17 |
| | COI recused from participation | N/A | 06/12/17 |
| | Discussed at Peer Review Meeting | YES | 06/12/17 |
| | Peer Review Meeting | 04/24/17 | 06/12/17 |
| | Post review statements signed | 04/24/17 | 06/12/17 |
| | Third Party Observer Report | 04/24/17 | 06/12/17 |
| | Score report delivered to CSO | 05/10/17 | 06/12/17 |
| | Recommended for SRC Review | YES | 06/12/17 |
| | COI indicated by SRC member | NONE | 07/17/17 |
| | COI recused from participation | N/A | 07/17/17 |
| 5. Final SRC | SRC Meeting | 07/13/17 | 07/17/17 |
| Recommendation | Third Party Observer Report | 07/13/17 | 07/31/17 |
| | Recommended for grant award | YES | 07/17/17 |
| | SRC Chair Notification to PIC and OC | 07/17/17 | 07/19/17 |
| | COI indicated by PIC member | NONE | 08/01/17 |
| 6. PIC Review | COI recused from participation | N/A | 08/01/17 |
| J. F. G. ACTION | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | YES | 08/01/17 |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/16/17 |
| | COI indicated by Oversight Committee member | NONE | 08/16/17 |
| | COI recused from participation | N/A | 08/16/17 |
| 7. Oversight | Donation(s) made to CPRIT/foundation | NO | 08/16/17 |
| Committee Approval | Presented to CPRIT Oversight Committee | 08/16/17 | 08/16/17 |
| | Award approved by Oversight Committee | YES | 08/16/17 |
| | Authority to advance funds requested | NO | 08/16/17 |
| | Advance authority approved by Oversight Committee | NO | 08/16/17 |

FY 2017 **CYCLE** 2

PROGRAM Academic Research

AWARD MECHANISM High-Impact/High-Risk Research Awards (HIHRRA)

APPLICATION ID RP170686

APPLICATION TITLE A Novel Chemical Strategy to Target EGFR for Destruction

APPLICANT NAME Rao, Hai

ORGANIZATION The University of Texas Health Science Center at San Antonio

| Category | Compliance Requirement | Information | Attestation Date |
|-----------------------|--|-------------|------------------|
| | RFA approved by CSO | 07/20/16 | 07/19/17 |
| | RFA published in Texas.gov eGrants | 08/23/16 | 07/19/17 |
| | CPRIT Application Receipt System (CARS) opened | 10/17/16 | 06/12/17 |
| | CPRIT Application Receipt System (CARS) closed | 01/17/17 | 06/12/17 |
| 1. Pre-Receipt | Date application submitted | 01/17/17 | 06/12/17 |
| | Method of submission | CARS | 06/12/17 |
| | Within receipt period | YES | 06/12/17 |
| | Request for extension to submit application after CARS closed | N/A | 06/12/17 |
| | Request for extension for late application submission accepted | N/A | 06/12/17 |
| | Administrative review notification | N/A | 06/12/17 |
| | Donation(s) made to CPRIT/foundation | NO | 06/12/17 |
| | Assigned to primary reviewers | 03/09/17 | 06/12/17 |
| 2. Receipt, Referral, | Applicant notified of review panel assignment | 02/21/17 | 06/12/17 |
| and Assignment | Primary Reviewer 1 COI signed | 02/17/17 | 06/12/17 |
| | Primary Reviewer 2 COI signed | 02/20/17 | 06/12/17 |
| | Primary Reviewer 3 COI signed | 02/24/17 | 06/12/17 |
| | Primary (Advocate) Reviewer 4 COI signed | 02/24/17 | 06/12/17 |
| | Primary Reviewer 1 critique submitted | N/A | 06/12/17 |
| | Primary Reviewer 2 critique submitted | N/A | 06/12/17 |
| | Primary Reviewer 3 critique submitted | N/A | 06/12/17 |
| 3. Preliminary | Primary (Advocate) Reviewer 4 critique submitted | N/A | 06/12/17 |
| Evaluation | COI indicated by non-primary reviewer | N/A | 06/12/17 |
| | Preliminary Evaluation score summary sent to Chair | N/A | 06/12/17 |
| | Recommended for full review | N/A | 06/12/17 |
| | Applicant notified of outcome | N/A | 06/12/17 |
| | Assigned to primary reviewers | 03/09/17 | 06/12/17 |
| | Primary Reviewer 1 COI signed | 02/17/17 | 06/12/17 |
| | Primary Reviewer 2 COI signed | 02/20/17 | 06/12/17 |
| | Primary Reviewer 3 COI signed | 02/24/17 | 06/12/17 |
| | Primary (Advocate) Reviewer 4 COI signed | 02/24/17 | 06/12/17 |
| | Primary Reviewer 1 critique submitted | 04/13/17 | 06/12/17 |
| | Primary Reviewer 2 critique submitted | 04/18/17 | 06/12/17 |
| | Primary Reviewer 3 critique submitted | 04/18/17 | 06/12/17 |
| 4. Peer Review | Primary (Advocate) Reviewer 4 critique submitted | 04/15/17 | 06/12/17 |
| Meeting | COI indicated by non-primary reviewer | NONE | 06/12/17 |
| | COI recused from participation | N/A | 06/12/17 |
| | Discussed at Peer Review Meeting | YES | 06/12/17 |
| | Peer Review Meeting | 04/24/17 | 06/12/17 |
| | Post review statements signed | 04/24/17 | 06/12/17 |
| | Third Party Observer Report | 04/24/17 | 06/12/17 |
| | Score report delivered to CSO | 05/10/17 | 06/12/17 |
| | Recommended for SRC Review | YES | 06/12/17 |
| | COI indicated by SRC member | NONE | 07/17/17 |
| | COI recused from participation | N/A | 07/17/17 |
| 5. Final SRC | SRC Meeting | 07/13/17 | 07/17/17 |
| Recommendation | Third Party Observer Report | 07/13/17 | 07/31/17 |
| | Recommended for grant award | YES | 07/17/17 |
| | SRC Chair Notification to PIC and OC | 07/17/17 | 07/19/17 |
| | COI indicated by PIC member | NONE | 08/01/17 |
| | COI recused from participation | N/A | 08/01/17 |
| 6. PIC Review | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | YES | 08/01/17 |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/16/17 |
| | COI indicated by Oversight Committee | NONE | 08/16/17 |
| | COI recused from participation | N/A | 08/16/17 |
| 7. Oversight | Donation(s) made to CPRIT/foundation | NO NO | 08/16/17 |
| Committee Approval | Presented to CPRIT Oversight Committee | | |
| committee Approval | | 08/16/17 | 08/16/17 |
| | Award approved by Oversight Committee | YES | 08/16/17 |
| | Authority to advance funds requested | NO | 08/16/17 |
| | Advance authority approved by Oversight Committee | NO | 08/16/17 |

FY 2017 **CYCLE** 2

PROGRAM Academic Research

AWARD MECHANISM High-Impact/High-Risk Research Awards (HIHRRA)

APPLICATION ID RP170699

APPLICATION TITLE

Targeting a Metabolic Reprogramming Event for the Early Prevention

of Programming Event for the Early Prevention

of Pancreatic Cancers

APPLICANT NAME Tu, Benjamin

ORGANIZATION The University of Texas Southwestern Medical Center

| Category | Compliance Requirement | Information | Attestation Date |
|------------------------|--|-------------|------------------|
| | RFA approved by CSO | 07/20/16 | 07/19/17 |
| | RFA published in Texas.gov eGrants | 08/23/16 | 07/19/17 |
| 1. Pre-Receipt | CPRIT Application Receipt System (CARS) opened | 10/17/16 | 06/12/17 |
| | CPRIT Application Receipt System (CARS) closed | 01/17/17 | 06/12/17 |
| | Date application submitted | 01/17/17 | 06/12/17 |
| | Method of submission | CARS | 06/12/17 |
| | Within receipt period | YES | 06/12/17 |
| | Request for extension to submit application after CARS closed | N/A | 06/12/17 |
| | Request for extension for late application submission accepted | N/A | 06/12/17 |
| | Administrative review notification | N/A | 06/12/17 |
| | Donation(s) made to CPRIT/foundation | NO | 06/12/17 |
| | Assigned to primary reviewers | 03/09/17 | 06/12/17 |
| 2. Receipt, Referral, | Applicant notified of review panel assignment | 02/21/17 | 06/12/17 |
| and Assignment | Primary Reviewer 1 COI signed | 02/22/17 | 06/12/17 |
| J | Primary Reviewer 2 COI signed | 02/17/17 | 06/12/17 |
| | Primary Reviewer 3 COI signed | 02/24/17 | 06/12/17 |
| | Primary (Advocate) Reviewer 4 COI signed | 02/24/17 | 06/12/17 |
| | Primary Reviewer 1 critique submitted | N/A | 06/12/17 |
| | Primary Reviewer 1 critique submitted Primary Reviewer 2 critique submitted | N/A N/A | 06/12/17 |
| | | | |
| 2. Dualtization | Primary Reviewer 3 critique submitted | N/A | 06/12/17 |
| 3. Preliminary | Primary (Advocate) Reviewer 4 critique submitted | N/A | 06/12/17 |
| Evaluation | COI indicated by non-primary reviewer | N/A | 06/12/17 |
| | Preliminary Evaluation score summary sent to Chair | N/A | 06/12/17 |
| | Recommended for full review | N/A | 06/12/17 |
| | Applicant notified of outcome | N/A | 06/12/17 |
| | Assigned to primary reviewers | 03/09/17 | 06/12/17 |
| | Primary Reviewer 1 COI signed | 02/22/17 | 06/12/17 |
| | Primary Reviewer 2 COI signed | 02/17/17 | 06/12/17 |
| | Primary Reviewer 3 COI signed | 02/24/17 | 06/12/17 |
| | Primary (Advocate) Reviewer 4 COI signed | 02/24/17 | 06/12/17 |
| | Primary Reviewer 1 critique submitted | 04/17/17 | 06/12/17 |
| | Primary Reviewer 2 critique submitted | 04/15/17 | 06/12/17 |
| 4. Danie Barriano | Primary Reviewer 3 critique submitted | 04/15/17 | 06/12/17 |
| 4. Peer Review Meeting | Primary (Advocate) Reviewer 4 critique submitted | 04/17/17 | 06/12/17 |
| ivieeting | COI indicated by non-primary reviewer | NONE | 06/12/17 |
| | COI recused from participation | N/A | 06/12/17 |
| | Discussed at Peer Review Meeting | YES | 06/12/17 |
| | Peer Review Meeting | 04/24/17 | 06/12/17 |
| | Post review statements signed | 04/24/17 | 06/12/17 |
| | Third Party Observer Report | 04/24/17 | 06/12/17 |
| | Score report delivered to CSO | 05/10/17 | 06/12/17 |
| | Recommended for SRC Review | YES | 06/12/17 |
| | COI indicated by SRC member | NONE | 07/17/17 |
| | COI recused from participation | N/A | 07/17/17 |
| 5. Final SRC | SRC Meeting | 07/13/17 | 07/17/17 |
| Recommendation | Third Party Observer Report | 07/13/17 | 07/31/17 |
| Recommendation | | YES | |
| | Recommended for grant award | | 07/17/17 |
| | SRC Chair Notification to PIC and OC | 07/17/17 | 07/19/17 |
| | COI indicated by PIC member | NONE | 08/01/17 |
| 6. PIC Review | COI recused from participation | N/A | 08/01/17 |
| | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | YES | 08/01/17 |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/16/17 |
| | COI indicated by Oversight Committee member | NONE | 08/16/17 |
| | COI recused from participation | N/A | 08/16/17 |
| 7. Oversight | Donation(s) made to CPRIT/foundation | NO | 08/16/17 |
| Committee Approval | Presented to CPRIT Oversight Committee | 08/16/17 | 08/16/17 |
| | Award approved by Oversight Committee | YES | 08/16/17 |
| | Authority to advance funds requested | NO | 08/16/17 |
| | Authority to advance runus requested | INO | 00/10/17 |

FY 2017 **CYCLE** 2

PROGRAM Academic Research

AWARD MECHANISM High-Impact/High-Risk Research Awards (HIHRRA)

APPLICATION ID RP170722

APPLICATION TITLE

Identification of Critical Dependencies and Actionable Therapeutic

Options in Smarcb1-Deficient Pediatric Tumors

APPLICANT NAME Draetta, Giulio

ORGANIZATION The University of Texas M. D. Anderson Cancer Center

| Category | Compliance Requirement | Information | Attestation Date |
|---------------------------|--|-------------|------------------|
| | RFA approved by CSO | 07/20/16 | 07/19/17 |
| | RFA published in Texas.gov eGrants | 08/23/16 | 07/19/17 |
| 1. Pre-Receipt | CPRIT Application Receipt System (CARS) opened | 10/17/16 | 06/12/17 |
| | CPRIT Application Receipt System (CARS) closed | 01/17/17 | 06/12/17 |
| | Date application submitted | 01/17/17 | 06/12/17 |
| | Method of submission | CARS | 06/12/17 |
| | Within receipt period | YES | 06/12/17 |
| | Request for extension to submit application after CARS closed | N/A | 06/12/17 |
| | Request for extension for late application submission accepted | N/A | 06/12/17 |
| | Administrative review notification | N/A | 06/12/17 |
| | Donation(s) made to CPRIT/foundation | NO | 06/12/17 |
| | Assigned to primary reviewers | 03/09/17 | 06/12/17 |
| 2. Receipt, Referral, | Applicant notified of review panel assignment | 02/21/17 | 06/12/17 |
| and Assignment | Primary Reviewer 1 COI signed | 02/24/17 | 06/12/17 |
| J | Primary Reviewer 2 COI signed | 02/17/17 | 06/12/17 |
| | Primary Reviewer 3 COI signed | 02/23/17 | 06/12/17 |
| | Primary (Advocate) Reviewer 4 COI signed | 02/24/17 | 06/12/17 |
| | | N/A | 06/12/17 |
| | Primary Reviewer 1 critique submitted Primary Reviewer 2 critique submitted | N/A N/A | 06/12/17 |
| | Primary Reviewer 2 critique submitted Primary Reviewer 3 critique submitted | | |
| 2 Dualiusius us | | N/A | 06/12/17 |
| 3. Preliminary Evaluation | Primary (Advocate) Reviewer 4 critique submitted | N/A | 06/12/17 |
| Evaluation | COI indicated by non-primary reviewer | N/A | 06/12/17 |
| | Preliminary Evaluation score summary sent to Chair | N/A | 06/12/17 |
| | Recommended for full review | N/A | 06/12/17 |
| | Applicant notified of outcome | N/A | 06/12/17 |
| | Assigned to primary reviewers | 03/09/17 | 06/12/17 |
| | Primary Reviewer 1 COI signed | 02/24/17 | 06/12/17 |
| | Primary Reviewer 2 COI signed | 02/17/17 | 06/12/17 |
| | Primary Reviewer 3 COI signed | 02/23/17 | 06/12/17 |
| | Primary (Advocate) Reviewer 4 COI signed | 02/24/17 | 06/12/17 |
| | Primary Reviewer 1 critique submitted | 04/15/17 | 06/12/17 |
| | Primary Reviewer 2 critique submitted | 04/16/17 | 06/12/17 |
| 4 Daniel Davidson | Primary Reviewer 3 critique submitted | 04/16/17 | 06/12/17 |
| 4. Peer Review | Primary (Advocate) Reviewer 4 critique submitted | 04/17/17 | 06/12/17 |
| Meeting | COI indicated by non-primary reviewer | NONE | 06/12/17 |
| | COI recused from participation | N/A | 06/12/17 |
| | Discussed at Peer Review Meeting | YES | 06/12/17 |
| | Peer Review Meeting | 04/24/17 | 06/12/17 |
| | Post review statements signed | 04/24/17 | 06/12/17 |
| | Third Party Observer Report | 04/24/17 | 06/12/17 |
| | Score report delivered to CSO | 05/10/17 | 06/12/17 |
| | Recommended for SRC Review | YES | 06/12/17 |
| | COI indicated by SRC member | NONE | 07/17/17 |
| | COI recused from participation | N/A | 07/17/17 |
| 5. Final SRC | SRC Meeting | 07/13/17 | 07/17/17 |
| Recommendation | Third Party Observer Report | 07/13/17 | 07/31/17 |
| Recommendation | | YES | |
| | Recommended for grant award | | 07/17/17 |
| | SRC Chair Notification to PIC and OC | 07/17/17 | 07/19/17 |
| | COI indicated by PIC member | NONE | 08/01/17 |
| 6. PIC Review | COI recused from participation | N/A | 08/01/17 |
| | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | YES | 08/01/17 |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/16/17 |
| | COI indicated by Oversight Committee member | NONE | 08/16/17 |
| | COI recused from participation | N/A | 08/16/17 |
| 7. Oversight | Donation(s) made to CPRIT/foundation | NO | 08/16/17 |
| Committee Approval | Presented to CPRIT Oversight Committee | 08/16/17 | 08/16/17 |
| | Award approved by Oversight Committee | YES | 08/16/17 |
| | | NO | |
| | Authority to advance funds requested | NO | 08/16/17 |

FY 2017 **CYCLE** 2

PROGRAM Academic Research

AWARD MECHANISM High-Impact/High-Risk Research Awards (HIHRRA)

APPLICATION ID RP170734

APPLICATION TITLE Mitochondrial DNA Instability Engages a Cancer-Related Interferon Program to Modify the Immune Microenvironment and NAD+

APPLICANT NAME West, Andrew

ORGANIZATION Texas A&M University System Health Science Center

| Category | Compliance Requirement | Information | Attestation Date |
|---------------------------|--|-------------|------------------|
| | RFA approved by CSO | 07/20/16 | 07/19/17 |
| | RFA published in Texas.gov eGrants | 08/23/16 | 07/19/17 |
| | CPRIT Application Receipt System (CARS) opened | 10/17/16 | 06/12/17 |
| | CPRIT Application Receipt System (CARS) closed | 01/17/17 | 06/12/17 |
| 1. Pre-Receipt | Date application submitted | 01/17/17 | 06/12/17 |
| | Method of submission | CARS | 06/12/17 |
| | Within receipt period | YES | 06/12/17 |
| | Request for extension to submit application after CARS closed | N/A | 06/12/17 |
| | Request for extension for late application submission accepted | N/A | 06/12/17 |
| | Administrative review notification | N/A | 06/12/17 |
| | Donation(s) made to CPRIT/foundation | NO | 06/12/17 |
| | Assigned to primary reviewers | 03/09/17 | 06/12/17 |
| 2. Receipt, Referral, | Applicant notified of review panel assignment | 02/21/17 | 06/12/17 |
| and Assignment | Primary Reviewer 1 COI signed | 02/17/17 | 06/12/17 |
| | Primary Reviewer 2 COI signed | 02/17/17 | 06/12/17 |
| | Primary Reviewer 3 COI signed | 02/22/17 | 06/12/17 |
| | Primary (Advocate) Reviewer 4 COI signed | 02/24/17 | 06/12/17 |
| | Primary Reviewer 1 critique submitted | N/A | 06/12/17 |
| | Primary Reviewer 2 critique submitted | N/A | 06/12/17 |
| | Primary Reviewer 3 critique submitted | N/A | 06/12/17 |
| 3. Preliminary | Primary (Advocate) Reviewer 4 critique submitted | N/A | 06/12/17 |
| Evaluation | COI indicated by non-primary reviewer | N/A | 06/12/17 |
| | Preliminary Evaluation score summary sent to Chair | N/A | 06/12/17 |
| | Recommended for full review | N/A | 06/12/17 |
| | Applicant notified of outcome | N/A | 06/12/17 |
| | Assigned to primary reviewers | 03/09/17 | 06/12/17 |
| | Primary Reviewer 1 COI signed | 02/17/17 | 06/12/17 |
| | Primary Reviewer 2 COI signed | 02/17/17 | 06/12/17 |
| | Primary Reviewer 3 COI signed | 02/22/17 | 06/12/17 |
| | Primary (Advocate) Reviewer 4 COI signed | 02/24/17 | 06/12/17 |
| | Primary Reviewer 1 critique submitted | 04/17/17 | 06/12/17 |
| | Primary Reviewer 2 critique submitted | 04/14/17 | 06/12/17 |
| A. Da an Davison | Primary Reviewer 3 critique submitted | 04/16/17 | 06/12/17 |
| 4. Peer Review Meeting | Primary (Advocate) Reviewer 4 critique submitted | 04/14/17 | 06/12/17 |
| ivieeting | COI indicated by non-primary reviewer | NONE | 06/12/17 |
| | COI recused from participation | N/A | 06/12/17 |
| | Discussed at Peer Review Meeting | YES | 06/12/17 |
| | Peer Review Meeting | 04/24/17 | 06/12/17 |
| | Post review statements signed | 04/24/17 | 06/12/17 |
| | Third Party Observer Report | 04/24/17 | 06/12/17 |
| | Score report delivered to CSO | 05/10/17 | 06/12/17 |
| | Recommended for SRC Review | YES | 06/12/17 |
| | COI indicated by SRC member | NONE | 07/17/17 |
| | COI recused from participation | N/A | 07/17/17 |
| 5. Final SRC | SRC Meeting | 07/13/17 | 07/17/17 |
| Recommendation | Third Party Observer Report | 07/13/17 | 07/31/17 |
| | Recommended for grant award | YES | 07/17/17 |
| | SRC Chair Notification to PIC and OC | 07/17/17 | 07/19/17 |
| | COI indicated by PIC member | NONE | 08/01/17 |
| | COI recused from participation | N/A | 08/01/17 |
| 6. PIC Review | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | YES | 08/01/17 |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/16/17 |
| | COI indicated by Oversight Committee member | NONE | 08/16/17 |
| | COI recused from participation | N/A | 08/16/17 |
| 7. Oversight | Donation(s) made to CPRIT/foundation | NO | 08/16/17 |
| Committee Approval | Presented to CPRIT Oversight Committee | 08/16/17 | 08/16/17 |
| | Award approved by Oversight Committee | YES | 08/16/17 |
| | Authority to advance funds requested | NO | 08/16/17 |
| | 7 | | ,, |

FY 2017 **CYCLE** 2

PROGRAM Academic Research

AWARD MECHANISM Core Facility Support Awards (CFSA)

APPLICATION ID RP170644

APPLICATION TITLE

Establish a New Cryo-Electron Microscopy Core Facility and Service for Structure Determination at UT Southwestern Medical Center

APPLICANT NAME Nicastro, Daniela

ORGANIZATION The University of Texas Southwestern Medical Center

| Category | Compliance Requirement | Information | Attestation Date |
|-----------------------|--|-------------|------------------|
| | RFA approved by CSO | 07/20/16 | 07/19/17 |
| | RFA published in Texas.gov eGrants | 08/23/16 | 07/19/17 |
| | CPRIT Application Receipt System (CARS) opened | 10/17/16 | 06/02/17 |
| | CPRIT Application Receipt System (CARS) closed | 01/17/17 | 06/02/17 |
| 1. Pre-Receipt | Date application submitted | 01/17/17 | 06/02/17 |
| | Method of submission | CARS | 06/02/17 |
| | Within receipt period | YES | 06/02/17 |
| | Request for extension to submit application after CARS closed | N/A | 06/02/17 |
| | Request for extension for late application submission accepted | N/A | 06/02/17 |
| | Administrative review notification | N/A | 06/02/17 |
| | Donation(s) made to CPRIT/foundation | NO | 06/02/17 |
| | Assigned to primary reviewers | 03/08/17 | 06/02/17 |
| 2. Receipt, Referral, | Applicant notified of review panel assignment | 02/21/17 | 06/02/17 |
| and Assignment | Primary Reviewer 1 COI signed | 02/18/17 | 06/02/17 |
| | Primary Reviewer 2 COI signed | 02/17/17 | 06/02/17 |
| | Primary Reviewer 3 COI signed | 02/17/17 | 06/02/17 |
| | Primary (Advocate) Reviewer 4 COI signed | 02/18/17 | 06/02/17 |
| | Primary Reviewer 1 critique submitted | N/A | 06/02/17 |
| | Primary Reviewer 2 critique submitted | N/A | 06/02/17 |
| a a 1' ' | Primary Reviewer 3 critique submitted | N/A | 06/02/17 |
| 3. Preliminary | Primary (Advocate) Reviewer 4 critique submitted | N/A | 06/02/17 |
| Evaluation | COI indicated by non-primary reviewer | N/A | 06/02/17 |
| | Preliminary Evaluation score summary sent to Chair | N/A | 06/02/17 |
| | Recommended for full review | N/A | 06/02/17 |
| | Applicant notified of outcome | N/A | 06/02/17 |
| | Assigned to primary reviewers | 03/08/17 | 06/02/17 |
| | Primary Reviewer 1 COI signed | 02/18/17 | 06/02/17 |
| | Primary Reviewer 2 COI signed | 02/17/17 | 06/02/17 |
| | Primary Reviewer 3 COI signed | 02/17/17 | 06/02/17 |
| | Primary (Advocate) Reviewer 4 COI signed | 02/18/17 | 06/02/17 |
| | Primary Reviewer 1 critique submitted | 04/10/17 | 06/02/17 |
| | Primary Reviewer 2 critique submitted | 04/09/17 | 06/02/17 |
| 4. Peer Review | Primary Reviewer 3 critique submitted | 04/11/17 | 06/02/17 |
| Meeting | Primary (Advocate) Reviewer 4 critique submitted | 03/08/17 | 06/02/17 |
| | COI indicated by non-primary reviewer | NONE | 06/02/17 |
| | COI recused from participation | N/A | 06/02/17 |
| | Discussed at Peer Review Meeting | YES | 06/02/17 |
| | Peer Review Meeting | 04/19/17 | 06/02/17 |
| | Post review statements signed | 04/19/17 | 06/02/17 |
| | Third Party Observer Report | 04/19/17 | 06/02/17 |
| | Score report delivered to CSO | 05/10/17 | 06/02/17 |
| | Recommended for SRC Review | YES | 06/02/17 |
| | COI indicated by SRC member | NONE | 07/17/17 |
| | COI recused from participation | N/A | 07/17/17 |
| 5. Final SRC | SRC Meeting | 07/13/17 | 07/17/17 |
| Recommendation | Third Party Observer Report | 07/13/17 | 07/31/17 |
| | Recommended for grant award | YES | 07/17/17 |
| | SRC Chair Notification to PIC and OC | 07/17/19 | 07/19/17 |
| | COI indicated by PIC member | NONE | 08/01/17 |
| 6. PIC Review | COI recused from participation | N/A | 08/01/17 |
| | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | YES | 08/01/17 |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/16/17 |
| 7. Oversight | COI indicated by Oversight Committee member | NONE | 08/16/17 |
| | COI recused from participation | N/A | 08/16/17 |
| | Donation(s) made to CPRIT/foundation | NO | 08/16/17 |
| Committee Approval | Presented to CPRIT Oversight Committee | 08/16/17 | 08/16/17 |
| | Award approved by Oversight Committee | YES | 08/16/17 |
| | Authority to advance funds requested | NO | 08/16/17 |
| | Advance authority approved by Oversight Committee | NO | 08/16/17 |

FY 2017 **CYCLE** 2

PROGRAM Academic Research

AWARD MECHANISM High-Impact/High-Risk Research Awards (HIHRRA)

APPLICATION ID RP170653

APPLICATION TITLE

Identify Streptococcus gallolyticus Factors Important for Promoting

Colorectal Tumor Development

APPLICANT NAME Xu, Yi

ORGANIZATION Texas A&M University System Health Science Center

| Category | Compliance Requirement | Information | Attestation Date |
|-----------------------|--|------------------|----------------------|
| | RFA approved by CSO | 07/20/16 | 07/19/17 |
| | RFA published in Texas.gov eGrants | 08/23/16 | 07/19/17 |
| | CPRIT Application Receipt System (CARS) opened | 10/17/16 | 06/02/17 |
| | CPRIT Application Receipt System (CARS) closed | 01/17/17 | 06/02/17 |
| 1. Pre-Receipt | Date application submitted | 01/17/17 | 06/02/17 |
| | Method of submission | CARS | 06/02/17 |
| | Within receipt period | YES | 06/02/17 |
| | Request for extension to submit application after CARS closed | N/A | 06/02/17 |
| | Request for extension for late application submission accepted | N/A | 06/02/17 |
| | Administrative review notification | N/A | 06/02/17 |
| | Donation(s) made to CPRIT/foundation | NO | 06/02/17 |
| | Assigned to primary reviewers | 03/08/17 | 06/02/17 |
| 2. Receipt, Referral, | Applicant notified of review panel assignment | 02/21/17 | 06/02/17 |
| and Assignment | Primary Reviewer 1 COI signed | 02/22/17 | 06/02/17 |
| | Primary Reviewer 2 COI signed | 02/17/17 | 06/02/17 |
| | Primary Reviewer 3 COI signed | 02/17/17 | 06/02/17 |
| | Primary (Advocate) Reviewer 4 COI signed | 02/20/17 | 06/02/17 |
| | Primary Reviewer 1 critique submitted | N/A | 06/02/17 |
| | Primary Reviewer 2 critique submitted | N/A | 06/02/17 |
| | Primary Reviewer 3 critique submitted | N/A | 06/02/17 |
| 3. Preliminary | Primary (Advocate) Reviewer 4 critique submitted | N/A | 06/02/17 |
| Evaluation | COI indicated by non-primary reviewer | N/A | 06/02/17 |
| | Preliminary Evaluation score summary sent to Chair | N/A | 06/02/17 |
| | Recommended for full review | N/A | 06/02/17 |
| | Applicant notified of outcome | N/A | 06/02/17 |
| | Assigned to primary reviewers | 03/08/17 | 06/02/17 |
| | Primary Reviewer 1 COI signed | 02/22/17 | 06/02/17 |
| | Primary Reviewer 2 COI signed | 02/17/17 | 06/02/17 |
| | Primary Reviewer 3 COI signed | 02/17/17 | 06/02/17 |
| | Primary (Advocate) Reviewer 4 COI signed | 02/20/17 | 06/02/17 |
| | Primary Reviewer 1 critique submitted | 04/14/17 | 06/02/17 |
| | Primary Reviewer 2 critique submitted | 04/07/17 | 06/02/17 |
| 4. Peer Review | Primary Reviewer 3 critique submitted | 03/13/17 | 06/02/17 |
| Meeting | Primary (Advocate) Reviewer 4 critique submitted | 04/03/17 NONE | 06/02/17 |
| | COI regulated by non-primary reviewer | | 06/02/17 |
| | COI recused from participation | N/A YES | 06/02/17 |
| | Discussed at Peer Review Meeting | 04/19/17 | 06/02/17 06/02/17 |
| | Peer Review Meeting Post review statements signed | 04/19/17 | 06/02/17 |
| | Third Party Observer Report | 04/19/17 | 06/02/17 |
| | Score report delivered to CSO | 05/10/17 | 06/02/17 |
| | Recommended for SRC Review | YES | 06/02/17 |
| | COI indicated by SRC member | NONE | 07/17/17 |
| | COI recused from participation | N/A | 07/17/17 |
| 5. Final SRC | SRC Meeting | 07/13/17 | 07/17/17 |
| Recommendation | Third Party Observer Report | 07/13/17 | 07/31/17 |
| | Recommended for grant award | YES | 07/17/17 |
| | SRC Chair Notification to PIC and OC | 07/17/19 | 07/19/17 |
| | COI indicated by PIC member | NONE | 08/01/17 |
| | COI recused from participation | N/A | 08/01/17 |
| 6. PIC Review | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | YES | 08/01/17 |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/16/17 |
| | COI indicated by Oversight Committee member | NONE | 08/16/17 |
| | COI recused from participation | N/A | 08/16/17 |
| 7. Oversight | Donation(s) made to CPRIT/foundation | NO | 08/16/17 |
| Committee Approval | Presented to CPRIT Oversight Committee | 08/16/17 | 08/16/17 |
| | Award approved by Oversight Committee | YES | 08/16/17 |
| | Authority to advance funds requested | NO | 08/16/17 |
| | ., | NO | 08/16/17 |

FY 2017 **CYCLE** 2

PROGRAM Academic Research

AWARD MECHANISM High-Impact/High-Risk Research Awards (HIHRRA)

APPLICATION ID RP170660

APPLICATION TITLE Optogenetic Toolkit for Precise Epigenome Editing in Cancer Cells

APPLICANT NAME Zhou, Yubin

ORGANIZATION Texas A&M University System Health Science Center

| Cohomowy | Compliance Benningment | Information | Attactation Data |
|---------------------------|---|----------------------|----------------------|
| Category | Compliance Requirement | Information | Attestation Date |
| | RFA approved by CSO | 07/20/16 | 07/19/17 |
| | RFA published in Texas.gov eGrants | 08/23/16 | 07/19/17 |
| | CPRIT Application Receipt System (CARS) opened | 10/17/16 | 06/02/17 |
| | CPRIT Application Receipt System (CARS) closed | 01/17/17 | 06/02/17 |
| 1. Pre-Receipt | Date application submitted | 01/13/17 | 06/02/17 |
| | Method of submission | CARS | 06/02/17 |
| | Within receipt period | YES | 06/02/17 |
| | Request for extension to submit application after CARS closed | N/A | 06/02/17 |
| | Request for extension for late application submission accepted | N/A | 06/02/17 |
| | Administrative review notification | N/A | 06/02/17 |
| | Donation(s) made to CPRIT/foundation | NO | 06/02/17 |
| | Assigned to primary reviewers | 03/08/17 | 06/02/17 |
| 2. Receipt, Referral, | Applicant notified of review panel assignment | 02/21/17 | 06/02/17 |
| and Assignment | Primary Reviewer 1 COI signed | 02/26/17 | 06/02/17 |
| | Primary Reviewer 2 COI signed | 02/17/17 | 06/02/17 |
| | Primary Reviewer 3 COI signed | 02/24/17 | 06/02/17 |
| | Primary (Advocate) Reviewer 4 COI signed | 02/18/17 | 06/02/17 |
| | Primary Reviewer 1 critique submitted | N/A | 06/02/17 |
| | Primary Reviewer 2 critique submitted | N/A | 06/02/17 |
| 0 D II I | Primary Reviewer 3 critique submitted | N/A | 06/02/17 |
| 3. Preliminary Evaluation | Primary (Advocate) Reviewer 4 critique submitted | N/A | 06/02/17 |
| Evaluation | COI indicated by non-primary reviewer | N/A | 06/02/17 |
| | Preliminary Evaluation score summary sent to Chair | N/A | 06/02/17 |
| | Recommended for full review | N/A | 06/02/17 |
| | Applicant notified of outcome | N/A | 06/02/17 |
| | Assigned to primary reviewers | 03/08/17 02/26/17 | 06/02/17 |
| | Primary Reviewer 1 COI signed | | 06/02/17 06/02/17 |
| | Primary Reviewer 2 COI signed Primary Reviewer 3 COI signed | 02/17/17 02/24/17 | 06/02/17 |
| | Primary (Advocate) Reviewer 4 COI signed | 02/18/17 | 06/02/17 |
| | Primary Reviewer 1 critique submitted | 04/10/17 | 06/02/17 |
| | Primary Reviewer 2 critique submitted | 03/15/17 | 06/02/17 |
| | Primary Reviewer 2 critique submitted | 04/09/17 | 06/02/17 |
| 4. Peer Review | Primary (Advocate) Reviewer 4 critique submitted | 03/10/17 | 06/02/17 |
| Meeting | COI indicated by non-primary reviewer | NONE | 06/02/17 |
| | COI recused from participation | N/A | 06/02/17 |
| | Discussed at Peer Review Meeting | YES | 06/02/17 |
| | Peer Review Meeting | 04/19/17 | 06/02/17 |
| | Post review statements signed | 04/19/17 | 06/02/17 |
| | Third Party Observer Report | 04/19/17 | 06/02/17 |
| | Score report delivered to CSO | 05/10/17 | 06/02/17 |
| | Recommended for SRC Review | YES | 06/02/17 |
| | COI indicated by SRC member | NONE | 07/17/17 |
| | COI recused from participation | N/A | 07/17/17 |
| 5. Final SRC | SRC Meeting | 07/13/17 | 07/17/17 |
| Recommendation | Third Party Observer Report | 07/13/17 | 07/31/17 |
| | Recommended for grant award | YES | 07/17/17 |
| | SRC Chair Notification to PIC and OC | 07/17/19 | 07/19/17 |
| | COI indicated by PIC member | NONE | 08/01/17 |
| 6 BIO 5 | COI recused from participation | N/A | 08/01/17 |
| 6. PIC Review | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | YES | 08/01/17 |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/16/17 |
| | COI indicated by Oversight Committee member | NONE | 08/16/17 |
| 7. Oversight | COI recused from participation | N/A | 08/16/17 |
| | Donation(s) made to CPRIT/foundation | NO | 08/16/17 |
| Committee Approval | Presented to CPRIT Oversight Committee | 08/16/17 | 08/16/17 |
| , pp. otal | - | | 08/16/17 |
| | Award approved by Oversight Committee | YES | 00/10/1/ |
| | Award approved by Oversight Committee Authority to advance funds requested | NO | 08/16/17 |

FY 2017 **CYCLE** 2

PROGRAM Academic Research

AWARD MECHANISM High-Impact/High-Risk Research Awards (HIHRRA)

APPLICATION ID RP170674

APPLICATION TITLE

Regulation of Cancer Cell Migration by Secreted Protein

Regulation of Cancer Cell Migration by Secreted Protein

Phosphorylation

APPLICANT NAME Tagliabracci, Vincent

ORGANIZATION The University of Texas Southwestern Medical Center

| Category | Compliance Requirement | Information | Attestation Date |
|------------------------|--|-------------|------------------|
| | RFA approved by CSO | 07/20/16 | 07/19/17 |
| | RFA published in Texas.gov eGrants | 08/23/16 | 07/19/17 |
| | CPRIT Application Receipt System (CARS) opened | 10/17/16 | 06/02/17 |
| | CPRIT Application Receipt System (CARS) closed | 01/17/17 | 06/02/17 |
| 1. Pre-Receipt | Date application submitted | 01/16/17 | 06/02/17 |
| | Method of submission | CARS | 06/02/17 |
| | Within receipt period | YES | 06/02/17 |
| | Request for extension to submit application after CARS closed | N/A | 06/02/17 |
| | Request for extension for late application submission accepted | N/A | 06/02/17 |
| | Administrative review notification | N/A | 06/02/17 |
| | Donation(s) made to CPRIT/foundation | NO | 06/02/17 |
| | Assigned to primary reviewers | 03/08/17 | 06/02/17 |
| 2. Receipt, Referral, | Applicant notified of review panel assignment | 02/21/17 | 06/02/17 |
| and Assignment | Primary Reviewer 1 COI signed | 02/20/17 | 06/02/17 |
| | Primary Reviewer 2 COI signed | 02/23/17 | 06/02/17 |
| | Primary Reviewer 3 COI signed | 02/17/17 | 06/02/17 |
| | Primary (Advocate) Reviewer 4 COI signed | 02/18/17 | 06/02/17 |
| | Primary Reviewer 1 critique submitted | N/A | 06/02/17 |
| | Primary Reviewer 2 critique submitted | N/A | 06/02/17 |
| | Primary Reviewer 3 critique submitted | N/A | 06/02/17 |
| 3. Preliminary | Primary (Advocate) Reviewer 4 critique submitted | N/A | 06/02/17 |
| Evaluation | COI indicated by non-primary reviewer | N/A | 06/02/17 |
| | Preliminary Evaluation score summary sent to Chair | N/A | 06/02/17 |
| | Recommended for full review | N/A | 06/02/17 |
| | Applicant notified of outcome | N/A | 06/02/17 |
| | Assigned to primary reviewers | 03/08/17 | 06/02/17 |
| | Primary Reviewer 1 COI signed | 02/20/17 | 06/02/17 |
| | Primary Reviewer 2 COI signed | 02/23/17 | 06/02/17 |
| | Primary Reviewer 3 COI signed | 02/17/17 | 06/02/17 |
| | Primary (Advocate) Reviewer 4 COI signed | 02/18/17 | 06/02/17 |
| | Primary Reviewer 1 critique submitted | 04/09/17 | 06/02/17 |
| | Primary Reviewer 2 critique submitted | 04/08/17 | 06/02/17 |
| 4. Door Doudou | Primary Reviewer 3 critique submitted | 03/10/17 | 06/02/17 |
| 4. Peer Review Meeting | Primary (Advocate) Reviewer 4 critique submitted | 03/10/17 | 06/02/17 |
| Wieeting | COI indicated by non-primary reviewer | NONE | 06/02/17 |
| | COI recused from participation | N/A | 06/02/17 |
| | Discussed at Peer Review Meeting | YES | 06/02/17 |
| | Peer Review Meeting | 04/19/17 | 06/02/17 |
| | Post review statements signed | 04/19/17 | 06/02/17 |
| | Third Party Observer Report | 04/19/17 | 06/02/17 |
| | Score report delivered to CSO | 05/10/17 | 06/02/17 |
| | Recommended for SRC Review | YES | 06/02/17 |
| | COI indicated by SRC member | NONE | 07/17/17 |
| | COI recused from participation | N/A | 07/17/17 |
| 5. Final SRC | SRC Meeting | 07/13/17 | 07/17/17 |
| Recommendation | Third Party Observer Report | 07/13/17 | 07/31/17 |
| | Recommended for grant award | YES | 07/17/17 |
| | SRC Chair Notification to PIC and OC | 07/17/19 | 07/19/17 |
| | COI indicated by PIC member | NONE | 08/01/17 |
| | COI recused from participation | N/A | 08/01/17 |
| 6. PIC Review | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | YES | 08/01/17 |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/16/17 |
| | COI indicated by Oversight Committee member | NONE | 08/16/17 |
| | COI recused from participation | N/A | 08/16/17 |
| 7. Oversight | Donation(s) made to CPRIT/foundation | NO | 08/16/17 |
| Committee Approval | Presented to CPRIT Oversight Committee | 08/16/17 | 08/16/17 |
| | Award approved by Oversight Committee | YES | 08/16/17 |
| | Authority to advance funds requested | NO | 08/16/17 |
| | Advance authority approved by Oversight Committee | NO | 08/16/17 |

FY 2017 **CYCLE** 2

PROGRAM Academic Research

AWARD MECHANISM Core Facility Support Awards (CFSA)

APPLICATION ID RP170691

APPLICATION TITLE Patient-Derived Xenograft and Advanced in Vivo Models (PDX-AIM)

APPLICANT NAME Core Facility

APPLICANT NAME Lewis, Michael

ORGANIZATION Baylor College of Medicine **PANEL NAME** 17.2 Cancer Biology (17.2 CB)

| Category | Compliance Requirement | Information | Attestation Date |
|-----------------------|--|------------------|------------------|
| | RFA approved by CSO | 07/20/16 | 07/19/17 |
| | RFA published in Texas.gov eGrants | 08/23/16 | 07/19/17 |
| | CPRIT Application Receipt System (CARS) opened | 10/17/16 | 06/02/17 |
| | CPRIT Application Receipt System (CARS) closed | 01/17/17 | 06/02/17 |
| 1. Pre-Receipt | Date application submitted | 01/17/17 | 06/02/17 |
| | Method of submission | CARS | 06/02/17 |
| | Within receipt period | YES | 06/02/17 |
| | Request for extension to submit application after CARS closed | N/A | 06/02/17 |
| | Request for extension for late application submission accepted | N/A | 06/02/17 |
| | Administrative review notification | N/A | 06/02/17 |
| | Donation(s) made to CPRIT/foundation | NO | 06/02/17 |
| | Assigned to primary reviewers | 03/08/17 | 06/02/17 |
| 2. Receipt, Referral, | Applicant notified of review panel assignment | 02/21/17 | 06/02/17 |
| and Assignment | Primary Reviewer 1 COI signed | 02/24/17 | 06/02/17 |
| | Primary Reviewer 2 COI signed | 02/18/17 | 06/02/17 |
| | Primary Reviewer 3 COI signed | 02/23/17 | 06/02/17 |
| | Primary (Advocate) Reviewer 4 COI signed | 02/18/17 | 06/02/17 |
| | Primary Reviewer 1 critique submitted | N/A | 06/02/17 |
| | Primary Reviewer 2 critique submitted | N/A | 06/02/17 |
| | Primary Reviewer 3 critique submitted | N/A | 06/02/17 |
| 3. Preliminary | Primary (Advocate) Reviewer 4 critique submitted | N/A | 06/02/17 |
| Evaluation | COI indicated by non-primary reviewer | N/A | 06/02/17 |
| | Preliminary Evaluation score summary sent to Chair | N/A | 06/02/17 |
| | Recommended for full review | N/A | 06/02/17 |
| | Applicant notified of outcome | N/A | 06/02/17 |
| | Assigned to primary reviewers | 03/08/17 | 06/02/17 |
| | Primary Reviewer 1 COI signed | 02/24/17 | 06/02/17 |
| | Primary Reviewer 2 COI signed | 02/18/17 | 06/02/17 |
| | Primary Reviewer 3 COI signed | 02/23/17 | 06/02/17 |
| | Primary (Advocate) Reviewer 4 COI signed | 02/18/17 | 06/02/17 |
| | Primary Reviewer 1 critique submitted | 04/08/17 | 06/02/17 |
| | Primary Reviewer 2 critique submitted | 04/08/17 | 06/02/17 |
| | Primary Reviewer 3 critique submitted | 04/09/17 | 06/02/17 |
| | Primary (Advocate) Reviewer 4 critique submitted | 03/10/17 | 06/02/17 |
| 4. Peer Review | COI indicated by non-primary reviewer | Greene, Geoffrey | 06/02/17 |
| Meeting | COI recused from participation | YES | 06/02/17 |
| | COI indicated by non-primary reviewer | Wahl, Geoffrey | 06/02/17 |
| | COI recused from participation | YES | 06/02/17 |
| | Discussed at Peer Review Meeting | YES | 06/02/17 |
| | Peer Review Meeting | 04/19/17 | 06/02/17 |
| | Post review statements signed | 04/19/17 | 06/02/17 |
| | Third Party Observer Report | 04/19/17 | 06/02/17 |
| | Score report delivered to CSO | 05/10/17 | 06/02/17 |
| | Recommended for SRC Review | YES | 06/02/17 |
| | COI indicated by SRC member | NONE | 07/17/17 |
| | COI recused from participation | N/A | 07/17/17 |
| 5. Final SRC | SRC Meeting | 07/13/17 | 07/17/17 |
| Recommendation | Third Party Observer Report | 07/13/17 | 07/31/17 |
| | Recommended for grant award | YES | 07/17/17 |
| | SRC Chair Notification to PIC and OC | 07/17/19 | 07/19/17 |
| | COI indicated by PIC member | NONE | 08/01/17 |
| | COI recused from participation | N/A | 08/01/17 |
| 6. PIC Review | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | VES | 08/01/17 |
| | | | |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/16/17 |
| | COI regueed from participation | NONE | 08/16/17 |
| 7 Oversteld | COI recused from participation | N/A | 08/16/17 |
| 7. Oversight | Donation(s) made to CPRIT/foundation | NO | 08/16/17 |
| Committee Approval | Presented to CPRIT Oversight Committee | 08/16/17 | 08/16/17 |
| | Award approved by Oversight Committee | YES | 08/16/17 |
| | Authority to advance funds requested | NO | 08/16/17 |
| | Advance authority approved by Oversight Committee | NO | 08/16/17 |

FY 2017 **CYCLE** 2

PROGRAM Academic Research

AWARD MECHANISM High-Impact/High-Risk Research Awards (HIHRRA)

APPLICATION ID RP170805

APPLICATION TITLEEtiology and Prevention of Gastric Cancers by Mitigation of H pylori

APPLICATION TITLE

Mechanosensing

Lele, Pushkar

ORGANIZATION

Texas A&M University

PANEL NAME

17.2 Cancer Biology (17.2 CB)

| Category | Compliance Requirement | Information | Attestation Date |
|---------------------------|--|-------------|------------------|
| | RFA approved by CSO | 07/20/16 | 07/19/17 |
| | RFA published in Texas.gov eGrants | 08/23/16 | 07/19/17 |
| | CPRIT Application Receipt System (CARS) opened | 10/17/16 | 06/02/17 |
| | CPRIT Application Receipt System (CARS) closed | 01/17/17 | 06/02/17 |
| 1. Pre-Receipt | Date application submitted | 01/17/17 | 06/02/17 |
| | Method of submission | CARS | 06/02/17 |
| | Within receipt period | YES | 06/02/17 |
| | Request for extension to submit application after CARS closed | N/A | 06/02/17 |
| | Request for extension for late application submission accepted | N/A | 06/02/17 |
| | Administrative review notification | N/A | 06/02/17 |
| | Donation(s) made to CPRIT/foundation | NO | 06/02/17 |
| | Assigned to primary reviewers | 03/08/17 | 06/02/17 |
| 2. Receipt, Referral, | Applicant notified of review panel assignment | 02/21/17 | 06/02/17 |
| and Assignment | Primary Reviewer 1 COI signed | 02/24/17 | 06/02/17 |
| J | Primary Reviewer 2 COI signed | 02/22/17 | 06/02/17 |
| | Primary Reviewer 3 COI signed | 02/18/17 | 06/02/17 |
| | Primary (Advocate) Reviewer 4 COI signed | 02/20/17 | 06/02/17 |
| | Primary Reviewer 1 critique submitted | N/A | 06/02/17 |
| | Primary Reviewer 1 Critique Submitted Primary Reviewer 2 critique submitted | N/A N/A | 06/02/17 |
| | Primary Reviewer 2 critique submitted Primary Reviewer 3 critique submitted | | |
| 2 Drolimin | i i i i i i i i i i i i i i i i i i i | N/A | 06/02/17 |
| 3. Preliminary Evaluation | Primary (Advocate) Reviewer 4 critique submitted | N/A | 06/02/17 |
| Evaluation | COI indicated by non-primary reviewer | N/A | 06/02/17 |
| | Preliminary Evaluation score summary sent to Chair | N/A | 06/02/17 |
| | Recommended for full review | N/A | 06/02/17 |
| | Applicant notified of outcome | N/A | 06/02/17 |
| | Assigned to primary reviewers | 03/08/17 | 06/02/17 |
| | Primary Reviewer 1 COI signed | 02/24/17 | 06/02/17 |
| | Primary Reviewer 2 COI signed | 02/22/17 | 06/02/17 |
| | Primary Reviewer 3 COI signed | 02/18/17 | 06/02/17 |
| | Primary (Advocate) Reviewer 4 COI signed | 02/20/17 | 06/02/17 |
| | Primary Reviewer 1 critique submitted | 04/11/17 | 06/02/17 |
| | Primary Reviewer 2 critique submitted | 04/16/17 | 06/02/17 |
| 4. Door Dovious | Primary Reviewer 3 critique submitted | 04/08/17 | 06/02/17 |
| 4. Peer Review Meeting | Primary (Advocate) Reviewer 4 critique submitted | 04/09/17 | 06/02/17 |
| ivieeting | COI indicated by non-primary reviewer | NONE | 06/02/17 |
| | COI recused from participation | N/A | 06/02/17 |
| | Discussed at Peer Review Meeting | YES | 06/02/17 |
| | Peer Review Meeting | 04/19/17 | 06/02/17 |
| | Post review statements signed | 04/19/17 | 06/02/17 |
| | Third Party Observer Report | 04/19/17 | 06/02/17 |
| | Score report delivered to CSO | 05/10/17 | 06/02/17 |
| | Recommended for SRC Review | YES | 06/02/17 |
| | COI indicated by SRC member | NONE | 07/17/17 |
| | COI recused from participation | N/A | 07/17/17 |
| 5. Final SRC | SRC Meeting | 07/13/17 | 07/17/17 |
| Recommendation | Third Party Observer Report | 07/13/17 | 07/31/17 |
| necommendation | Recommended for grant award | YES | 07/17/17 |
| | SRC Chair Notification to PIC and OC | 07/17/19 | 07/17/17 |
| | | | |
| | COI regueed from participation | NONE N/A | 08/01/17 |
| 6. PIC Review | COI recused from participation | N/A | 08/01/17 |
| | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | YES | 08/01/17 |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/16/17 |
| | COI indicated by Oversight Committee member | NONE | 08/16/17 |
| | COI recused from participation | N/A | 08/16/17 |
| 7. Oversight | Donation(s) made to CPRIT/foundation | NO | 08/16/17 |
| Committee Approval | Presented to CPRIT Oversight Committee | 08/16/17 | 08/16/17 |
| | Award approved by Oversight Committee | YES | 08/16/17 |
| | Authority to advance funds requested | NO | 08/16/17 |
| | | | 08/16/17 |

FY 2017 **CYCLE** 2

PROGRAM Academic Research

AWARD MECHANISM High-Impact/High-Risk Research Awards (HIHRRA)

APPLICATION ID RP170696

APPLICATION TITLE

Targeting Cathepsin L as a Selective Mechanism for the Release of

Potent Anticancer Agents from Drug-Linker Conjugates

APPLICANT NAME Pinney, Kevin **ORGANIZATION** Baylor University

| Category | Compliance Requirement | Information | Attestation Date |
|-----------------------|--|-------------|------------------|
| | RFA approved by CSO | 07/20/16 | 07/19/17 |
| | RFA published in Texas.gov eGrants | 08/23/16 | 07/19/17 |
| | CPRIT Application Receipt System (CARS) opened | 10/17/16 | 06/09/17 |
| | CPRIT Application Receipt System (CARS) closed | 01/17/17 | 06/09/17 |
| 1. Pre-Receipt | Date application submitted | 01/17/17 | 06/09/17 |
| | Method of submission | CARS | 06/09/17 |
| | Within receipt period | YES | 06/09/17 |
| | Request for extension to submit application after CARS closed | N/A | 06/09/17 |
| | Request for extension for late application submission accepted | N/A | 06/09/17 |
| | Administrative review notification | N/A | 06/09/17 |
| | Donation(s) made to CPRIT/foundation | NO | 06/09/17 |
| | Assigned to primary reviewers | 03/10/17 | 06/09/17 |
| 2. Receipt, Referral, | Applicant notified of review panel assignment | 02/21/17 | 06/09/17 |
| and Assignment | Primary Reviewer 1 COI signed | 02/22/17 | 06/09/17 |
| | Primary Reviewer 2 COI signed | 02/21/17 | 06/09/17 |
| | Primary Reviewer 3 COI signed | 03/03/17 | 06/09/17 |
| | Primary (Advocate) Reviewer 4 COI signed | 02/21/17 | 06/09/17 |
| | Primary Reviewer 1 critique submitted | N/A | 06/09/17 |
| | Primary Reviewer 2 critique submitted | N/A | 06/09/17 |
| | Primary Reviewer 3 critique submitted | N/A | 06/09/17 |
| 3. Preliminary | Primary (Advocate) Reviewer 4 critique submitted | N/A | 06/09/17 |
| Evaluation | COI indicated by non-primary reviewer | N/A | 06/09/17 |
| | Preliminary Evaluation score summary sent to Chair | N/A | 06/09/17 |
| | Recommended for full review | N/A | 06/09/17 |
| | Applicant notified of outcome | N/A | 06/09/17 |
| | Assigned to primary reviewers | 03/10/17 | 06/09/17 |
| | Primary Reviewer 1 COI signed | 02/22/17 | 06/09/17 |
| | Primary Reviewer 2 COI signed | 02/21/17 | 06/09/17 |
| | Primary Reviewer 3 COI signed | 03/03/17 | 06/09/17 |
| | Primary (Advocate) Reviewer 4 COI signed | 02/21/17 | 06/09/17 |
| | Primary Reviewer 1 critique submitted | 04/17/17 | 06/09/17 |
| | Primary Reviewer 2 critique submitted | 04/14/17 | 06/09/17 |
| 4. Peer Review | Primary Reviewer 3 critique submitted | 03/26/17 | 06/09/17 |
| Meeting | Primary (Advocate) Reviewer 4 critique submitted | 04/02/17 | 06/09/17 |
| | COI indicated by non-primary reviewer | NONE | 06/09/17 |
| | COI recused from participation | N/A | 06/09/17 |
| | Discussed at Peer Review Meeting | YES | 06/09/17 |
| | Peer Review Meeting | 04/25/17 | 06/09/17 |
| | Post review statements signed | 05/03/17 | 06/09/17 |
| | Third Party Observer Report | 04/25/17 | 06/09/17 |
| | Score report delivered to CSO | 05/10/17 | 06/09/17 |
| | Recommended for SRC Review | YES | 06/09/17 |
| | COI indicated by SRC member | NONE | 07/17/17 |
| | COI recused from participation | N/A | 07/17/17 |
| 5. Final SRC | SRC Meeting | 07/13/17 | 07/17/17 |
| Recommendation | Third Party Observer Report | 07/13/17 | 07/31/17 |
| | Recommended for grant award | YES | 07/17/17 |
| | SRC Chair Notification to PIC and OC | 07/17/17 | 07/19/17 |
| | COI indicated by PIC member | NONE | 08/01/17 |
| 6. PIC Review | COI recused from participation | N/A | 08/01/17 |
| | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | YES | 08/01/17 |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/16/17 |
| | COI indicated by Oversight Committee member | NONE | 08/16/17 |
| | COI recused from participation | N/A | 08/16/17 |
| 7. Oversight | Donation(s) made to CPRIT/foundation | NO | 08/16/17 |
| Committee Approval | Presented to CPRIT Oversight Committee | 08/16/17 | 08/16/17 |
| | Award approved by Oversight Committee | YES | 08/16/17 |
| | Authority to advance funds requested | NO | 08/16/17 |
| | Advance authority approved by Oversight Committee | NO | 08/16/17 |

FY 2017 **CYCLE** 2

PROGRAM Academic Research

AWARD MECHANISM High-Impact/High-Risk Research Awards (HIHRRA)

APPLICATION ID RP170714

APPLICATION TITLE Optimization of a Novel Class of Microtubule Stabilizers That Circumvent Multiple Drug Resistance Mechanisms Through Crystal-

APPLICANT NAME Frantz, Douglas

ORGANIZATION The University of Texas at San Antonio

| Category | Compliance Requirement | Information | Attestation Date |
|-----------------------|--|-------------|------------------|
| category | | | |
| | RFA approved by CSO | 07/20/16 | 07/19/17 |
| | RFA published in Texas.gov eGrants | 08/23/16 | 07/19/17 |
| | CPRIT Application Receipt System (CARS) opened | 10/17/16 | 06/09/17 |
| 4 5 5 4 4 | CPRIT Application Receipt System (CARS) closed | 01/17/17 | 06/09/17 |
| 1. Pre-Receipt | Date application submitted | 01/17/17 | 06/09/17 |
| | Method of submission | CARS | 06/09/17 |
| | Within receipt period | YES | 06/09/17 |
| | Request for extension to submit application after CARS closed | N/A | 06/09/17 |
| | Request for extension for late application submission accepted | N/A | 06/09/17 |
| | Administrative review notification | N/A NO | 06/09/17 |
| | Donation(s) made to CPRIT/foundation | | 06/09/17 |
| 2 Descript Defermed | Assigned to primary reviewers | 03/10/17 | 06/09/17 |
| 2. Receipt, Referral, | Applicant notified of review panel assignment | 02/21/17 | 06/09/17 |
| and Assignment | Primary Reviewer 1 COI signed | 03/03/17 | 06/09/17 |
| | Primary Reviewer 2 COI signed | 02/20/17 | 06/09/17 |
| | Primary Reviewer 3 COI signed | 02/22/17 | 06/09/17 |
| | Primary (Advocate) Reviewer 4 COI signed | 02/21/17 | 06/09/17 |
| | Primary Reviewer 1 critique submitted | N/A | 06/09/17 |
| | Primary Reviewer 2 critique submitted | N/A | 06/09/17 |
| | Primary Reviewer 3 critique submitted | N/A | 06/09/17 |
| 3. Preliminary | Primary (Advocate) Reviewer 4 critique submitted | N/A | 06/09/17 |
| Evaluation | COI indicated by non-primary reviewer | N/A | 06/09/17 |
| | Preliminary Evaluation score summary sent to Chair | N/A | 06/09/17 |
| | Recommended for full review | N/A | 06/09/17 |
| | Applicant notified of outcome | N/A | 06/09/17 |
| | Assigned to primary reviewers | 03/10/17 | 06/09/17 |
| | Primary Reviewer 1 COI signed | 03/03/17 | 06/09/17 |
| | Primary Reviewer 2 COI signed | 02/20/17 | 06/09/17 |
| | Primary Reviewer 3 COI signed | 02/22/17 | 06/09/17 |
| | Primary (Advocate) Reviewer 4 COI signed | 02/21/17 | 06/09/17 |
| | Primary Reviewer 1 critique submitted | 03/30/17 | 06/09/17 |
| | Primary Reviewer 2 critique submitted | 04/16/17 | 06/09/17 |
| 4. Peer Review | Primary Reviewer 3 critique submitted | 04/17/17 | 06/09/17 |
| Meeting | Primary (Advocate) Reviewer 4 critique submitted | 04/02/17 | 06/09/17 |
| | COI indicated by non-primary reviewer | NONE | 06/09/17 |
| | COI recused from participation | N/A | 06/09/17 |
| | Discussed at Peer Review Meeting | YES | 06/09/17 |
| | Peer Review Meeting | 04/25/17 | 06/09/17 |
| | Post review statements signed | 05/03/17 | 06/09/17 |
| | Third Party Observer Report | 04/25/17 | 06/09/17 |
| | Score report delivered to CSO | 05/10/17 | 06/09/17 |
| | Recommended for SRC Review | YES | 06/09/17 |
| | COI indicated by SRC member | NONE | 07/17/17 |
| | COI recused from participation | N/A | 07/17/17 |
| 5. Final SRC | SRC Meeting | 07/13/17 | 07/17/17 |
| Recommendation | Third Party Observer Report | 07/13/17 | 07/31/17 |
| | Recommended for grant award | YES | 07/17/17 |
| | SRC Chair Notification to PIC and OC | 07/17/17 | 07/19/17 |
| | COI indicated by PIC member | NONE | 08/01/17 |
| 6. PIC Review | COI recused from participation | N/A | 08/01/17 |
| | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | YES | 08/01/17 |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/16/17 |
| | COI indicated by Oversight Committee member | NONE | 08/16/17 |
| | COI recused from participation | N/A | 08/16/17 |
| 7. Oversight | Donation(s) made to CPRIT/foundation | NO | 08/16/17 |
| Committee Approval | Presented to CPRIT Oversight Committee | 08/16/17 | 08/16/17 |
| | Award approved by Oversight Committee | YES | 08/16/17 |
| | Authority to advance funds requested | NO | 08/16/17 |
| | Advance authority approved by Oversight Committee | NO | 08/16/17 |

FY 2017 **CYCLE** 2

PROGRAM Academic Research

AWARD MECHANISM High-Impact/High-Risk Research Awards (HIHRRA)

APPLICATION ID RP170721

APPLICATION TITLE

Enhancing Immunotherapy of Pancreatic Cancer by Disrupting

Metabolic Research Cancer Services CRISER (Cancer)

Mutant K-Ras Using CRISPR/Cas9

APPLICANT NAME Bao, Gang **ORGANIZATION** Rice University

| Category | Compliance Requirement | Information | Attestation Dat |
|-----------------------|--|-------------|-----------------|
| | RFA approved by CSO | 07/20/16 | 07/19/17 |
| | RFA published in Texas.gov eGrants | 08/23/16 | 07/19/17 |
| | CPRIT Application Receipt System (CARS) opened | 10/17/16 | 06/09/17 |
| | CPRIT Application Receipt System (CARS) closed | 01/17/17 | 06/09/17 |
| 1. Pre-Receipt | Date application submitted | 01/17/17 | 06/09/17 |
| | Method of submission | CARS | 06/09/17 |
| | Within receipt period | YES | 06/09/17 |
| | Request for extension to submit application after CARS closed | N/A | 06/09/17 |
| | Request for extension for late application submission accepted | N/A | 06/09/17 |
| | Administrative review notification | N/A | 06/09/17 |
| | Donation(s) made to CPRIT/foundation | NO | 06/09/17 |
| | Assigned to primary reviewers | 03/10/17 | 06/09/17 |
| 2. Receipt, Referral, | Applicant notified of review panel assignment | 02/21/17 | 06/09/17 |
| and Assignment | Primary Reviewer 1 COI signed | 02/22/17 | 06/09/17 |
| | Primary Reviewer 2 COI signed | 02/24/17 | 06/09/17 |
| | Primary Reviewer 3 COI signed | 02/26/17 | 06/09/17 |
| | Primary (Advocate) Reviewer 4 COI signed | 02/21/17 | 06/09/17 |
| | Primary Reviewer 1 critique submitted | N/A | 06/09/17 |
| | Primary Reviewer 2 critique submitted | N/A | 06/09/17 |
| | Primary Reviewer 3 critique submitted | N/A | 06/09/17 |
| 3. Preliminary | Primary (Advocate) Reviewer 4 critique submitted | N/A | 06/09/17 |
| Evaluation | COI indicated by non-primary reviewer | N/A | 06/09/17 |
| | Preliminary Evaluation score summary sent to Chair | N/A | 06/09/17 |
| | Recommended for full review | N/A | 06/09/17 |
| | Applicant notified of outcome | N/A | 06/09/17 |
| | Assigned to primary reviewers | 03/10/17 | 06/09/17 |
| | Primary Reviewer 1 COI signed | 02/22/17 | 06/09/17 |
| | Primary Reviewer 2 COI signed | 02/24/17 | 06/09/17 |
| | Primary Reviewer 3 COI signed | 02/26/17 | 06/09/17 |
| | Primary (Advocate) Reviewer 4 COI signed | 02/21/17 | 06/09/17 |
| | Primary Reviewer 1 critique submitted | 04/17/17 | 06/09/17 |
| | Primary Reviewer 2 critique submitted | 04/17/17 | 06/09/17 |
| | Primary Reviewer 3 critique submitted | 04/16/17 | 06/09/17 |
| 4. Peer Review | Primary (Advocate) Reviewer 4 critique submitted | 04/02/17 | 06/09/17 |
| Meeting | COI indicated by non-primary reviewer | NONE | 06/09/17 |
| | COI recused from participation | N/A | 06/09/17 |
| | Discussed at Peer Review Meeting | YES | 06/09/17 |
| | Peer Review Meeting | 04/25/17 | 06/09/17 |
| | Post review statements signed | 05/03/17 | 06/09/17 |
| | Third Party Observer Report | 04/25/17 | 06/09/17 |
| | Score report delivered to CSO | 05/10/17 | 06/09/17 |
| | Recommended for SRC Review | YES | 06/09/17 |
| | COI indicated by SRC member | NONE | 07/17/17 |
| | COI recused from participation | N/A | 07/17/17 |
| 5. Final SRC | SRC Meeting | 07/13/17 | 07/17/17 |
| Recommendation | Third Party Observer Report | 07/13/17 | 07/31/17 |
| | Recommended for grant award | YES | 07/17/17 |
| | SRC Chair Notification to PIC and OC | 07/17/17 | 07/19/17 |
| | COI indicated by PIC member | NONE | 08/01/17 |
| | COI recused from participation | N/A | 08/01/17 |
| 6. PIC Review | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | YES | 08/01/17 |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/16/17 |
| | COI indicated by Oversight Committee member | NONE | 08/16/17 |
| | COI recused from participation | N/A | 08/16/17 |
| 7. Oversight | Donation(s) made to CPRIT/foundation | NO | 08/16/17 |
| Committee Approval | Presented to CPRIT Oversight Committee | 08/16/17 | 08/16/17 |
| John Michael Approval | Award approved by Oversight Committee | YES | 08/16/17 |
| | Authority to advance funds requested | NO NO | 08/16/17 |
| | Authority to advance fullus requested | NO | 00/10/17 |

FY 2017 **CYCLE** 2

PROGRAM Academic Research

AWARD MECHANISM High-Impact/High-Risk Research Awards (HIHRRA)

APPLICATION ID RP170752

APPLICATION TITLE Radiation-Induced Release of Chemotherapeutic Agents in Vivo

APPLICANT NAME Gassensmith, Jeremiah

ORGANIZATION The University of Texas at Dallas

| Category | Compliance Requirement | Information | Attestation Date |
|--|--|-------------|------------------|
| | RFA approved by CSO | 07/20/16 | 07/19/17 |
| | RFA published in Texas.gov eGrants | 08/23/16 | 07/19/17 |
| | CPRIT Application Receipt System (CARS) opened | 10/17/16 | 06/09/17 |
| | CPRIT Application Receipt System (CARS) closed | 01/17/17 | 06/09/17 |
| 1. Pre-Receipt | Date application submitted | 01/17/17 | 06/09/17 |
| | Method of submission | CARS | 06/09/17 |
| | Within receipt period | YES | 06/09/17 |
| | Request for extension to submit application after CARS closed | N/A | 06/09/17 |
| | Request for extension for late application submission accepted | N/A | 06/09/17 |
| | Administrative review notification | 02/09/17 | 06/09/17 |
| | Donation(s) made to CPRIT/foundation | NO | 06/09/17 |
| | Assigned to primary reviewers | 03/10/17 | 06/09/17 |
| 2. Receipt, Referral, | Applicant notified of review panel assignment | 02/21/17 | 06/09/17 |
| and Assignment | Primary Reviewer 1 COI signed | 02/21/17 | 06/09/17 |
| | Primary Reviewer 2 COI signed | 02/27/17 | 06/09/17 |
| | Primary Reviewer 3 COI signed | 02/23/17 | 06/09/17 |
| | Primary (Advocate) Reviewer 4 COI signed | 02/21/17 | 06/09/17 |
| | Primary Reviewer 1 critique submitted | N/A | 06/09/17 |
| | Primary Reviewer 2 critique submitted | N/A | 06/09/17 |
| | Primary Reviewer 3 critique submitted | N/A | 06/09/17 |
| 3. Preliminary | Primary (Advocate) Reviewer 4 critique submitted | N/A | 06/09/17 |
| Evaluation | COI indicated by non-primary reviewer | N/A | 06/09/17 |
| | Preliminary Evaluation score summary sent to Chair | N/A | 06/09/17 |
| | Recommended for full review | N/A | 06/09/17 |
| | Applicant notified of outcome | N/A | 06/09/17 |
| | Assigned to primary reviewers | 03/10/17 | 06/09/17 |
| | Primary Reviewer 1 COI signed | 02/21/17 | 06/09/17 |
| | Primary Reviewer 2 COI signed | 02/27/17 | 06/09/17 |
| | Primary Reviewer 3 COI signed | 02/23/17 | 06/09/17 |
| | Primary (Advocate) Reviewer 4 COI signed | 02/21/17 | 06/09/17 |
| | Primary Reviewer 1 critique submitted | 04/12/17 | 06/09/17 |
| | Primary Reviewer 2 critique submitted | 04/16/17 | 06/09/17 |
| 4.5.5. | Primary Reviewer 3 critique submitted | 04/08/17 | 06/09/17 |
| 4. Peer Review | Primary (Advocate) Reviewer 4 critique submitted | 04/05/17 | 06/09/17 |
| Meeting | COI indicated by non-primary reviewer | NONE | 06/09/17 |
| | COI recused from participation | N/A | 06/09/17 |
| | Discussed at Peer Review Meeting | YES | 06/09/17 |
| | Peer Review Meeting | 04/25/17 | 06/09/17 |
| | Post review statements signed | 05/03/17 | 06/09/17 |
| | Third Party Observer Report | 04/25/17 | 06/09/17 |
| | Score report delivered to CSO | 05/10/17 | 06/09/17 |
| | Recommended for SRC Review | YES | 06/09/17 |
| | COI indicated by SRC member | NONE | 07/17/17 |
| | COI recused from participation | N/A | 07/17/17 |
| 5. Final SRC | SRC Meeting | 07/13/17 | 07/17/17 |
| Recommendation | Third Party Observer Report | 07/13/17 | 07/31/17 |
| | Recommended for grant award | YES | 07/17/17 |
| | SRC Chair Notification to PIC and OC | 07/17/17 | 07/19/17 |
| | COI indicated by PIC member | NONE | 08/01/17 |
| | COI recused from participation | N/A | 08/01/17 |
| 6. PIC Review | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | YES | 08/01/17 |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/16/17 |
| | COI indicated by Oversight Committee member | NONE | 08/16/17 |
| | COI recused from participation | N/A | 08/16/17 |
| 7. Oversight | Donation(s) made to CPRIT/foundation | NO | 08/16/17 |
| Committee Approval | Presented to CPRIT Oversight Committee | 08/16/17 | 08/16/17 |
| - The state of the | Award approved by Oversight Committee | YES | 08/16/17 |
| | Authority to advance funds requested | NO NO | 08/16/17 |
| | Advance authority approved by Oversight Committee | NO | 08/16/17 |

FY 2017 **CYCLE** 2

PROGRAM Academic Research

AWARD MECHANISM Core Facility Support Awards (CFSA)

APPLICATION ID RP170628

APPLICATION TITLE

The University of Texas M.D. Anderson Cancer Center Science Park

Flow Cytometry and Cell Imaging Core

APPLICANT NAME Richie, Ellen

ORGANIZATIONThe University of Texas M. D. Anderson Cancer CenterPANEL NAME17.2 Imaging Technology and Informatics (17.2 ITI)

| Category | Compliance Requirement | Information | Attestation Dat |
|-----------------------|--|-------------|-----------------|
| | RFA approved by CSO | 07/20/16 | 07/19/17 |
| | RFA published in Texas.gov eGrants | 08/23/16 | 07/19/17 |
| | CPRIT Application Receipt System (CARS) opened | 10/17/16 | 06/06/17 |
| | CPRIT Application Receipt System (CARS) closed | 01/17/17 | 06/06/17 |
| 1. Pre-Receipt | Date application submitted | 01/17/17 | 06/06/17 |
| | Method of submission | CARS | 06/06/17 |
| | Within receipt period | YES | 06/06/17 |
| | Request for extension to submit application after CARS closed | N/A | 06/06/17 |
| | Request for extension for late application submission accepted | N/A | 06/06/17 |
| | Administrative review notification | N/A | 06/06/17 |
| | Donation(s) made to CPRIT/foundation | NO | 06/06/17 |
| | Assigned to primary reviewers | 03/07/17 | 06/06/17 |
| 2. Receipt, Referral, | Applicant notified of review panel assignment | 02/21/17 | 06/06/17 |
| and Assignment | Primary Reviewer 1 COI signed | 02/21/17 | 06/06/17 |
| | Primary Reviewer 2 COI signed | 02/21/17 | 06/06/17 |
| | Primary Reviewer 3 COI signed | 02/22/17 | 06/06/17 |
| | Primary (Advocate) Reviewer 4 COI signed | 02/20/17 | 06/06/17 |
| | Primary Reviewer 1 critique submitted | N/A | 06/06/17 |
| | Primary Reviewer 2 critique submitted | N/A | 06/06/17 |
| | Primary Reviewer 3 critique submitted | N/A | 06/06/17 |
| 3. Preliminary | Primary (Advocate) Reviewer 4 critique submitted | N/A | 06/06/17 |
| Evaluation | COI indicated by non-primary reviewer | N/A | 06/06/17 |
| | Preliminary Evaluation score summary sent to Chair | N/A | 06/06/17 |
| | Recommended for full review | N/A | 06/06/17 |
| | Applicant notified of outcome | N/A | 06/06/17 |
| | Assigned to primary reviewers | 03/07/17 | 06/06/17 |
| | Primary Reviewer 1 COI signed | 02/21/17 | 06/06/17 |
| | Primary Reviewer 2 COI signed | 02/21/17 | 06/06/17 |
| | Primary Reviewer 3 COI signed | 02/22/17 | 06/06/17 |
| | Primary (Advocate) Reviewer 4 COI signed | 02/20/17 | 06/06/17 |
| | Primary Reviewer 1 critique submitted | 04/18/17 | 06/06/17 |
| | Primary Reviewer 2 critique submitted | 04/18/17 | 06/06/17 |
| | Primary Reviewer 3 critique submitted | 04/17/17 | 06/06/17 |
| 4. Peer Review | Primary (Advocate) Reviewer 4 critique submitted | 04/13/17 | 06/06/17 |
| Meeting | COI indicated by non-primary reviewer | NONE | 06/06/17 |
| | COI recused from participation | N/A | 06/06/17 |
| | Discussed at Peer Review Meeting | YES | 06/06/17 |
| | Peer Review Meeting | 04/27/17 | 06/06/17 |
| | Post review statements signed | 05/10/17 | 06/06/17 |
| | Third Party Observer Report | 04/27/17 | 06/06/17 |
| | Score report delivered to CSO | 05/10/17 | 06/06/17 |
| | Recommended for SRC Review | YES | 06/06/17 |
| | COI indicated by SRC member | NONE | 07/17/17 |
| | COI recused from participation | N/A | 07/17/17 |
| 5. Final SRC | SRC Meeting | 07/13/17 | 07/17/17 |
| Recommendation | Third Party Observer Report | 07/13/17 | 07/31/17 |
| | Recommended for grant award | YES | 07/17/17 |
| | SRC Chair Notification to PIC and OC | 07/17/17 | 07/19/17 |
| | COI indicated by PIC member | NONE | 08/01/17 |
| | COI recused from participation | N/A | 08/01/17 |
| 6. PIC Review | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | YES | 08/01/17 |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/16/17 |
| | COI indicated by Oversight Committee member | NONE | 08/16/17 |
| | COI recused from participation | N/A | 08/16/17 |
| 7. Oversight | Donation(s) made to CPRIT/foundation | NO | 08/16/17 |
| Committee Approval | Presented to CPRIT Oversight Committee | 08/16/17 | 08/16/17 |
| - Samuelle Approval | Award approved by Oversight Committee | YES | 08/16/17 |
| | | | 08/16/17 |
| | Authority to advance funds requested | NO | 108/16/17 |

FY 2017 **CYCLE** 2

PROGRAM Academic Research

AWARD MECHANISM Core Facility Support Awards (CFSA)

APPLICATION ID RP170638

APPLICATION TITLE Cyclotron and Radiochemistry Core Facility for Pediatric Oncology

APPLICANT NAME Sun, Xiankai

ORGANIZATIONThe University of Texas Southwestern Medical CenterPANEL NAME17.2 Imaging Technology and Informatics (17.2 ITI)

| Category | Compliance Requirement | Information | Attestation Date |
|---------------------------------|--|-------------|------------------|
| | RFA approved by CSO | 07/20/16 | 07/19/17 |
| | RFA published in Texas.gov eGrants | 08/23/16 | 07/19/17 |
| | CPRIT Application Receipt System (CARS) opened | 10/17/16 | 06/06/17 |
| | CPRIT Application Receipt System (CARS) closed | 01/17/17 | 06/06/17 |
| 1. Pre-Receipt | Date application submitted | 01/17/17 | 06/06/17 |
| | Method of submission | CARS | 06/06/17 |
| | Within receipt period | YES | 06/06/17 |
| | Request for extension to submit application after CARS closed | N/A | 06/06/17 |
| | Request for extension for late application submission accepted | N/A | 06/06/17 |
| | Administrative review notification | N/A | 06/06/17 |
| | Donation(s) made to CPRIT/foundation | NO | 06/06/17 |
| | Assigned to primary reviewers | 03/07/17 | 06/06/17 |
| 2. Receipt, Referral, | Applicant notified of review panel assignment | 02/21/17 | 06/06/17 |
| and Assignment | Primary Reviewer 1 COI signed | 02/21/17 | 06/06/17 |
| | Primary Reviewer 2 COI signed | 02/27/17 | 06/06/17 |
| | Primary Reviewer 3 COI signed | 02/21/17 | 06/06/17 |
| | Primary (Advocate) Reviewer 4 COI signed | 02/25/17 | 06/06/17 |
| | Primary Reviewer 1 critique submitted | N/A | 06/06/17 |
| | Primary Reviewer 2 critique submitted | N/A | 06/06/17 |
| | Primary Reviewer 3 critique submitted | N/A | 06/06/17 |
| 3. Preliminary | Primary (Advocate) Reviewer 4 critique submitted | N/A | 06/06/17 |
| Evaluation | COI indicated by non-primary reviewer | N/A | 06/06/17 |
| | Preliminary Evaluation score summary sent to Chair | N/A | 06/06/17 |
| | Recommended for full review | N/A | 06/06/17 |
| | Applicant notified of outcome | N/A | 06/06/17 |
| | Assigned to primary reviewers | 03/07/17 | 06/06/17 |
| | Primary Reviewer 1 COI signed | 02/21/17 | 06/06/17 |
| | Primary Reviewer 2 COI signed | 02/27/17 | 06/06/17 |
| | Primary Reviewer 3 COI signed | 02/21/17 | 06/06/17 |
| | Primary (Advocate) Reviewer 4 COI signed | 02/25/17 | 06/06/17 |
| | Primary Reviewer 1 critique submitted | 04/14/17 | 06/06/17 |
| | Primary Reviewer 2 critique submitted | 04/19/17 | 06/06/17 |
| | Primary Reviewer 3 critique submitted | 04/19/17 | 06/06/17 |
| 1. Peer Review | Primary (Advocate) Reviewer 4 critique submitted | 04/19/17 | 06/06/17 |
| Meeting | COI indicated by non-primary reviewer | NONE | 06/06/17 |
| | COI recused from participation | N/A | 06/06/17 |
| | Discussed at Peer Review Meeting | YES | 06/06/17 |
| | Peer Review Meeting | 04/27/17 | 06/06/17 |
| | Post review statements signed | 05/10/17 | 06/06/17 |
| | Third Party Observer Report | 04/27/17 | 06/06/17 |
| | Score report delivered to CSO | 05/10/17 | 06/06/17 |
| | Recommended for SRC Review | YES | 06/06/17 |
| | COI indicated by SRC member | NONE | 07/17/17 |
| | COI recused from participation | N/A | 07/17/17 |
| 5. Final SRC | SRC Meeting | 07/13/17 | 07/17/17 |
| Recommendation | Third Party Observer Report | 07/13/17 | 07/31/17 |
| | Recommended for grant award | YES | 07/17/17 |
| | SRC Chair Notification to PIC and OC | 07/17/17 | 07/19/17 |
| | COI indicated by PIC member | NONE | 08/01/17 |
| | COI recused from participation | N/A | 08/01/17 |
| 5. PIC Review | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | YES | 08/01/17 |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/16/17 |
| | COI indicated by Oversight Committee member | NONE | 08/16/17 |
| 7. Oversiaht | COI recused from participation | N/A | 08/16/17 |
| | Donation(s) made to CPRIT/foundation | NO | 08/16/17 |
| 7. Oversight Committee Approval | Presented to CPRIT Oversight Committee | 08/16/17 | 08/16/17 |
| John Mice Approval | | VES | 08/16/17 |
| | Authority to advance funds requested | NO YES | |
| | Authority to advance funds requested | INO | 08/16/17 |

FY 2017 **CYCLE** 2

PROGRAM Academic Research

AWARD MECHANISM Core Facility Support Awards (CFSA)

APPLICATION ID RP170719

APPLICATION TITLE GCC Center for Advanced Microscopy and Image Informatics

APPLICANT NAME Mancini, Michael

ORGANIZATION Texas A&M University System Health Science Center

PANEL NAME 17.2 Imaging Technology and Informatics (17.2 ITI)

| Category | Compliance Requirement | Information | Attestation Date |
|--|--|-----------------|------------------|
| | RFA approved by CSO | 07/20/16 | 07/19/17 |
| | RFA published in Texas.gov eGrants | 08/23/16 | 07/19/17 |
| | CPRIT Application Receipt System (CARS) opened | 10/17/16 | 06/06/17 |
| | CPRIT Application Receipt System (CARS) closed | 01/17/17 | 06/06/17 |
| 1. Pre-Receipt | Date application submitted | 01/13/17 | 06/06/17 |
| | Method of submission | CARS | 06/06/17 |
| | Within receipt period | YES | 06/06/17 |
| | Request for extension to submit application after CARS closed | N/A | 06/06/17 |
| | Request for extension for late application submission accepted | N/A | 06/06/17 |
| | Administrative review notification | N/A | 06/06/17 |
| | Donation(s) made to CPRIT/foundation | NO | 06/06/17 |
| | Assigned to primary reviewers | 03/07/17 | 06/06/17 |
| 2. Receipt, Referral, | Applicant notified of review panel assignment | 02/21/17 | 06/06/17 |
| and Assignment | Primary Reviewer 1 COI signed | 02/20/17 | 06/06/17 |
| | Primary Reviewer 2 COI signed | 02/21/17 | 06/06/17 |
| | Primary Reviewer 3 COI signed | 02/22/17 | 06/06/17 |
| | Primary (Advocate) Reviewer 4 COI signed | 02/25/17 | 06/06/17 |
| | Primary Reviewer 1 critique submitted | N/A | 06/06/17 |
| | Primary Reviewer 2 critique submitted | N/A | 06/06/17 |
| | Primary Reviewer 3 critique submitted | N/A | 06/06/17 |
| 3. Preliminary | Primary (Advocate) Reviewer 4 critique submitted | N/A | 06/06/17 |
| Evaluation | COI indicated by non-primary reviewer | N/A | 06/06/17 |
| | Preliminary Evaluation score summary sent to Chair | N/A | 06/06/17 |
| | Recommended for full review | N/A | 06/06/17 |
| | | | |
| | Applicant notified of outcome | N/A | 06/06/17 |
| | Assigned to primary reviewers | 03/07/17 | 06/06/17 |
| | Primary Reviewer 1 COI signed | 02/20/17 | 06/06/17 |
| | Primary Reviewer 2 COI signed | 02/21/17 | 06/06/17 |
| | Primary Reviewer 3 COI signed | 02/22/17 | 06/06/17 |
| | Primary (Advocate) Reviewer 4 COI signed | 02/25/17 | 06/06/17 |
| | Primary Reviewer 1 critique submitted | 04/07/17 | 06/06/17 |
| | Primary Reviewer 2 critique submitted | 03/29/17 | 06/06/17 |
| 4. Peer Review | Primary Reviewer 3 critique submitted | 04/19/17 | 06/06/17 |
| Meeting | Primary (Advocate) Reviewer 4 critique submitted | 04/19/17 | 06/06/17 |
| | COI indicated by non-primary reviewer | Basilion, James | 06/06/17 |
| | COI recused from participation | YES | 06/06/17 |
| | Discussed at Peer Review Meeting | YES | 06/06/17 |
| | Peer Review Meeting | 04/27/17 | 06/06/17 |
| | Post review statements signed | 05/10/17 | 06/06/17 |
| | Third Party Observer Report | 04/27/17 | 06/06/17 |
| | Score report delivered to CSO | 05/10/17 | 06/06/17 |
| | Recommended for SRC Review | YES | 06/06/17 |
| | COI indicated by SRC member | NONE | 07/17/17 |
| | COI recused from participation | N/A | 07/17/17 |
| 5. Final SRC | SRC Meeting | 07/13/17 | 07/17/17 |
| Recommendation | Third Party Observer Report | 07/13/17 | 07/31/17 |
| | Recommended for grant award | YES | 07/17/17 |
| | SRC Chair Notification to PIC and OC | 07/17/17 | 07/19/17 |
| | COI indicated by PIC member | NONE | 08/01/17 |
| c piece : | COI recused from participation | N/A | 08/01/17 |
| 6. PIC Review | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | YES | 08/01/17 |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/16/17 |
| | COI indicated by Oversight Committee member | NONE | 08/16/17 |
| | COI recused from participation | N/A | 08/16/17 |
| 7. Oversight | Donation(s) made to CPRIT/foundation | NO NO | 08/16/17 |
| Committee Approval | Presented to CPRIT Oversight Committee | 08/16/17 | 08/16/17 |
| - The state of the | Award approved by Oversight Committee | YES | 08/16/17 |
| | | NO | 08/16/17 |
| | Advance outbasity approved by Oversight Committee | | 1 1 |
| | Advance authority approved by Oversight Committee | NO | 08/16/17 |

FY 2017 **CYCLE** 2

PROGRAM Academic Research

AWARD MECHANISM High-Impact/High-Risk Research Awards (HIHRRA)

APPLICATION ID RP170747

APPLICATION TITLE

Noninvasive Lung Cancer Screening by Rapid Chemical Profiling of

Exhaled Breath

APPLICANT NAME

Sun, Yuze (Alice)

ORGANIZATION The University of Texas at Arlington

PANEL NAME 17.2 Imaging Technology and Informatics (17.2 ITI)

| Category | Compliance Requirement | Information | Attestation Date |
|-----------------------|--|-------------|------------------|
| | RFA approved by CSO | 07/20/16 | 07/19/17 |
| | RFA published in Texas.gov eGrants | 08/23/16 | 07/19/17 |
| | CPRIT Application Receipt System (CARS) opened | 10/17/16 | 06/06/17 |
| | CPRIT Application Receipt System (CARS) closed | 01/17/17 | 06/06/17 |
| 1. Pre-Receipt | Date application submitted | 01/17/17 | 06/06/17 |
| | Method of submission | CARS | 06/06/17 |
| | Within receipt period | YES | 06/06/17 |
| | Request for extension to submit application after CARS closed | N/A | 06/06/17 |
| | Request for extension for late application submission accepted | N/A | 06/06/17 |
| | Administrative review notification | N/A | 06/06/17 |
| | Donation(s) made to CPRIT/foundation | NO | 06/06/17 |
| | Assigned to primary reviewers | 03/07/17 | 06/06/17 |
| 2. Receipt, Referral, | Applicant notified of review panel assignment | 02/21/17 | 06/06/17 |
| and Assignment | Primary Reviewer 1 COI signed | 04/16/17 | 06/06/17 |
| | Primary Reviewer 2 COI signed | 02/23/17 | 06/06/17 |
| | Primary Reviewer 3 COI signed | 02/22/17 | 06/06/17 |
| | Primary (Advocate) Reviewer 4 COI signed | 02/25/17 | 06/06/17 |
| | Primary Reviewer 1 critique submitted | N/A | 06/06/17 |
| | Primary Reviewer 2 critique submitted | N/A | 06/06/17 |
| | Primary Reviewer 3 critique submitted | N/A | 06/06/17 |
| 3. Preliminary | Primary (Advocate) Reviewer 4 critique submitted | N/A | 06/06/17 |
| Evaluation | COI indicated by non-primary reviewer | N/A | 06/06/17 |
| | Preliminary Evaluation score summary sent to Chair | N/A | 06/06/17 |
| | Recommended for full review | N/A | 06/06/17 |
| | Applicant notified of outcome | N/A | 06/06/17 |
| | Assigned to primary reviewers | 03/07/17 | 06/06/17 |
| | Primary Reviewer 1 COI signed | 04/16/17 | 06/06/17 |
| | Primary Reviewer 2 COI signed | 02/23/17 | 06/06/17 |
| | Primary Reviewer 3 COI signed | 02/22/17 | 06/06/17 |
| | Primary (Advocate) Reviewer 4 COI signed | 02/25/17 | 06/06/17 |
| | Primary Reviewer 1 critique submitted | 04/18/17 | 06/06/17 |
| | Primary Reviewer 2 critique submitted | 04/19/17 | 06/06/17 |
| | Primary Reviewer 3 critique submitted | 04/12/17 | 06/06/17 |
| 4. Peer Review | Primary (Advocate) Reviewer 4 critique submitted | 04/19/17 | 06/06/17 |
| Meeting | COI indicated by non-primary reviewer | NONE | 06/06/17 |
| | COI recused from participation | N/A | 06/06/17 |
| | Discussed at Peer Review Meeting | YES | 06/06/17 |
| | Peer Review Meeting | 04/27/17 | 06/06/17 |
| | Post review statements signed | 05/10/17 | 06/06/17 |
| | Third Party Observer Report | 04/27/17 | 06/06/17 |
| | Score report delivered to CSO | 05/10/17 | 06/06/17 |
| | Recommended for SRC Review | YES | 06/06/17 |
| | COI indicated by SRC member | NONE | 07/17/17 |
| | COI recused from participation | N/A | 07/17/17 |
| 5. Final SRC | SRC Meeting | 07/13/17 | 07/17/17 |
| Recommendation | Third Party Observer Report | 07/13/17 | 07/31/17 |
| | Recommended for grant award | YES | 07/17/17 |
| | SRC Chair Notification to PIC and OC | 07/17/17 | 07/19/17 |
| | COI indicated by PIC member | NONE | 08/01/17 |
| | COI recused from participation | N/A | 08/01/17 |
| 6. PIC Review | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | YES | 08/01/17 |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/16/17 |
| | COI indicated by Oversight Committee member | NONE | 08/16/17 |
| | COI recused from participation | N/A | 08/16/17 |
| 7. Oversight | Donation(s) made to CPRIT/foundation | NO | 08/16/17 |
| Committee Approval | Presented to CPRIT Oversight Committee | 08/16/17 | 08/16/17 |
| | Award approved by Oversight Committee | YES | 08/16/17 |
| | Authority to advance funds requested | NO NO | 08/16/17 |
| | radioney to advance funds requested | 110 | 00/10/1/ |

FY 2017 **CYCLE** 2

PROGRAM Academic Research

AWARD MECHANISM High-Impact/High-Risk Research Awards (HIHRRA)

APPLICATION ID RP170817

APPLICATION TITLE

Isolation and in Situ Profiling of Circulating Tumor Cell
Subpopulations Using a Hyperuniform Structured Microchip

APPLICANT NAME Li, Wei

ORGANIZATION Texas Tech University

PANEL NAME 17.2 Imaging Technology and Informatics (17.2 ITI)

| Category | Compliance Requirement | Information | Attestation Dat |
|---------------------------------|---|-------------|-----------------|
| | RFA approved by CSO | 07/20/16 | 07/19/17 |
| | RFA published in Texas.gov eGrants | 08/23/16 | 07/19/17 |
| | CPRIT Application Receipt System (CARS) opened | 10/17/16 | 06/06/17 |
| | CPRIT Application Receipt System (CARS) closed | 01/17/17 | 06/06/17 |
| 1. Pre-Receipt | Date application submitted | 01/17/17 | 06/06/17 |
| | Method of submission | CARS | 06/06/17 |
| | Within receipt period | YES | 06/06/17 |
| | Request for extension to submit application after CARS closed | N/A | 06/06/17 |
| | Request for extension for late application submission accepted | N/A | 06/06/17 |
| | Administrative review notification | 02/09/17 | 06/06/17 |
| | Donation(s) made to CPRIT/foundation | NO | 06/06/17 |
| | Assigned to primary reviewers | 03/07/17 | 06/06/17 |
| 2. Receipt, Referral, | Applicant notified of review panel assignment | 02/21/17 | 06/06/17 |
| and Assignment | Primary Reviewer 1 COI signed | 02/24/17 | 06/06/17 |
| | Primary Reviewer 2 COI signed | 02/21/17 | 06/06/17 |
| | Primary Reviewer 3 COI signed | 04/16/17 | 06/06/17 |
| | Primary (Advocate) Reviewer 4 COI signed | 02/20/17 | 06/06/17 |
| | Primary Reviewer 1 critique submitted | N/A | 06/06/17 |
| | Primary Reviewer 2 critique submitted | N/A | 06/06/17 |
| | Primary Reviewer 3 critique submitted | N/A | 06/06/17 |
| 3. Preliminary | Primary (Advocate) Reviewer 4 critique submitted | N/A | 06/06/17 |
| Evaluation | COI indicated by non-primary reviewer | N/A | 06/06/17 |
| | Preliminary Evaluation score summary sent to Chair | N/A | 06/06/17 |
| | Recommended for full review | N/A | 06/06/17 |
| | Applicant notified of outcome | N/A | 06/06/17 |
| | Assigned to primary reviewers | 03/07/17 | 06/06/17 |
| | Primary Reviewer 1 COI signed | 02/24/17 | 06/06/17 |
| | Primary Reviewer 2 COI signed | 02/21/17 | 06/06/17 |
| | Primary Reviewer 3 COI signed | 04/16/17 | 06/06/17 |
| | Primary (Advocate) Reviewer 4 COI signed | 02/20/17 | 06/06/17 |
| | Primary Reviewer 1 critique submitted | 04/13/17 | 06/06/17 |
| | Primary Reviewer 2 critique submitted | 03/19/17 | 06/06/17 |
| | Primary Reviewer 3 critique submitted | 04/16/17 | 06/06/17 |
| 4. Peer Review | Primary (Advocate) Reviewer 4 critique submitted | 04/04/17 | 06/06/17 |
| Meeting | COI indicated by non-primary reviewer | NONE | 06/06/17 |
| | COI recused from participation | N/A | 06/06/17 |
| | Discussed at Peer Review Meeting | YES | 06/06/17 |
| | Peer Review Meeting | 04/27/17 | 06/06/17 |
| | Post review statements signed | 05/10/17 | 06/06/17 |
| | Third Party Observer Report | 04/27/17 | 06/06/17 |
| | Score report delivered to CSO | 05/10/17 | 06/06/17 |
| | Recommended for SRC Review | YES | 06/06/17 |
| | COI indicated by SRC member | NONE | 07/17/17 |
| | COI recused from participation | N/A | 07/17/17 |
| 5. Final SRC | SRC Meeting | 07/13/17 | 07/17/17 |
| Recommendation | Third Party Observer Report | 07/13/17 | 07/31/17 |
| | Recommended for grant award | YES | 07/17/17 |
| | SRC Chair Notification to PIC and OC | 07/17/17 | 07/19/17 |
| | COI indicated by PIC member | NONE | 08/01/17 |
| | COI recused from participation | N/A | 08/01/17 |
| 6. PIC Review | PIC review meeting | 08/01/17 | 08/01/17 |
| | Recommended for grant award | YES | 08/01/17 |
| | CEO Notification to Oversight Committee | 08/03/17 | 08/16/17 |
| | COI indicated by Oversight Committee member | NONE | 08/16/17 |
| | COI recused from participation | N/A | 08/16/17 |
| 7 Oversight | Donation(s) made to CPRIT/foundation | NO | 08/16/17 |
| 7. Oversight Committee Approval | Presented to CPRIT Oversight Committee | 08/16/17 | 08/16/17 |
| committee Approval | | VES | 08/16/17 |
| | Award approved by Oversight Committee Authority to advance funds requested | NO YES | 08/16/17 |
| | Advance authority approved by Oversight Committee | NO | 08/16/17 |